

Optical Communications Research at Institute of Telecommunications

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In this contribution we present a brief summary of research activities carried out at Instituto de Telecomunicações (IT, Institute of Telecommunications) in optical communications. These activities cover various aspects related with optical components/subsystems, optical communication systems, and optical networking.

Keywords optical communications, optical networks, optical communication systems, opto-electronic components

Introduction

Instituto de Telecomunicações (IT, Institute of Telecommunications) is a private, not-for-profit organization, of public interest, a partnership of four institutions with experience and tradition in research and development in the field of telecommunications: Instituto Superior Técnico (IST), Universidade de Aveiro (UA), Faculdade de Ciências e Tecnologia da Universidade de Coimbra (FCTUC), and Portugal Telecom Inovação, S.A. (PTIN). It was created with support of a 7.5 million Euro grant from CIÊNCIA Programme. This investment enabled the building of new infrastructures and equipping of research laboratories. Installation was concluded at Aveiro in 1993 and at Lisbon and Coimbra in 1994. Figure 1 shows part of the research facilities for optical communications at Aveiro. In recognition of its achievements, IT was awarded the status of Associate Laboratory of the Portuguese Ministry for Science and Technology in 2001. Recently, an external laboratory was created at the University of Beira Interior, Covilhã, and a delegation opened at the Instituto Politécnico de Leiria, Leiria. In 2004 Siemens Portugal S.A. also became a partner of IT.

The main research and education activities in IT are incorporated in the following research areas: wireless communications, optical communications, networks, and multimedia. These areas interact with a horizontal area of basic sciences (applied mathematics and physics, and communication theory) and support technologies for telecommunications (electronics, and instrumentation, and measurements). In this contribution, the ongoing research in optical communications will be described. Our activities aim at considering the implementation of optical networks in an integrated way. Consequently, our research covers various aspects related with components and subsystems, communication systems



Figure 1. Partial view of optical communications research facilities at IT Aveiro.

and networking, with most of the work geared toward the implementation of all-optical networks. In the following text, our main research results are grouped under headings that coincide with the three aspects of optical communications mentioned above.

Optical Components and Subsystems

In the field of optical components and subsystems, the following aspects are being considered:

- Modeling, analysis, optimization and implementation of optical devices, including optical sources [1, 2], detectors, fiber Bragg grating devices [3–8] and speciality fibers.
- Modeling, analysis/characterization, optimization, and implementation of subsystems. Work concentrates on optical add-drop multiplexers, optical crossconnects [9], routers, wavelength converters [10, 11], amplifiers [12], optical sources [13], optical receivers, all-optical gates, and signal processors. These subsystems are intended for bit rates of 40 Gbit/s and above, aiming at 160 Gbit/s.

In the area of optical amplification, IT is optimizing Raman, doped, and semiconductor optical amplifiers. Regarding the first amplifier type, multipump configurations based on fiber Bragg grating filters are used to achieve equalized gain over wider amplification range. In relation to the erbium-doped fiber amplifiers, extended operation over the L-band is being explored in terms of experimental implementation and characterization, by developing and tuning amplifier models able to fit both the noise and the gain of practical amplifiers into simulation environments (Figure 2) [12]. Fiber/waveguide index and doping profile is optimized by improving the models for the amplification gain and behavior. In terms of semiconductor optical amplifiers its dynamic behavior is being studied and modeled in order to achieve a better matching with experimental results and also to explore further applications (as gating operation and others).

In the optical sources area we have developed a novel architecture for the self-generation of two independent pumps with orthogonal polarizations in a single optical ring cavity. It is based on two tunable fiber Bragg gratings, a gain medium (e.g., optical amplifier), and a polarization controller. The key elements are the two gratings that were written in high-birefringence fibers. In this way, they act as selective polarization and wavelength filters, essential for the orthogonal pump generation. With this simple

