



21 **Introduction**

22 External prestressing is increasingly used for the rehabilitation and also in the  
23 construction of concrete bridges, which are usually continuous over multiple spans in  
24 engineering practice. For the rational design of this type of structures, a good  
25 understanding of the behavior of continuous concrete beams with external  
26 prestressing is needed.

27 During the last 20 years, many experimental and theoretical works have been  
28 carried out to study the behavior of simple beams with external prestressing,  
29 particularly, the second-order effects caused by the change in tendon eccentricity with  
30 varying member deflection (Tan and Ng 1997; Harajli et al. 1999; Pisani 2005; Ng  
31 and Tan 2006; Au et al. 2008; Lou and Xiang 2010) and the ultimate stress in external  
32 tendon (Ghallab and Beeby 2005; He and Liu 2010). Due to continuity, the behavior  
33 of continuous externally prestressed concrete beams may be different from that of  
34 simple ones. Moreover, the continuous prestressed concrete beams have some  
35 additional characteristics, such as redistribution of moments in the post-elastic range  
36 and secondary moments due to prestressing. In ACI 318-11 (ACI Committee 318  
37 2011), the secondary moment was included in the design moment in consideration of  
38 incomplete redistribution of moments, while in an earlier version (ACI Committee  
39 318 1971) this moment was neglected, with the explanation that a continuous beam  
40 has converted into a statically determine structure after the formation of plastic  
41 hinges.

42 Some investigators have devoted their works to continuous beams with external

43 prestressing. Harajli et al. (2002) conducted an experimental program and proposed  
44 an analytical model to evaluate the flexural response of externally prestressed  
45 continuous beams. Roberts-Wollmann et al. (2005) presented the results of a research  
46 originally performed by MacGregor, which included the test of a three-span  
47 continuous segmental girder post-tensioned with external tendons, and the  
48 development of an equation for calculating the ultimate stress in external tendons.  
49 Aravinthan et al. (2005) tested a series of prestressed concrete beams with large  
50 eccentricity external tendons, including six two-span continuous beams and three  
51 simple beams, to investigate the flexural behavior of the beams. Tan and Tjandra  
52 (2003,2007) conducted a set of experimental works in which the shear and flexural  
53 behavior of continuous reinforced concrete beams strengthened by external  
54 prestressing were studied.

55       The above literature review shows that only a few works have been undertaken to  
56 reveal the comprehensive behavior of continuous concrete beams prestressed with  
57 external tendons. In particular, the moment redistribution and secondary moment  
58 behavior, which are important for the analysis and design of this type of structures,  
59 have not been adequately addressed yet. Also, most of the existing studies were based  
60 on the laboratory tests with limited number and size of specimens. Over past years, a  
61 number of analysis methods have been proposed to predict the behavior of concrete  
62 beams prestressed with external tendons (Ramos and Aparicio 1996; Ariyawardena  
63 and Ghali 2002; Dall'Asta et al. 2007; Pisani 2009). However, few of the methods  
64 have been used to evaluate the overall response of continuous externally prestressed

65 concrete beams.

66 This article describes a numerical study that is carried out to evaluate the flexural  
67 response of continuous externally prestressed concrete beams, including the  
68 load-deflection characteristics, increase in stress in external tendons, moment  
69 redistribution and secondary moments. The main parameters considered include the  
70 amount of nonprestressed steel, the pattern of loading, the type of beams and the  
71 layout of external tendons. A procedure based on linear transformation concept is  
72 designed to examine the actual secondary moments over entire loading up to the  
73 ultimate. Some conclusions are drawn based on the results obtained from the analysis.

74

## 75 **Numerical model and its validation**

### 76 *Material models*

77 The stress-strain ( $f_c - \varepsilon_c$ ) relationship for concrete in compression suggested by  
78 Hognestad (1951) is adopted in this numerical evaluation. It is expressed by

79 for  $0 \leq \varepsilon_c \leq 0.002$ ,

$$80 \quad f_c = f'_c \left[ \frac{2\varepsilon_c}{0.002} - \left( \frac{\varepsilon_c}{0.002} \right)^2 \right] \quad (1a)$$

81 for  $0.002 < \varepsilon_c \leq \varepsilon_u$ ,

$$82 \quad f_c = f'_c \left[ 1 - 0.15 \left( \frac{\varepsilon_c - 0.002}{\varepsilon_u - 0.002} \right) \right] \quad (1b)$$

83 as shown in Fig. 1(a). Also shown in this figure is the stress-strain diagram for  
84 concrete in tension (Kwak and Kim 2002), which is composed of elastic and  
85 strain-softening portions. In Fig. 1(a),  $f'_c$  = concrete cylinder compressive strength;

86  $\varepsilon_u$ =ultimate concrete compressive strain;  $f_t$ =concrete tensile strength;  $\varepsilon_{i0}=10 f_t / E_c$ ,  
87 where  $E_c$ =elastic modulus of concrete.

