Nuclear Dynamics in the Vicinity of a Crossing Seam: Vibrational Spectrum of HD₂ Revisited

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ABSTRACT: So far, most studies of the geometric phase effect have presumed that the phase is path-independent; hence, one must supply another restriction concerning the boundary condition on the nuclear wave functions when dealing with nonsymmetric isotopomers of X₃ systems. We report calculations of the vibrational spectra of HD₂ using a recently proposed generalized Born–Oppenheimer (GBO) formalism. The calculations demonstrate that there are significant differences between the results calculated from the present GBO method and those based on the preceding presumption. © 2001 John Wiley & Sons, Inc. Int J Quantum Chem 83: 279–285, 2001

Key words: generalized Born–Oppenheimer; vibrational spectra; HD₂; nonsymmetric isotopomers

Introduction

n 1963, Herzberg and Longuet-Higgins [1] proved that a real-valued adiabatic electronic wave function changes sign when the nuclear coordinates traverse a closed path that encircles a conical intersection. This change implies a breakdown of the standard Born–Oppenheimer (BO) treatment [2] whenever such an intersection is present [1, 3–8]. Two decades ago, Mead and Truhlar [9–11] showed that the single-surface BO treatment could be generalized by introducing a vector potential into the nuclear Schrödinger equation. A few years later,

Contract grant sponsor: Fundação para a Ciência e Tecnologia. Berry [12] proved the geometric phase (GP) effect (which had then become known also as the Berry phase effect) in a wider context by showing that it can be present on the adiabatic evolution of other quantum systems. Moreover, Aharonov and Anandan [13] removed the restriction of adiabaticity and defined the geometric phase for any cyclic quantum evolution. They also explained the Aharonov– Bohm [14] effect for a charged particle moving in the presence of a magnetic solenoid, which is often [15, 16] taken to be synonymous with the GP effect due to the similarity of the involved differential equations.

It is well established that the GP effect plays a significant role in molecular spectra [17-21] and scattering [22-29]; for reviews, see Refs. [15] and [16] (see also Ref. [30] and references therein). In fact,

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as shown many years ago [31] by ab initio calculations, the GP effect may be present even when the system has no symmetry, such as is the case for the ground electronic state of LiNaK. Two alternative possibilities have been suggested to account for the GP effect in the case of an X₃ molecule. One consists of multiplying the real double-valued electronic wave functions by a complex phase factor that changes sign when it encircles the conical intersection and, hence, makes the resulting complex electronic wave function single-valued [24, 32, 33]. In fact, such a complex phase factor leads to the previously mentioned vector potential of Mead and Truhlar [9, 11]. The other approach is owing to Billing and Marković [34], who utilized hyperspherical coordinates to include the GP effect in X_3 molecules that have a single D_{3h} conical intersection seam. A similar method was employed by us [17, 20, 21] to study the resonance and vibrational spectra of H₃ and Li₃; this work was recently reviewed [35]. The most recent J = 0 calculations of the vibrational states of Li3 in its lowest electronic doublet state, performed using a reliable double many-body expansion [36, 37] potential energy surface [38, 39] and a minimum-residual filter diagonalization (MFD) technique [40], both without consideration (NGP) and with consideration (GP) of the GP effect, can be found elsewhere [20, 21]. Of course, H₃ and its isotopic variants also have been very extensively studied [17, 20, 21, 25, 26, 41-50]. In particular, the articles by Varandas and Yu [17, 47] focused on the role of the GP effect in the transition state resonances and vibrational states of H₃, which were investigated using a time-dependent wavepacket approach. However, a complication arises when the GP effect is investigated in isotopomers of such X_3 systems. This complication is due to the mass scaling involved in defining the hyperspherical coordinates. Kuppermann and Wu [25] studied the GP effect in DH₂ using a mass-scaled Jacobi vectors [51] formula. More recently, we proposed a novel split basis technique [52] to treat the same problem. In these treatments, the geometric phase is assumed to be path-independent and, hence, another restriction must be added to the boundary condition on the nuclear wave functions for nonsymmetric isotopomers of X₃ systems.