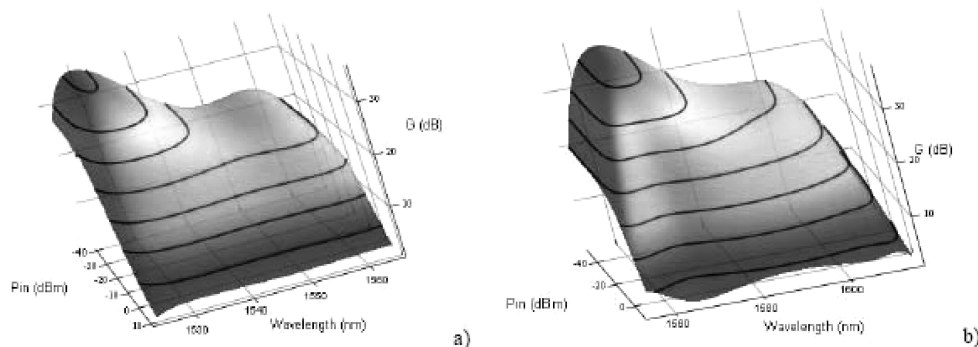


Figure 2. 3D model representation of gain dependence on the input power and wavelength for EDFA working in C-band (a) and L-band (b).

architecture, it is possible to self-generate two tunable pumps with stable power in a cost-effective way. This dual pump architecture was used with a dispersion-shifted fiber (DSF) to implement a tunable broadband wavelength converter. The two pumps enable versatile conversion over a wide wavelength span. The results showed conversion efficiencies up to -2 dB with a span >15 nm and with the signal-to-noise ratio of the converted signal up to 26 dB. The architecture enables a relatively low-cost implementation in comparison to other two-pump configurations, which need two external lasers to achieve a versatile tunable converter. We have also used this architecture for wavelength conversion based on FWM in a semiconductor optical amplifier (SOA) [14]. In this way, a tunable broadband wavelength converter with constant efficiency over the entire SOA gain band has been implemented in a very cost-effective architecture, since it only needs a small number of components. As it is based on four-wave mixing, the converter is also transparent to bit rate and signal format.

Another area of expertise in IT concerns the optimization and implementation of post-detection filters for multigigabit optical receivers. These filters have the main function of maximizing the signal-to-noise ratio at the input to the decision devices, while at the same time minimizing intersymbol interference (ISI) and providing tolerance to timing impairments from the signal and timing clock jitter. Different microwave and millimeter filter design strategies were investigated to be used at receiver units developed for the optical communication system demonstrators of the European Community ACTS (Advanced Communications Technologies and Services) and IST (Information Society Technologies) projects, namely the UPGRADE [15], ESTHER [15] and ATLAS [16]. The filters were implemented using monolithic microwave integrated circuit (MMIC) technologies, in order to have a small physical size and integration with other receiver

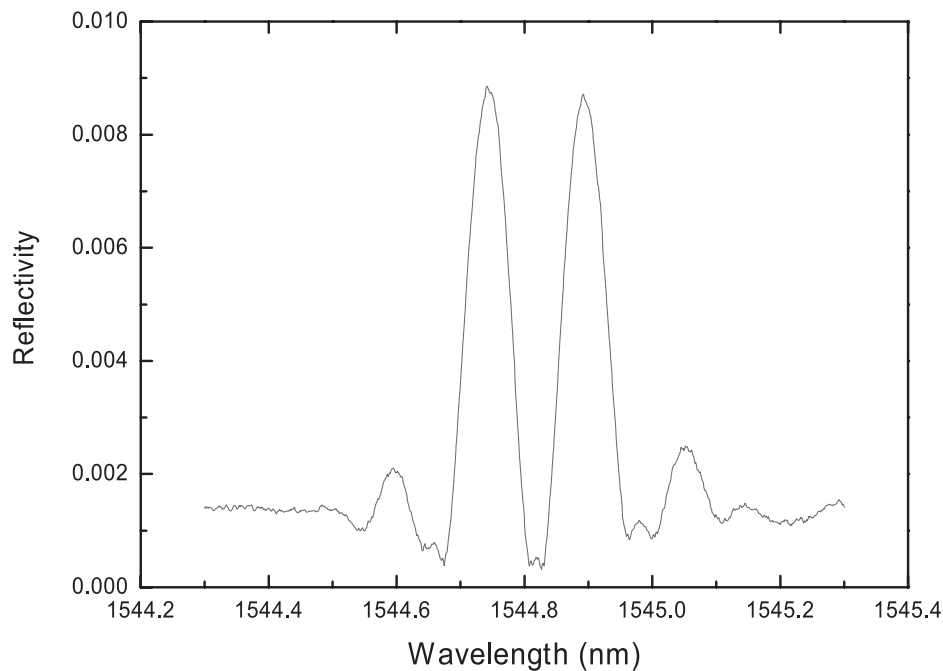


Figure 3. Reflectivity spectrum of a fiber Bragg grating optical cavity.

components. The electrical tuning facilities of the realized filters allow the minimization of the bit error-rate, for different communication system operating conditions [15].