88 The stress-strain ( $f_p - \varepsilon_p$ ) curve for prestressing steel proposed by Menegotto and  
89 Pinto (1973) is used in this study. It is shown in Fig. 1(b) and expressed as

$$90 \quad f_p = E_p \varepsilon_p \left[ Q + \frac{1-Q}{\{1 + [\varepsilon_p E_p / (K f_{py})]^R\}^{1/R}} \right] \leq f_{pu} \quad (2)$$

91 where  $E_p$ ,  $f_{py}$  and  $f_{pu}$ =modulus of elasticity, yield stress and ultimate strength of  
92 prestressing steel, respectively; and  $K$ ,  $Q$  and  $R$ =empirical parameters, which are  
93 taken as 1.0618, 0.01174 and 7.344, respectively, in this numerical evaluation.

94 The stress-strain relationship for nonprestressed steel is assumed to be  
95 elasto-perfectly plastic both in tension and in compression.

#### 96 ***Description of the nonlinear analysis***

97 The finite element model developed by Lou and Xiang (2006) is used in this study.  
98 The method of proposed analysis is based on the assumptions of linear strain  
99 distribution across the concrete section, negligible shear deformations and negligible  
100 friction forces between external tendons and deviators. The concrete beam is divided  
101 into a number of beam elements interconnected by nodes. Each node has three  
102 degrees of freedom, namely, axial and transverse displacements and rotation. The  
103 cross section of a beam element is subdivided into discrete concrete and steel layers to  
104 include varied material properties. In this study, a total of 36 beam elements with  
105 equal element length are used for a two-span continuous beam, and 10 concrete layers  
106 and two steel layers (one for bottom steel bars and one for top steel bars) are adopted  
107 for a rectangular section. The finite element formulation is established based on the

108 Euler-Bernoulli beam theory. The contribution of external prestressing to the concrete  
109 beam is made by transforming the current prestressing force into equivalent nodal  
110 loads. At every step during the solution process, the eccentricities of external tendons  
111 are updated in terms of the current positions of these tendons, which are determined  
112 according to the current nodal displacements at anchorage and deviator points, and of  
113 the concrete beam, thus allowing the second-order effects to be considered. During  
114 the analysis, when the concrete strain at the critical section(s) reaches the ultimate  
115 compressive strain, which is taken as 0.0033 in this study, the beam fails due to the  
116 loss of the resisting force in the concrete at the critical section(s).

117 The finite element model can conduct the geometric and material nonlinear  
118 analysis of externally prestressed concrete beams, both simple and continuous, from  
119 zero loads up to the ultimate. The geometric nonlinearity includes the variation of the  
120 tendon eccentricity as well as the large displacement effect of the structure. In  
121 previous studies (Lou and Xiang 2006; Lou et al. 2011), the computer model was  
122 calibrated by experimental results of a total of 15 simply supported externally  
123 prestressed concrete specimens from different sources. In the present study, some  
124 continuous externally prestressed concrete specimens are collected to further verify  
125 the proposed analysis.

#### 126 ***Comparisons between computational and experimental results***

127 In a study by Harajli et al. (2002), nine two-span continuous externally prestressed  
128 concrete beams were tested. The test variables included the amount of external  
129 tendons and nonprestressed steel, the tendon profile and the deviator configuration.

130 The beams were of a rectangular section with 150mm in width and 200mm in depth.  
131 Among these specimens, B6D1 and B6D2 had two 6mm longitudinal bonded  
132 reinforcement with yield strength of 347MPa over critical regions, and had draped  
133 external tendons consisting of 5mm wires and 8mm seven-wire strands, respectively;  
134 B12D1 and B12D2 had two 12mm longitudinal bonded reinforcement with yield  
135 strength of 582MPa over critical regions, and had draped external tendons consisting  
136 of 5mm wires and 8mm seven-wire strands, respectively; B10S1A and B10S1B had  
137 two 10mm longitudinal bonded reinforcement with yield strength of 568MPa over  
138 critical regions, and had straight external tendons consisting of 5mm wires. Specimen  
139 B10S1A had deviators at midspans while Specimen B10S1B had no deviators at  
140 midspans. The effective prestress of the specimens mentioned above was of about  
141 0.55 times the ultimate strength of the prestressing steel, which was of 1607MPa for  
142 the 5mm wires and 1986MPa for the 8mm seven-wire strands. The concrete strengths  
143 were of about 40MPa.

144 The test beams were provided with stirrups so the concrete was confined. To  
145 simulate the confinement of concrete, the stress-strain relationship for concrete in  
146 compression proposed by Scott et al. (1982) is used in the analysis of the test beams.  
147 For unconfined concrete, the model proposed by Scott et al. (1982) is similar to the  
148 Hognestad model (1951) as indicated by Eq. (1).