Recently, we followed a strategy similar to Baer and Englman [53, 54], and derived novel singlesurface Born–Oppenheimer equations [49]. (A rebuttal to their work was published [55], but the arguments involved do not concern our work. Thus, we admit our formalism to be strictly valid in the vicinity of the conical intersection, but convey generality to it by invoking the well known [1, 4-7]fact that such regions influence the nuclear dynamics in a dominant way, even when energetics allows us to sample areas of configurational space far away from the crossing seam.) We found [49] that the geometric phase $A(\mathbf{R})$ is defined by the argument of the complex electronic vector state in the complex plane spanned by the two real-valued electronic components. Such an angle is identical (up to a constant) to the mixing angle $\gamma(\mathbf{R})$ in the two coupled-state problem. By employing a line-integral technique [54, 56-66] to study the GP effect in two coupled-state hydrogenic systems, we also showed [50] that the adiabatic-diabatictransformation angle [56-59, 61] is identical (up to a constant) to the geometry-dependent mixing angle $\gamma(\mathbf{R})$ of the orthogonal transformation that diagonalizes the diabatic potential matrix [47, 67-71]. Most recently, we discussed [72] the singularities that arise in the Hamiltonian at the crossing seam and we established the relationship between the magnetic vector, the electric scalar gauge potentials, and the mixing angle.

This article is organized as follows. In the next section, we briefly survey the theory. Using the relevant generalized Born–Oppenheimer (GBO) equation, calculations of the vibrational spectrum for HD_2 are reported in the third section. The conclusions are given in last section.

Theory

GENERALIZED BORN-OPPENHEIMER EQUATION

The time-independent Schrödinger equation (relativistic effects are ignored) for a coupled multistate electronic manifold assumes the form [9, 48, 53, 54, 73, 74]

$$\left\{-\frac{\hbar^2}{2\mu}\left[\nabla^2 + 2\mathbf{F}(\mathbf{R})\cdot\nabla + \mathbf{G}(\mathbf{R})\right] + \mathbf{V}(\mathbf{R})\right\}\chi(\mathbf{R}) = \mathbf{E}\chi(\mathbf{R}),\tag{1}$$

where $\mu = [(1/M) \prod_{i=1}^{N} m_i]^{1/(N-1)}$ is the characteristic reduced mass of the system, $\chi(\mathbf{R})$ denotes a column vector whose components are the nuclear wave functions $\chi_I(\mathbf{R})$, and the matrix elements of **F**(**R**), **G**(**R**), and **V**(**R**) are defined by

$$\mathbf{F}_{IJ}(\mathbf{R}) = \langle \psi_I(\mathbf{r}; \mathbf{R}) \big| \nabla \psi_J(\mathbf{r}; \mathbf{R}) \rangle, \qquad (2)$$

$$G_{IJ}(\mathbf{R}) = \langle \psi_I(\mathbf{r}; \mathbf{R}) | \nabla^2 \psi_J(\mathbf{r}; \mathbf{R}) \rangle, \qquad (3)$$

$$V_{IJ}(\mathbf{R}) = \langle \psi_I(\mathbf{r}; \mathbf{R}) | H_e | \psi_J(\mathbf{r}; \mathbf{R}) \rangle, \qquad (4)$$

where (and hereafter) the bra–ket notation $\langle | \rangle$ is used to specify integration over the electronic coordinates **r** only, and ∇ implies taking the gradient with respect to the nuclear internal degrees of freedom **R**. After some algebraic manipulation, Eq. (1) may assume the simplified single-surface equation form

$$\begin{cases} -\frac{\hbar^2}{2\mu} \left[\nabla^2 - \left(\nabla \gamma(\mathbf{R}) \right)^2 \right] + V_2 - E \\ \end{bmatrix} \tilde{\chi} \\ = -i \frac{\hbar^2}{2\mu} \left[\nabla^2 \gamma(\mathbf{R}) + 2 \nabla \gamma(\mathbf{R}) \cdot \nabla \right] \tilde{\chi}, \quad (5) \end{cases}$$

where, following Longuet-Higgins [5] and Baer and Englman [53], we have defined the complex nuclear wave function $\tilde{\chi}$ as