Presently, IT Aveiro jointly with PTInovação SA and several other international partners integrating the “The Most Transimpedance Highly Efficient Micro&milimetrewave Optical Smart Transceiver” project consortium, are developing an optical transceiver with dynamic electronic dispersion compensation (EDC) of group velocity dispersion (GVD) and differential group delay (DGD) [17]. The developed EDC systems for 10 Gbit/s and 40 Gbit/s are based on a post-feed-forward equalizer (FFE). For a bit error rate of 10^{-9} , values of 1750 ps/nm and 104 ps/nm of dispersion compensation were achieved while mitigating for up to 65 ps and 16 ps of DGD at 10 Gbit/s and 40 Gbit/s, respectively.

Concerning the subject of signal processing, recently we have started the study of optical resonant cavities, obtained with fiber Bragg gratings. These structures have a wide application range, mainly in the all-in fiber signal processing, and we have proposed them as a fundamental piece in optical clock recovery circuits [18]. In Figure 3 we show the spectrum of an optical cavity designed to have an intra-cavity oscillating frequency of 12.5 GHz.

Optical Communication Systems

The work on optical communication systems is carried out mainly from the point of view of dense wavelength division multiplexing (DWDM) systems, with increasing activity on optical time division multiplexing (OTDM). Some of the areas considered are:

- Line coding, pulse formatting and modulation techniques [19] for the optical channel, such as optical solitons, highly dispersive pulses, single side-band signaling, and DPSK-related formats
- Optical and electrical strategies for static and dynamic compensation of group velocity dispersion (GVD) [20, 21] and polarization mode dispersion (PMD) [22]
- Development of analytical and simulation tools for comprehensive system performance assessment and optimization [23, 24]
- Optical 3R regeneration [18] and transparent/regenerative wavelength conversion
- All-optical performance monitoring [25].

Some of the issues related to the design and optimization of DWDM systems, namely optimization of optical filtering, signaling formats, dispersion compensation strategies in presence of nonlinear transmission-induced degradation, and the impact of noise and crosstalk in optical networks, are described in detail in the accompanying paper [24].

On the subject of modulation techniques for the optical channel, we are also studying the optical single sideband (OSSB) transmission techniques, for upgrading the capacity of the existing fiber networks. We are also considering the viability of OSSB transmission for the forthcoming generation networks, with experimental demonstration in a test bed. A new synthesis approach was used to design FIR filters, with a close approximation to the Hilbert transform, for AM-SSB generation of wide-band signals. The new method can reduce the Vestigial Side Band up to 59% when compared with that obtained with a conventional FIR filter design method [26].

To improve the performance of the OSSB systems electrical equalization was investigated. The results obtained demonstrated that an electrical equalizer based on a 4th order transversal filter with coefficients calculated for zero forcing criteria, improves the transmission distance up to 215 km at a BER of 10^{-9} . This result represents an increment

of fiber span by 55 km, when compared to the maximum OSSB transmission distance without equalization [27].

High-bandwidth transimpedance GaAs MMIC receivers suitable for 10 Gbit/s and 40 Gbit/s data transmission rates were also designed and characterized [28, 29]. The designed circuits were implemented using a MMIC PH15 process from United Monolithic Semiconductors (UMS). A modular semi-analytical tool was also developed, for the noise characterization of distributed optical preamplifiers for very high-capacity optical transmission [30, 31] and a 45 GHz distributed MMIC amplifier implemented [32]. Due to the high potential of the OSSB transmission, a new project ETOBLU has been recently funded by a telecommunication equipment manufacturer (Siemens S.A.) to study the applicability and implementation of optical single sideband transmission for the next generation telecommunication equipment at 10 and 40 Gbit/s.