149 Figs. 2-3 show the comparisons between numerical predictions and experimental  
150 results regarding the load-deflection curves and the increase in stress in external  
151 tendons with applied load. It can be seen from the figures that the proposed analysis

152 reproduces the experimental results of continuous externally prestressed concrete  
153 beam specimens, over entire loading up to the ultimate, with satisfactory agreement.

154

## 155 **Evaluation of the behavior of continuous beams**

156 Two-span continuous externally prestressed concrete beams are adopted here for  
157 the numerical analysis. The structure, section dimensions and steel layout of the  
158 beams are shown in Fig. 4. Two patterns of loading are used: point loading either at  
159 two spans (symmetrical loading) or at one span (unsymmetrical loading). The pattern  
160 of loading and the area of nonprestressed steel for each beam are given in Table 1.

161 Two simple beams are also used for comparison. The simple beams have a span  
162 length of 10m, and have a single draped tendon profile with effective tendon depths of  
163 200mm at midspan and 0mm at the anchorage points, which is similar to that of  
164 continuous beams with a proper linear transformation. A moderate initial prestressing  
165 force is selected. It is not the aim of this article to discuss design options associated  
166 with the definition of the initial prestressing force, but an acceptable value for this  
167 force could result from imposing a zero deflection situation for a target level of the  
168 service load. This level of loading would depend on the code for actions to be used.

169 As for instance, if Eurocode 0 (CEN 2002) is used, a target of 80% of the  
170 quasi-permanent combination of loads could be an acceptable value. The material  
171 parameters are as follows: for prestressing steel, the area  $A_p=400\text{mm}^2$ , effective  
172 prestress  $f_{pe}=1120\text{MPa}$ ,  $f_{pu}=1860\text{MPa}$ ,  $f_{py}=0.9 f_{pu}$ ,  $E_p=195\text{GPa}$ ; for  
173 nonprestressed steel, yield strength  $f_y=450\text{MPa}$ , elastic modulus  $E_s=200\text{GPa}$ ; for



174 concrete,  $f'_c=40\text{MPa}$ ,  $\varepsilon_u=0.0033$ ,  $f_t=3\text{MPa}$ .

175 ***Overall behavior***

176 In this analysis, all the continuous beams fail by crushing of concrete at midspan.  
177 Prior to the final failure, the beams loaded at two spans except CB2S experience  
178 sequentially four typical phases, namely, first cracking at the center support, second  
179 cracking at midspan, first formation of plastic hinge (yielding of nonprestressed steel)  
180 at the center support, and second formation of plastic hinge at midspan. For CB2S, the  
181 first plastic hinge appears at midspan, attributed to relatively lower amount of  
182 nonprestressed tension steel in the midspan section as compared to the center support  
183 section. On the other hand, for the continuous beams loaded at one span, the first  
184 cracking appears at the loaded midspan. All beams but Beam CB3U have only one  
185 plastic hinge at the loaded midspan at the ultimate limit state. Beam CB3U, which has  
186 lower amount of nonprestressed steel in the center support section than the midspan  
187 section, also forms a plastic hinge at the center support before the beam concrete is  
188 crushed.

189 Fig. 5 illustrates the concrete strain distribution over the beam length, at the  
190 ultimate limit state, for the beams loaded at two spans. The failure mode and cracking  
191 pattern of the beams can be observed from the figure. The midspan concrete is  
192 crushed when its compressive strain reaches 0.0033, while at failure load the concrete  
193 over the center support doesn't yet reach its capacity. Due to low nonprestressed steel  
194 ratio, crack concentration can be observed at the critical sections of the beams. The  
195 beams with higher amount of nonprestressed steel over the critical regions display

196 better crack distribution in the regions, namely, more cracks but smaller crack width,  
197 as compared to the beams with lower amount of nonprestressed steel.

198 ***Load-deflection response and stress increase in external tendons***

199 The load-deflection response and stress increase in external tendons are shown in  
200 Figs. 6 and 7, respectively, for the continuous beams loaded at two spans, and in Figs.  
201 8 and 9, respectively, for the continuous beams loaded at one span. The values of the  
202 ultimate load  $P_u$ , deflection  $\Delta_u$  and stress increase in external tendons  $\Delta f_{ps}$  are  
203 given in Table 1.