$$\tilde{\chi} = \frac{1}{\sqrt{2}}(\chi_1 + i\chi_2). \tag{6}$$

Note that to obtain Eq. (5), we have neglected the term $(V_1 - V_2)\chi_1/\sqrt{2}$ based on the assumption that the dynamics is dominated by the behavior of the two potential energy surfaces in the vicinity of the conical intersection (where $V_1 \simeq V_2$). Note also that V_2 stands for the upper adiabatic potential energy surface and that Eq. (5) leads to the Born-Oppenheimer approximation when the derivative coupling elements are constant or zero. We further point out that Eq. (5) differs from an equation derived earlier by Baer and co-workers [53, 75] for the lower adiabatic sheet in that the mixing angle now appears in it explicitly. Moreover, because Eq. (5) has been derived under the assumption that the dynamics is controlled by the vicinity of the conical intersection, it should be valid to describe also the nuclear dynamics in the upper adiabatic potential energy surface provided that the appropriate adiabatic potential function is used.

Similarly to the case of the nuclear wave function, Eq. (6), we now define the complex electronic wave function (for the use of a similar definition, see Ref. [76]) as

$$\tilde{\psi} = \frac{1}{\sqrt{2}}(\psi_1 + i\psi_2),$$
(7)

where ψ_I are the real-valued electronic wave functions that correspond to the wave functions χ_I for the *I*th nuclear state. In the complex plane spanned by the two electronic vector states, $\{|\psi_1\rangle, i|\psi_2\rangle\}$, the complex vector state $|\tilde{\psi}\rangle$ has, therefore, an argument equal to $A(\mathbf{R}) = \arg |\tilde{\psi}\rangle$. Of course, the same is true for the complex nuclear wave functions: in the complex plane $\{|\chi_1\rangle, i|\chi_2\rangle\}$, the complex vector state $|\tilde{\chi}\rangle$ is characterized by the same argument $A(\mathbf{R}) = \arg |\tilde{\chi}\rangle$ as $|\tilde{\psi}\rangle$.

We proceed by evaluating the derivative coupling for the complex electronic wave function defined in Eq. (7). We get [49]

$$\langle \psi_1 | \nabla \psi_2 \rangle = \nabla A(\mathbf{R}),\tag{8}$$

which shows that the derivative coupling is given by the gradient of the geometric phase. A similar result (except for the sign) was obtained by Baer [54] using a different approach. Of course, Eq. (8) holds exactly in the vicinity of the crossing seam where the phase $A(\mathbf{R})$ obeys the relationship $A(\mathbf{R}) = A_1(\mathbf{R}) =$ $A_2(\mathbf{R})$. On the other hand, it is easy to show [49] that

$$\langle \psi_1 | \nabla \psi_2 \rangle = \nabla \gamma(\mathbf{R}). \tag{9}$$

By comparing Eq. (9) with Eq. (8), we then get

$$\nabla A(\mathbf{R}) = \nabla \gamma(\mathbf{R}). \tag{10}$$

This is a key result for the present work, because it shows that the geometric phase $A(\mathbf{R})$ is identical to the mixing angle $\gamma(\mathbf{R})$ except for a constant term that has no physical implications. Thus, provided that we chose such a constant term to be zero, we get

$$A(\mathbf{R}) = \gamma(\mathbf{R}). \tag{11}$$

We now recall [50] that the mixing angle $\gamma(\mathbf{R})$ has the correct sign-change behavior: $\Delta \gamma = \pi$ for a closed path that encircles the crossing seam; $\Delta \gamma = 0$ for a closed path that does not encircle such a seam. Note that the phase $A(\mathbf{R})$ corresponds to the phase change accumulated over a cyclic path where the dynamical phase vanishes [13, 77, 78], and this will be denoted the geometric phase. Note further that the phase defined from Eq. (11) will display the correct sign-change behavior. In other words, $\gamma(\mathbf{R})$ can be used to avoid artificial impositions of proper boundary conditions in the nuclear wave functions, as most authors have done so far.