On the subject of group velocity dispersion (GVD) we observe that the increasing transmission rates and link lengths of DWDM systems, realized over standard single-mode fiber (SMF), demand a better knowledge of the temperature impact on the systems. Since the chromatic dispersion of the deployed optical fibers depends on temperature, it is important to know the relation between chromatic dispersion and chromatic dispersion slope with changes in the temperature, in order enable the full dispersion compensation over the wide temperature ranges normally encountered in practical systems [33]. We have demonstrated that change in temperature induces variations of the dispersion and dispersion slope on the dispersion compensation fibers (DCF). Which have the opposite signal of those changes occurring in SMF fiber. Thus temperature controllable DCF fibers could be used as first and second order dispersion compensators for the SMF fibers [21].

Still in the area of fiber dispersion, we have been studying some fundamental issues related with polarization-mode dispersion (PMD) in high-speed fiber-optic transmission systems. We pay particular attention to issues such as the PMD-induced pulse broadening, PMD measurement and emulation, as well as PMD compensation. Details about these studies carried at the Institute of Telecommunications are presented in another full-length paper included in this issue [22].

In relation to the optical performance monitoring (OPM), our main motivation is the implementation of dense wavelength division multiplexing (DWDM) systems. This is one of the elected techniques for further upgrading the capacity of the existing point-to-point transmission links, for achieving the required increase in transmission throughput. The next evolution step will be the migration to all-optical networks, implying that signals with several modulation formats, bit rates, and protocols may be present at any given localization. Current networks are managed, protected, and monitored after previous conversion from the optical to the digital domain; however, the concept of all-optical networks requires the utilization of monitoring techniques also in the optical domain, introducing the OPM concept. During the last years we have worked in the optimization, implementation, and test of OPM techniques.

In our method, OPM is achieved through the determination of the statistical characteristics of an asynchronously detected optical signal and by using the asynchronous Q-factor to monitor the signal degradation [34]. Figure 4 displays an eye diagram of a synchronously detected 2.5 Gbits/s signal (left) and the respective asynchronously sampled diagram (right). The latter does not need a synchronized clock, a feature that leads to a simpler and transparent implementation. However, a histogram obtained from such asynchronous samples corresponds to an approximation of the monitored signal's probability density function.

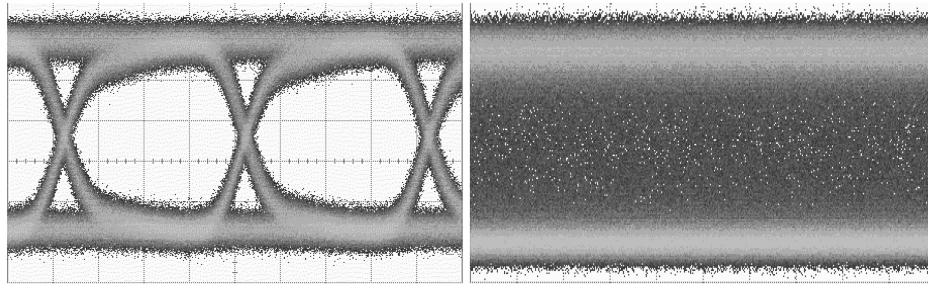


Figure 4. Synchronous eye diagram for a 2.5 Gbit/s optical signal (left) and the corresponding asynchronous eye diagram (right).

The asynchronous Q-factor for a 2.5 Gbit/s signal obtained by this method reflects the signal degradation due to the accumulation of noise, waveform distortion due to chromatic dispersion, nonlinear effects, and intersymbol interference [25, 35]. This method was extended in order to characterize 40 Gbit/s optical signals [36]. Recently we have studied the limitations imposed by non-ideal sampling systems in asynchronous sampling based Q-factor monitoring techniques [37].

Optical Networking

In relation to optical networking, the following topics are being pursued:

- Studies on topology
- Performance analysis and design of optical transport networks taking into account the constraints due to the physical layer (optical fiber, optical components) and the upper layer protocols to be serviced
- Automatic switched optical networks: planning, routing, protection and restoration
- Optical code division multiple access (OCDMA) [38]
- Optical packet/burst switching.