204 It is seen from Figs. 6-9 and Table 1 that, because the failure of the beams occurs  
205 at midspan, increasing the amount of nonprestressed steel over midspan region is  
206 more effective than over center support region to enhance the ultimate load-carrying  
207 capacity. This phenomenon is particularly obvious for the beams loaded at one span,  
208 where the center support section is non-critical and, therefore, the amount of  
209 nonprestressed steel over center support region has insignificant effect on the ultimate  
210 load of the beams. Also, it is seen that a higher amount of center support  
211 nonprestressed steel registers a lower ultimate deflection and stress increase in  
212 external tendons as expected. However, a higher amount of midspan nonprestressed  
213 steel may result in a higher ultimate deflection and stress increase in external tendons.  
214 In addition, it is seen that, for the beams loaded at one span, there appear upward  
215 displacements at the non-loaded span, the values of which are related to the amount of  
216 nonprestressed steel: the higher the amount of nonprestressed steel, the larger the  
217 upward displacements at the non-loaded span.

218 Fig. 10 shows the comparison between simple and continuous beams for the stress  
219 increase in external tendons. It is seen that at a certain load level, the continuous  
220 beams mobilize much less increase in stress in external tendons as compared to the  
221 simple beam. At the ultimate limit state, because of less number of plastic hinges, the  
222 continuous beams loaded at one span register much lower increase in stress in  
223 external tendons, as compared to continuous beams loaded at two spans. It is also  
224 observed that, although the continuous beams loaded at two spans (CB1S and CB4S)  
225 have three plastic hinges (two positive at midspans and one negative at the center  
226 support) at failure, the ultimate stress increase in external tendons of them is  
227 obviously lower than that of simple beams. This is attributed to the fact that, when the  
228 continuous beams collapse by crushing of concrete at midspan, the plastic hinge at the  
229 center support is still far from its full rotation capacity, as can be observed from Fig. 5.  
230 If the midspan sections have sufficient rotation capacity so that the negative plastic  
231 hinge can attain its full rotation capacity, namely, the concrete strain at bottom fiber of  
232 the center support section reaches the ultimate strain of 0.0033, the tendon stress  
233 increases of continuous beams, indicated by the dotted lines of Fig. 10, would be  
234 higher than those of the corresponding simple beams. Therefore, it may be concluded  
235 that a two-span continuous beam in which two spans are loaded would produce higher  
236 ultimate stress increase in external tendons than a simple beam, provided that three  
237 plastic hinges are fully developed (This is achievable by proper confinement of  
238 concrete over the critical regions). On the other hand, if one of the plastic hinges fails  
239 to reach its full rotation capacity, the continuous beam may produce a lower ultimate

240 stress increase in external tendons as compared to the simple beam.

### 241 ***Moment redistribution***

242 For simple beams, there is always a linear relationship between the applied load  
243 and the moment of a section throughout the whole loading history. For continuous  
244 beams, however, due to redistribution of moments this relationship loses its linearity  
245 when the critical section begins to assume nonlinear behavior. The relationships  
246 between the applied load and moments at the midspan and center support are shown  
247 in Figs. 11 for the beams loaded at two spans, and in Fig. 12 for the beams loaded at  
248 one span. Both the elastic moments, which are calculated based on the linear-elastic  
249 theory, and the actual moments obtained from the current nonlinear finite element  
250 analysis are plotted in the figures.

251 It is seen that, at the early stage of loading, the actual moment increases linearly,  
252 just as the elastic moment, with the applied load up to the cracking of concrete,  
253 indicating that there is no redistribution of moments at this stage. In this analysis, for  
254 the beams loaded at two spans, the first crack appears at the center support. Therefore,  
255 once the crack appears, the moment is redistributed from the center support to the  
256 midspan, resulting in a diminution of the increase rate of moments at the center  
257 support and a consequent growth of the increase rate of moments at the midspan, as  
258 shown in Figs. 11. On the other hand, for the beams loaded at one span, the first crack  
259 occurs at the loaded midspan, leading to a diminution of the increase rate of moments  
260 at the loaded midspan and a consequent growth of the increase rate of moments at the  
261 center support, as shown in Figs. 12. After that, the beams experience some other

262 phases which may affect the progress of moments. As expected, the formation of  
263 plastic hinges, marked in Fig. 11(c) as an example, has very important effect on the  
264 redistribution of moments. However, in this study, the second cracking does not  
265 appear to exhibit noticeable effect on the moment evolution in continuous beams. This  
266 observation is consistent with an earlier experimental study by Lopes et al. (1997).