Vibrational Spectrum of HD₂

CROSSING SEAM OF POTENTIAL ENERGY SURFACES

As shown elsewhere [50], for any isotopomer of a X_3 system, the crossing seam in hyperspherical coordinates (ρ , θ , ϕ) is generally defined at an arbitrary value of the hyperradius ρ by

$$\phi_s = \tan^{-1} (\cos \chi_{AC} - t \cos \chi_{AB} - (d_A/d_C)^2 + t(d_A/d_B)^2) (\sin \chi_{AC} + t \sin \chi_{AB})^{-1}$$
(12)

$$\theta_s = 2\sin^{-1}\left\{\frac{(d_A/d_B)^2 - 1}{\cos(\phi_s - \chi_{AB}) - (d_A/d_B)^2\cos\phi_s}\right\}, (13)$$

where *t* is given in the form

$$t = \left[\left(\frac{d_A}{d_C} \right)^2 - 1 \right] \left[\left(\frac{d_A}{d_B} \right)^2 - 1 \right]^{-1}$$
(14)

and

$$d_X^2 = \frac{m_X}{\mu} \left(1 - \frac{m_X}{M} \right), \qquad \chi_{XY} = 2 \tan^{-1} \left(\frac{m_Z}{\mu} \right), \tag{15}$$
$$\mu = \sqrt{\frac{m_A m_B m_C}{M}}, \qquad M = m_A + m_B + m_C$$

with *X*, *Y*, and *Z* standing for atoms *A*, *B*, and *C* with masses m_A , m_B , and m_C . In case two atomic masses are equal, namely $m_B = m_C$, we get for θ_s the simplified expression

$$\theta_s = 2\sin^{-1} \left| \frac{m_B - m_A}{m_B + 2m_A} \right|,$$
(16)

whereas ϕ_s assumes the value π when $m_A > m_B$ and assumes the value zero when $m_A < m_B$. For the case of HD₂, the equation for the (straight line) seam is therefore defined [50] by ($\theta_s = 0.5048$ rad, $\phi_s = 0$). Since θ_s is different from zero, only closed paths with $\theta \ge \theta_s$ will enclose the seam: all other loops that correspond to $\theta < \theta_s$ will not satisfy such a requirement.

CALCULATIONS AND DISCUSSION

The rovibrational energy states can be calculated by solving Eq. (5), namely

$$\hat{H}\tilde{\chi} = E\tilde{\chi},\tag{17}$$

where $\hat{H} = \hat{H}_0 + i\hat{H}_1$, where \hat{H}_0 is the system Hamiltonian without consideration of the GP effect. In modified hyperspherical coordinates [17, 79] (see also Refs. [80] and [81]) and neglecting all spin–orbit and spin–spin interactions, we have

$$\hat{H}_{0} = -\frac{\hbar^{2}}{2\mu} \left\{ \frac{\partial^{2}}{\partial\rho^{2}} + \frac{16}{\rho^{2}} \left[\frac{1}{\sin\theta} \frac{\partial}{\partial\theta} \sin\theta \frac{\partial}{\partial\theta} + \frac{1}{4\sin^{2}(\theta/2)} \frac{\partial^{2}}{\partial\phi^{2}} \right] \right\} + \frac{\hat{f}^{2} - \hat{f}^{2}_{z}}{\mu\rho^{2}\cos^{2}(\theta/2)} + \frac{\hat{f}^{2}_{z} + 4i\hbar\hat{f}_{z}\cos(\theta/2)(\partial/\partial\phi)}{2\mu\rho^{2}\sin^{2}(\theta/2)} + \frac{15\hbar^{2}}{8\mu\rho^{2}} + \frac{\sin(\theta/2)}{\mu\rho^{2}\cos^{2}(\theta/2)} \frac{1}{2} [\hat{f}^{2}_{+} + \hat{f}^{2}_{-}] + V_{2}(\rho, \theta, \phi) + \frac{\hbar^{2}}{2\mu} [\nabla\gamma(\rho, \theta, \phi)]^{2}, \quad (18)$$