As regards the restrictions placed on network design by various physical layer limitations, we note that the maximum number of nodes that a network with a physical ring topology can support depends on physical restrictions, on the node structure as well as on the protection strategy. In our studies we have assumed that the nodes are optical add-drop multiplexers (OADMs) constructed using arrayed-waveguide gratings and the main physical restrictions are the crosstalk from OADMs, fiber and component losses, optical amplifier gain saturation and optical amplifier noise accumulation. We have considered SPRings (shared protection rings) and assumed that the protection operates at the multiplexer section (OMS) level. To estimate the maximum number of nodes in the presence of physical restrictions a transmission model was developed that uses the bit error ratio (BER) as a quality metric and considers uniform traffic demand between nodes [39]. Applying this model to a 2-fiber OMS-SPRings shows that for a bit rate of 2.5 Gb/s, the ring cannot support more than 9 nodes if a BER of 10^{-12} is required, if very strict crosstalk requirements are imposed [40]. This number can increase using a 4-fiber OMS-SPRing as can be concluded from Figure 5, where the OADM input power is represented as a function of the number of nodes for the normal state and protection state. Actually, this figure shows that a network with 15 nodes is feasible provide that the OADM crosstalk is less than -35 dB and the amplifier saturation power is larger than 15 dBm.

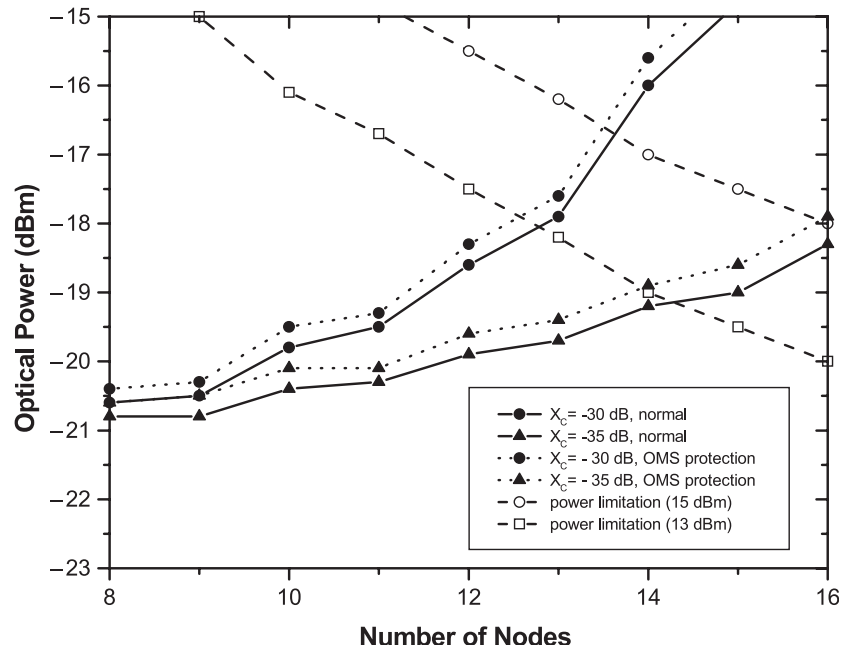


Figure 5. Input power (@BER = 10^{-12}) versus the number of nodes for a 4-fiber OMS SPRing, operating in normal state (solid lines), or in the protection state (dotted lines) with crosstalk (X_c) as a parameter. Dashed lines correspond to the maximum available power per two values of the amplifier saturation power.

The work realized in optical networking comprises also the study of techniques and algorithms for efficient and reliable optical transport network planning. The network planning problem is often decomposed in various sub-problems such as topology design, routing and wavelength assignment (RWA), and protection strategy. In the topology design stage it is common to map a real-world network on a multi-ring structure in order to achieve a high degree of resilience and consider a dual node interconnection between rings. In our studies we treat the problem of multi-ring generation and dual node interconnection as a whole, rather than separate problems, as they are usually analyzed, and use the simulated annealing algorithm to optimize the network cost. The results obtained show a significant 20% savings in the network cost in comparison with the conventional approach. The RWA algorithm that we have proposed for multi-ring networks uses an exact routing algorithm to handle the traffic inside the rings and a hyper-ring concept to deal with inter-ring traffic, while the wavelength assignment problem is treated as a graph coloring problem [41]. Using this algorithm a range of protection schemes can be compared. It is shown, namely, that by sharing the protection capacity, as the case of SPRings (shared protection rings), the number of required wavelengths can be reduced up to 50% in comparison with the dedicated strategy used in DPRings (dedicated protection rings), assuming a random traffic demand between nodes. The architectures that we have considered for providing the dual-node interconnection are based on the drop-and-continue concept, imported from SDH, and the sections between the primary node and the secondary node can be implemented by using the service capacity or the protection capacity.