267 The degree of moment redistribution can be expressed by:  $\beta = 1 - M / M_e$ , where  
268  $M$  is the actual moment in the post-elastic range, and  $M_e$  is the elastic moment based  
269 on the linear-elastic theory. A list of actual and elastic moments and the degree of  
270 moment redistribution, at the ultimate limit state, is given in Table 2. It is seen in  
271 Table 2 and Figs. 11-12 that, over the center support, there are negative redistributions  
272 of moments for the beams loaded at one span, and positive ones for the beams loaded  
273 at two spans except CB2S. Beam CB2S has a negative redistribution of moments over  
274 the center support, because the moment is prone to redistributed from the lower  
275 reinforced midspan section to the higher reinforced center support section. Also, the  
276 center support section has higher degree of moment redistribution as compared to the  
277 midspan section, particularly for the beams loaded at one span. It is also seen that the  
278 degree of moment redistribution is significantly influenced by the pattern of loading  
279 and by  $A_{s1}/A_{s2}$ , a parameter defined by the ratio of the amount of nonprestressed  
280 tension steel over the midspan region to that over the center support region. Over the  
281 center support, the beams loaded at one span have higher degree of moment  
282 redistribution than do the beams loaded at two spans, particularly for lower values of  
283  $A_{s1}/A_{s2}$ . Also, for equal amount of  $A_{s1}+A_{s2}$ , a higher value of  $A_{s1}/A_{s2}$  results in higher

284 degree of moment redistribution for the beams loaded at two spans, but lower degree  
285 of moment redistribution for the beams loaded at one span. For equal value of  $A_{s1}/A_{s2}$ ,  
286 a larger amount of nonprestressed tension steel leads to lower degree of moment  
287 redistribution, as expected.

288

## 289 **Linear transformation and secondary moments**

### 290 *Linear transformation*

291 When a tendon line is moved over the interior support(s) without changing its  
292 intrinsic shape, as illustrated in Fig. 13, this tendon line is termed to be linearly  
293 transformed (Lin and Burns 1981). It was stated that linear transformation of the  
294 tendon line does not change the ultimate load-carrying capacity of continuous beams  
295 (Lin and Burns 1981). To examine this statement, the tendon line of the continuous  
296 beam illustrated in Fig. 13 is linearly transformed into various profiles. The  
297 cross-section and reinforcement details of the beam are the same as Beam CB4S as  
298 illustrated in Fig. 4 and listed in Table 1. Apart from one-point loading, third-point  
299 and uniform loading are also used. Linear transformation is made by moving the  
300 tendon line over the center support by  $\Delta$  (correspondingly by  $\Delta/2$  over the midspan).  
301 The analysis shows that the continuous beams with various linearly transformed  
302 tendon profiles have the same ultimate load-carrying capacity as well as the same  
303 flexural characteristics, including deflection, curvature, strain and stress in external  
304 tendons, nonprestressed steel and concrete, over the entire loading up to failure. Fig.  
305 14 shows the load-deflection response and stress increase in external tendons for two

306 typical linearly transformed tendon beams under different types of loading. Identical  
307 responses for the beams throughout the entire loading history can be observed from  
308 the figure. On the other hand, linear transformation would cause changes of secondary  
309 moments, indicating that a non-concordant tendon profile can be linearly transformed  
310 into a concordant profile, which does not produce secondary moments, without  
311 changing the basic flexural characteristics of continuous beams. This provides an  
312 approach to examine the actual secondary moments over the whole loading process as  
313 discussed in the following section.

#### 314 *Secondary moments*

315 In a continuous beam with non-concordant tendon profile, it is known that the  
316 prestressing would produce secondary moments. Regarding the behavior of secondary  
317 moments at the plastic stage, however, there have been different viewpoints among  
318 researchers and no agreement has been reached yet. In this study, the behavior of  
319 secondary moments is examined by nonlinear finite element analysis combining with  
320 the above-mentioned linear transformation concept.

321 For a beam with non-concordant tendon profile, the reaction at a support consists  
322 of two components: the reaction  $R_{load}$  caused by external loads (live and dead loads)  
323 and the secondary reaction  $R_{sec}$  caused by prestressing. For a beam with a concordant  
324 tendon profile, on the other hand, there is no secondary reaction  $R_{sec}$  and only the  
325 reaction  $R_{load}$  by external load exists. Since linear transformation does not change the  
326 flexural characteristics throughout the entire loading history, it can be recognized that,  
327 at a given load, the reaction  $R_{load}$  of the beam with non-concordant tendon profile is

328 equal to that of the beam with linearly transformed concordant tendon profile.  
329 Therefore, the secondary reaction at a support can be obtained from the value  
330 difference between the reactions of the beams with non-concordant and linearly  
331 transformed concordant tendon profiles. Based on the above discussion, the procedure  
332 of computing the secondary reactions or moments for a continuous beam with  
333 non-concordant tendon profile is summarized as follows:

334 (1) Determine the linearly transformed concordant tendon profile.

335 (2) Compute the support reactions of the continuous beams with non-concordant  
336 and linearly transformed concordant tendon profiles over entire loading process by  
337 nonlinear element finite analysis.

338 (3) Calculate the secondary reactions (and thereby secondary moments) by  
339 subtracting the support reactions of the beam with concordant tendon profile from  
340 those of the beam with non-concordant tendon profile.