where \hat{J}_{t} , \hat{J}_{z}_{t} , and \hat{J}_{\pm} are the total angular momentum, its component along the z axis, and the raising/lowering operators in the body-fixed frame, respectively, which are defined by the set of external coordinates (α , β , γ). In turn, V_2 is the potential energy surface of the system, which depends only on the three internal coordinates (ρ, θ, ϕ) . The hyperangles θ and ϕ are related [17] to the Smith–Whitten (Θ, Φ) hyperangles [82] through the relationships $\theta = \pi - 4\Theta$ and $\phi = 2\pi - 2\Phi$. Hence, the range of θ is extended from 0 to π and, hence, Legendre (or Jacobi) polynomials in $\cos \theta$, $\varphi_i(\cos \theta)$, can be used as the finite basis representation in θ . To include the GP effect, we employ the generalized Born-Oppenheimer formulation described earlier, i.e., we take into consideration the imaginary operator $i\hat{H}_1$, where

$$\hat{H}_1 = -\frac{\hbar^2}{2\mu} \Big[\nabla^2 \gamma(\rho, \theta, \phi) + 2\nabla \gamma(\rho, \theta, \phi) \cdot \nabla \Big].$$
(19)

Note that we can still use Eq. (17) in the present case because the dynamics should be dominated by the GP effect which is dictated by the conical intersection. Indeed, even if this is not the case, we note that all previous GP calculations have been based on a similar type judgement.

Following previous work [20, 21], the action of the Hamiltonian \hat{H} on the wave function (Lanczos vector) was carried out using a mixed grid-basis method. Uniform grids were employed for the coordinates ρ and ϕ , while the actions of the associated kinetic energy operators were performed by using a prime-factor fast Fourier transform technique [17]. Calculations of the eigenenergies were carried out by using the MFD technique. Similarly to the simple Lanczos algorithm, the MFD approach has low storage requirements (only two iteration vectors) and utilizes just a single Lanczos recursion for the eigenvalue problem. Furthermore, it can eliminate spurious and ghost eigenvalues [83].

In addition to the NGP calculations reported before [52], we present two sets of novel results: one uses the present formalism (set I) and the other is based on the common assumption that $A(\mathbf{R}) = \phi/2$ (set II) by using a split basis approach [52]. In this case, for $\theta < \theta_s$, we expand the nuclear wave function in terms of a single-valued basis

$$\chi(\rho,\theta,\phi) = \sum_{n} C_{n}(\rho) f(\theta) \cos(n\phi), \qquad (20)$$

where C_n are expansion coefficients and $f(\theta)$ is a θ -dependent orthonormal polynomial type basis. Instead, for $\theta > \theta_s$, we carry out the expansion using the doubled-valued basis

$$\chi(\rho,\theta,\phi) = \sum_{m} C_{m}(\rho)f(\theta)\sin\left[\left(m+\frac{1}{2}\right)\phi\right],\quad(21)$$

where C_m are also expansion coefficients. The calculated numerical values are reported in Tables I and II for the lowest 100 calculated levels.

The differences between these two sets of GP calculations are illustrated graphically in Figure 1 and also compared with the NGP calculations. As can be seen, there are differences between them: In set I, using Eq. (17), we have 50 levels below the energy value of 4.7850 eV, whereas in set II, only 49 levels appear. Note that level number 17, which corresponds to an energy of 4.5054 eV, is missing in set II.

TABLE I

Vibrational energy levels (in electronvolts) of HD₂ calculated using Eq. (17).

Moreover, two levels with energies at 4.7830 and 4.7837 eV appear in set I, whereas levels with energies at 4.7814 and 4.7836 eV appear in set II. There are additional differences at higher vibrational energies as indicated by the thicker bars shown in Figure 1. By comparing our previours results for H₃ [49] with the present results, we also observed that the GP effect has a more remarkable influence for H₃ than for its isotopic variants. This is due to the fact that the crossing seam in H₃ is characterized by $\theta_s = 0$, whereas in HD₂, the equation for the seam is defined by $\theta_s = 0.5048$ rad, $\phi_s = 0$. The wider the region is on which the GP effect acts, the stronger the influence is on the vibrational spectra (see also Ref. [52]).

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Vibrational energy levels (in electronvolts) of HD ₂
calculated using the assumption $A(R) = \phi/2$.