On the subject of packet switching, the AOLS (all-optical label swapping) technique has been proposed, in order to increase the network efficiency and capacity of optical switching networks. In this technique packet reading and routing are carried out directly in the optical domain, avoiding the electronic payload processing. Recently, the orthogonal modulation technique has also been suggested. In this case, the label is modulated in FSK (frequency shift keying) format, while the IP payload is modulated in ASK (amplitude shift keying). The proposed method has the advantage of providing a simple way to label extraction and encoding processes. We have experimentally and theoretically studied the transmission characteristics for a hybrid modulation format (FSK/ASK), optimizing the emitter characteristics, such as extinction ration, and the chromatic dispersion management [20].

Another aspect that we are investigating at IT relates to the cost impact of scalability of optical transport networks. In the current economic environment, network transport service providers must balance the initial capital outlay for setting up the network, with the lowest total cost of ownership over the network lifecycle, which depends on the flexibility as regards network scalability. We are considering the merits of two different optical network architectures and compare the cost of each alternative as network traffic grows. Therefore, we mainly address the scalability problem (in terms of transmission capacity) of the optical transport network. The issue of scalability concerns whether the networks can scale with the traffic growth and be expandable at reasonable costs.

The first approach is based on optical transmission and electrical switching equipment, such as SDH digital cross connects (DXC), with capacity for switching time multiplexed containers. The optical signal is converted to the electrical domain in each node, switched and converted back into the optical domain before feeding an optical transmission system again. The alternative approach is based on optical transmission and switching equipment, namely optical cross connects (OXC), with capacity for switching individual optical wavelengths.

We consider realistic scenarios and equipment costs [42]. First, we start with a moderate loaded traffic matrix, and afterwards we increase the traffic. Although, the specific results depend greatly on the chosen scenario, there are some general results that become clear after considering several and distinct scenarios. The major point is that the choice for the technology to use must be a balanced compromise on the initial cost budget and the network scalability. The best solution tends to be a hybrid solution due to the fact that both technologies can coexist in the same network. We note that some network nodes are mostly passing through nodes, in which case the possibility of using optical switching equipment can be evaluated. Otherwise, generally, electrical switching would be cheaper. The network efficiency (occupancy) will be better with electrical switching due to the implicit flexibility of the time multiplexed technique. The full equipment occupancy leads to cheaper unit cost, but increases the scalability price and reduces the possibility of accommodation of sporadic traffic increase. Beyond the spare bandwidth, which facilitates scalability, optical switching could be used for extra protection, restoration, and network control schemes.

In IT, research has also been carried out with optical code division multiple access (OCDMA), for applications in LANs, and considering non-coherent detection. Such systems have significant advantages in terms of the complexity required to build the transmitters and receivers, but because they are based on intensity modulation, the signature codes are restricted to unipolar (0,1) sequences. This non-negative nature of the signals prevents the use of the well-studied bipolar codes commonly used in radio communications and provided the motivation for the development of unipolar pseudo-orthogonal

codes. The number of available codes is, however, rather low and they are clearly inferior in terms of auto-correlation or cross-correlation properties to the well-designed bipolar codes such as Gold or Kasami sequences, thus putting limits on the maximum number of simultaneous users. To allow the use of bipolar codes with incoherent detection, solutions essentially based on complementary keying were proposed either for time or spectral coding. The work at IT [43] has dealt with balanced complementary receivers considering both synchronous and quasi-synchronous networks, and the objective was to find bipolar codes with good properties when used in a quasi-synchronous system employing balanced receivers. Sequences based on Gold codes, tolerant to jitter, were derived and proposed for LANs where the jitter tolerance allows the implementation of network synchronization without excessive complexity.