341 The continuous beam (with cross-section and reinforcement details as CB4S)  
342 shown in Fig. 13 is used here to illustrate the results obtained from the analysis. When  
343  $\Delta$  is equal to 149.2mm, that is,  $e_2$  is equal to 149.2mm and  $e_1$  is equal to 125.4mm, the  
344 tendon profile is concordant. Four linearly transformed non-concordant tendon  
345 profiles ( $\Delta=0, 100, 200, \text{ and } 300\text{mm}$ ) are selected. Figs. 15 and 16 show the applied  
346 load versus secondary reaction response for the beams with non-concordant tendon  
347 profiles during the whole loading process. This response exhibits a trend very similar  
348 to the increase in stress in external tendons with applied load. It consists of three  
349 approximately straight lines. Cracking or yielding leads to much quicker increase in



350 the secondary reactions. Compared to the secondary reactions at the end support (Fig.  
351 15), the secondary reactions at the center support (Fig. 16) are twice in magnitude and  
352 opposite in direction. This confirms the validity of the proposed method for  
353 computing the secondary moments.

354 Figs. 17 and 18 show respectively the change in secondary reactions at the end  
355 and center supports with increasing tendon stress from effective prestress to the  
356 ultimate for different linearly transformed tendon profiles. There remains almost a  
357 linear relationship between the secondary reactions and the stress in external tendons  
358 up to the ultimate. It may be inferred from these results that the secondary reactions or  
359 moments at the plastic or ultimate stage could be conveniently computed by an elastic  
360 analysis using the current external prestressing force and neglecting the self-weight of  
361 the beams. To prove this conjecture, the end and center support secondary reactions  
362 computed by the elastic analysis are compared with those by the proposed method,  
363 which is based on linear transformation concept and nonlinear finite element analysis,  
364 in Figs. 19 and 20, respectively. It is seen that, from effective prestress up to the  
365 ultimate, the results determined by the elastic analysis are completely identical to  
366 those by the proposed method.

367 It is seen from Figs. 15-20 that the magnitude and direction of the secondary  
368 moments are dependent on the layout of external tendons. When the tendon line is  
369 below the concordant line, the prestressing produces a positive reaction at the end  
370 support, and correspondingly a negative center support reaction with twice the  
371 magnitude of the end support reaction, resulting in positive moments in beam sections.

372 Therefore, in this case, the secondary moment is favorable at the center support but  
373 unfavorable at the midspan. On the other hand, when the tendon line is above the  
374 concordant line, the prestressing induces negative end support reactions and  
375 consequently negative secondary moments. Thereby, the secondary moment turns to  
376 be unfavorable at the center support while favorable at the midspan. Also, it is seen  
377 that a larger deviation of tendon line from the concordant line leads to a higher  
378 amount of secondary moments, as expected.

379

## 380 **Conclusions**

381 A numerical study is undertaken to examine the behavior of continuous  
382 prestressed concrete beams with external tendons, including the load-deflection  
383 response, stress increase in external tendons, redistribution of moments in the  
384 post-elastic range and secondary moments due to external prestressing. The following  
385 conclusions can be drawn from the current study:

386 1. Because two-span continuous beams fail at midspan, increasing the amount of  
387 nonprestressed steel over midspan region is more effective than over center support  
388 region to enhance the ultimate load-carrying capacity, particularly for the beams  
389 loaded at one span.

390 2. The ultimate stress increase in external tendons in continuous beams is  
391 dependent on both the number and rotation of plastic hinges that can be developed at  
392 failure load. A two-span continuous beam in which three plastic hinges are fully  
393 developed would produce higher ultimate tendon stress increase than does a simple

394 beam. On the other hand, if one of the plastic hinges fails to reach its full rotation  
395 capacity, the continuous beam may produce a lower ultimate tendon stress increase as  
396 compared to the simple beam.

397 3. Over the center support, the beams loaded at one span register higher degree of  
398 moment redistribution than do the beams loaded at two spans, particularly obvious for  
399 lower values of  $A_{s1}/A_{s2}$ . For equal amount of  $A_{s1}+A_{s2}$ , a higher value of  $A_{s1}/A_{s2}$  results  
400 in higher degree of moment redistribution for the beams loaded at two spans, but  
401 lower degree of moment redistribution for the beams loaded at one span.

402 4. The analysis indicates that linear transformation does not change the ultimate  
403 load-carrying capacity of continuous externally prestressed concrete beams as well as  
404 the basic flexural characteristics over entire loading up to the ultimate. Based on  
405 linear transformation concept, a method for identifying the secondary moments is  
406 proposed, and is validated by the results obtained from the analysis.

407 5. The secondary reaction or moment exhibits nearly tri-linear behavior with  
408 varying applied load up to failure. Cracking of concrete and yielding of nonprestressed  
409 steel lead to much quicker increase of the secondary reaction or moment.