	-		-								
1	3.5939	35	4.7650	69	4.8107	1	3.5939	35	4.7661	69	4.8124
2	3.7591	36	4.7661	70	4.8124	2	3.7591	36	4.7686	70	4.8136
3	3.9150	37	4.7686	71	4.8134	3	3.9150	37	4.7697	71	4.8153
4	4.0614	38	4.7697	72	4.8154	4	4.0614	38	4.7727	72	4.8180
5	4.0851	39	4.7727	73	4.8169	5	4.0851	39	4.7729	73	4.8194
6	4.1158	40	4.7729	74	4.8186	6	4.1158	40	4.7750	74	4.8211
7	4.1981	41	4.7750	75	4.8215	7	4.1981	41	4.7758	75	4.8230
8	4.2285	42	4.7758	76	4.8231	8	4.2285	42	4.7760	76	4.8245
9	4.2571	43	4.7760	77	4.8251	9	4.2571	43	4.7776	77	4.8259
10	4.3248	44	4.7776	78	4.8262	10	4.3248	44	4.7803	78	4.8277
11	4.3606	45	4.7803	79	4.8284	11	4.3606	45	4.7807	79	4.8297
12	4.3870	46	4.7807	80	4.8304	12	4.3870	46	4.7812	80	4.8309
13	4.4407	47	4.7812	81	4.8315	13	4.4407	47	4.7814	81	4.8312
14	4.4475	48	4.7830	82	4.8338	14	4.4475	48	4.7836	82	4.8337
15	4.4810	49	4.7837	83	4.8351	15	4.4810	49	4.7843	83	4.8356
16	4.4974	50	4.7843	84	4.8368	16	4.4974	50	4.7865	84	4.8367
17	4.5054	51	4.7854	85	4.8392	17	4.5449	51	4.7872	85	4.8375
18	4.5449	52	4.7864	86	4.8408	18	4.5564	52	4.7878	86	4.8409
19	4.5564	53	4.7873	87	4.8426	19	4.5718	53	4.7889	87	4.8424
20	4.5718	54	4.7885	88	4.8449	20	4.5897	54	4.7907	88	4.8437
21	4.5897	55	4.7901	89	4.8462	21	4.6114	55	4.7917	89	4.8460
22	4.6114	56	4.7912	90	4.8475	22	4.6157	56	4.7922	90	4.8475
23	4.6157	57	4.7925	91	4.8491	23	4.6360	57	4.7944	91	4.8496
24	4.6360	58	4.7930	92	4.8513	24	4.6656	58	4.7964	92	4.8515
25	4.6656	59	4.7945	93	4.8539	25	4.6815	59	4.7971	93	4.8532
26	4.6815	60	4.7967	94	4.8553	26	4.6852	60	4.7988	94	4.8563
27	4.6852	61	4.7977	95	4.8568	27	4.7037	61	4.8007	95	4.8578
28	4.7037	62	4.7999	96	4.8590	28	4.7115	62	4.8021	96	4.8562
29	4.7115	63	4.8010	97	4.8616	29	4.7193	63	4.8025	97	4.8577
30	4.7193	64	4.8026	98	4.8622	30	4.7602	64	4.8046	98	4.8587
31	4.7602	65	4.8042	99	4.8644	31	4.7607	65	4.8063	99	4.8611
32	4.7607	66	4.8052	100	4.8665	32	4.7636	66	4.8077	100	4.8639
33	4.7636	67	4.8073			33	4.7648	67	4.8090		
34	4.7648	68	4.8089			34	4.7650	68	4.8104		



FIGURE 1. Comparison of the lowest "cone" states of HD₂ calculated without consideration (NGP) and with consideration (GP) of the geometric phase effect. Shown by the thicker lines indicated the extra levels that arise in the two sets of GP calculations. See the text.

Conclusions

In the present work, we used a recently proposed GBO equation to study the GP effect in the vibrational spectra of HD₂. Numerical calculations have demonstrated that there are significant differences between the results calculated from this GBO formulation and those based on the assumption that $A(\mathbf{R}) = \phi/2$. We also stress that using the formalism of the present work allows us to avoid the difficulties usually encountered when investigating the GP effect in nonsymmetric isotopomers of X₃. Indeed, we no longer need to determine the boundary line θ_s , which defines the position of the crossing seam (and hence the closed loops for which the nuclear wave functions should change sign) in mass-dependent hyperspherical coordinates [25, 52].

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NUCLEAR DYNAMICS IN THE VICINITY OF A CROSSING SEAM

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