In the area of optical packet/burst switching (OBS) we have focused on the study of 1) network topologies for OBS mesh networks and 2) on the performance of signaling protocols for OBS networks. In order to carry out this research work, an object-oriented simulator for OBS networks has been developed [44]. Almost all of the published work in this area is based on linear or ring topologies or on well-known and already installed WDM mesh topologies such as the NSFNET and the European Optical Network (EON). Since burst loss is a key issue in OBS networks and several topologies with the same nodal degree may lead to different network performances, network topology may become an important feature for network planning using OBS technology. The research work in this area is focused on the network performance taking into account the following mesh topologies [45–49]: chordal rings with nodal degrees between 3 and 6, mesh-torus, the NSFNET with 14-node, the NSFNET with 16 nodes, the ARPANET with 20 nodes, and the European Optical Network (EON) with 19 nodes. For comparison purposes bi-directional ring topologies are also considered. Figure 6 shows the nodal degree gain, as a function of the nodal degree, due to the increase of the nodal degree from

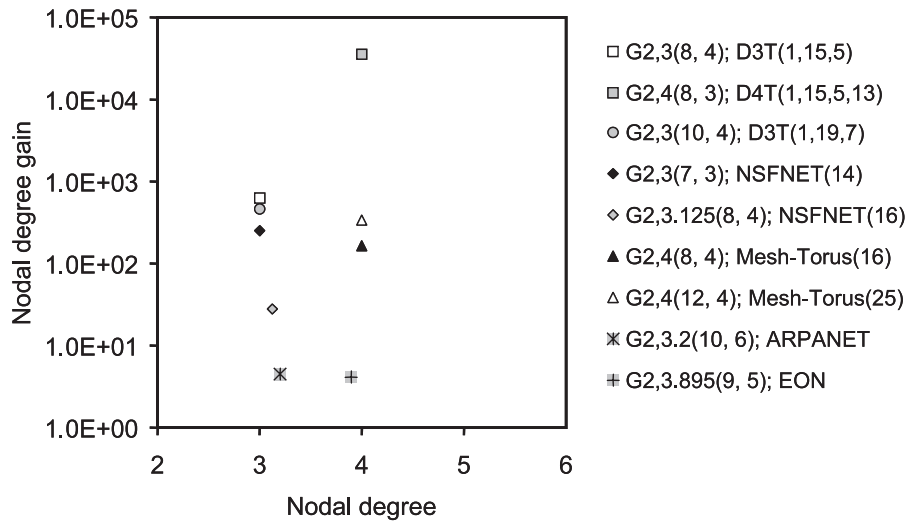


Figure 6. Nodal degree gain in the last hop of each topology, as a function of the nodal degree, due to the increase of the nodal degree from 2 [D2T(1, 15)] to: 3 [D3T(1, 15, 5) and D3T(1, 19, 7)], 3.125 (NSFNET), 3.895 (EON), 4 [D4T(1, 15, 5, 13) and mesh-torus with 16 and 25 nodes], and 5 [D5T(1, 15, 7, 3, 9)], for JIT signaling protocol; Number of data channels per link: 64.

2 [D2T(1,15)] to: 3 [D3T(1, 15, 5) and D3T(1, 19, 7)], 3.125 (NSFNET), 3.895 (EON), 4 [D4T(1,15,5,13) and mesh-torus with 16 and 25 nodes], and 5 [D5T(1,15,7,3,9)]. As may be seen, when the nodal degree increases from 2 to around 3, the largest gain is observed for degree-three chordal rings (slightly less than three orders of magnitude) and the smallest gain is observed for the ARPANET (less than one order of magnitude). When the nodal degree increases from 2 to around 4, the largest gain is observed for degree-four chordal rings (with a nodal degree gain between four and five orders of magnitude) and the smallest gain is observed for the European Optical Network (with a gain less than one order of magnitude). These results clearly show the importance of the way links are connected in OBS networks, since, in these kind of networks, burst loss probability is a key issue [46, 49].

Concerning signaling protocols for OBS networks, most of the published work does not consider several important parameters such as the offset length, the processing time of setup messages, and the configuration time of optical switches, which have significant impact on burst loss. Research activities in this topic are focused on the performance of signaling protocols with one-way reservation schemes taking into account the above-referenced parameters. The following protocols are being considered: just-in-time (JIT), JumpStart, JIT⁺, just-enough-time (JET), and Horizon [44, 46, 49].

Conclusions

In this contribution we have described the main research activities in optical communications at Institute of Telecommunications. Our research considers various aspects of optical communications, namely components and subsystems, communication systems, and networking technologies. Most of the work carried out in these sub-fields aims at contributing to the implementation of all-optical networks, with very high bit rates per wavelength.

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