410 6. The secondary moments should be considered in the ultimate design of  
411 continuous beams prestressed with external tendons, where the full redistribution of  
412 moments is rare. The secondary moments increase linearly with the stress in external  
413 tendons and can be computed conveniently based on an elastic analysis using the  
414 current prestressing force and neglecting the self-weight of the beams.

415 7. When the prestressing tendon line is below the concordant line, the secondary

416 moment is favorable at the center support but unfavorable at the midspan. On the  
417 other hand, when the tendon line is above the concordant line, the secondary moment  
418 becomes unfavorable at the center support while favorable at the midspan.

419

420

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424

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499

1

2 Table 1 Parameters and typical computational results for beams

Beams	Type of beams	Loading	$A_{s1}$ (mm <sup>2</sup> )	$A_{s2}$ (mm <sup>2</sup> )	$A_{s3}$ (mm <sup>2</sup> )	$P_u$ (kN)	$\Delta_u$ (mm)	$\Delta f_{ps}$ (MPa)
CB1S	Continuous beams	Sym.	540	540	360	194.4	67.0	265.4
CB2S			540	1080	360	218.8	65.3	254.0
CB3S			1080	540	360	247.5	72.0	278.1
CB4S			1080	1080	360	271.5	69.5	263.1
CB1U		Unsym.	540	540	360	175.1	70.6	116.2
CB2U			540	1080	360	181.5	70.0	109.5
CB3U			1080	540	360	227.5	75.4	122.0
CB4U			1080	1080	360	235.6	74.4	113.8
SB1	Simple beams	Center	540	-	360	141.2	120.2	377.8
SB4		point	1080	-	360	190.8	119.7	363.7

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9 Table 2 Results of actual, elastic moments and degree of moment redistribution at

10 ultimate limit state

Beams	M (kN-m)		M <sub>e</sub> (kN-m)		β (%)	
	Midspan	Center support	Midspan	Center support	Midspan	Center support
CB1S	362.6	-359.6	343.7	-397.2	-5.50	9.47
CB2S	360.8	-485.0	380.2	-446.0	5.10	-8.74
CB3S	490.9	-368.4	428.8	-492.5	-14.48	25.20
CB4S	488.6	-492.8	464.4	-541.2	-5.21	8.94
CB1U	339.8	-308.5	395.4	-197.4	14.06	-56.28
CB2U	338.9	-342.4	408.2	-203.7	16.98	-68.09
CB3U	467.0	-316.0	502.3	-245.6	7.03	-28.66
CB4U	465.8	-358.8	518.2	-254.0	10.11	-41.26

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12

Figure

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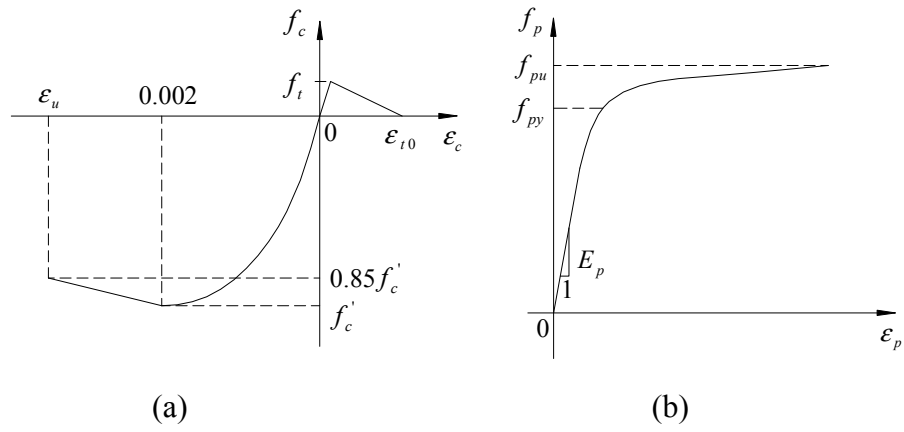
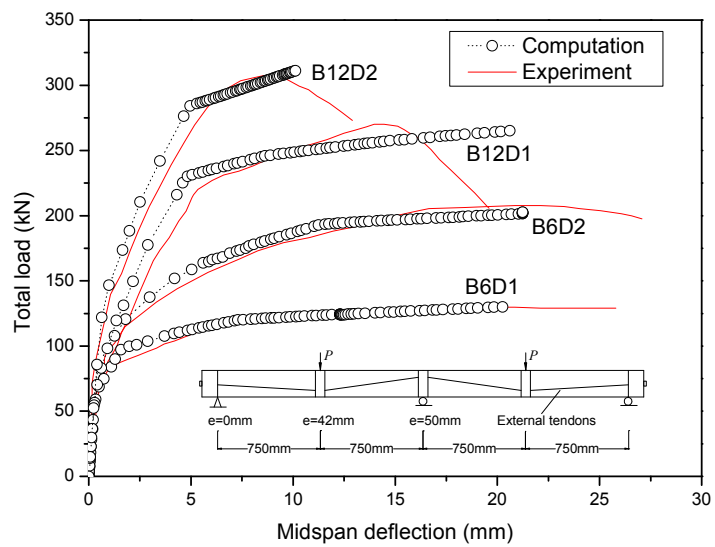
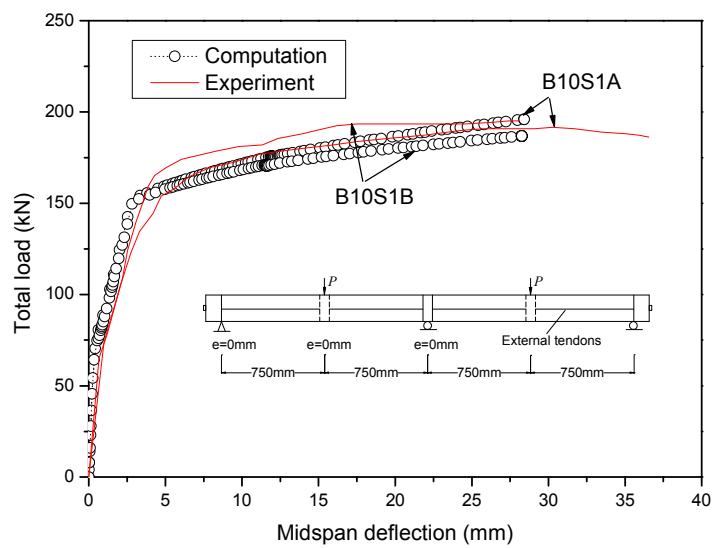


Fig. 1 Stress-strain curves for materials: (a) concrete; (b) prestressing steel



(a)



(b)

Fig. 2 Comparison of predicted load-deflection curves with experimental results: (a) specimens with draped external tendons; (b) specimens with straight external tendons

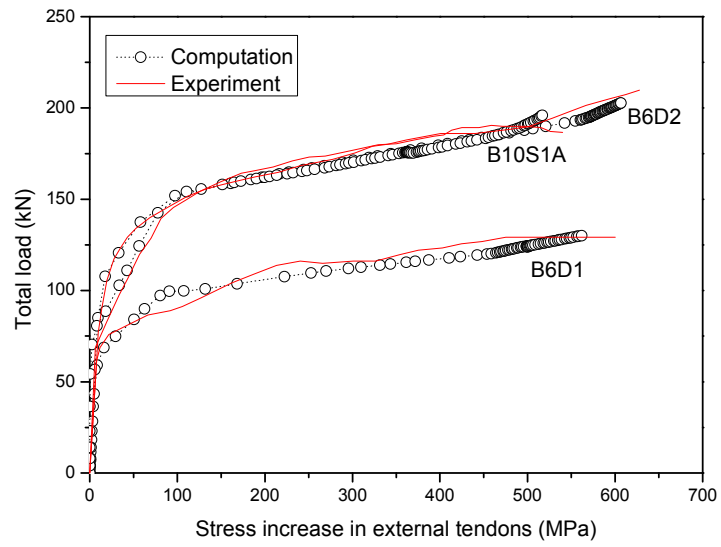


Fig. 3 Comparison of predicted load versus stress increase in external tendons with experimental results

Figure

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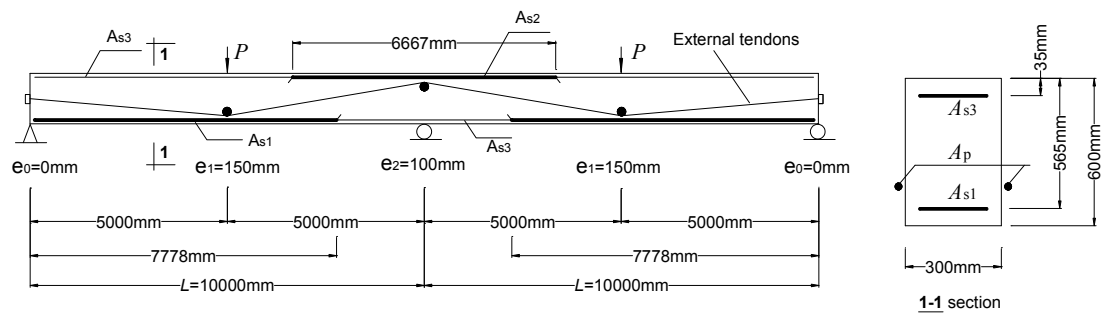


Fig. 4 Details of two-span continuous beams used for numerical evaluation

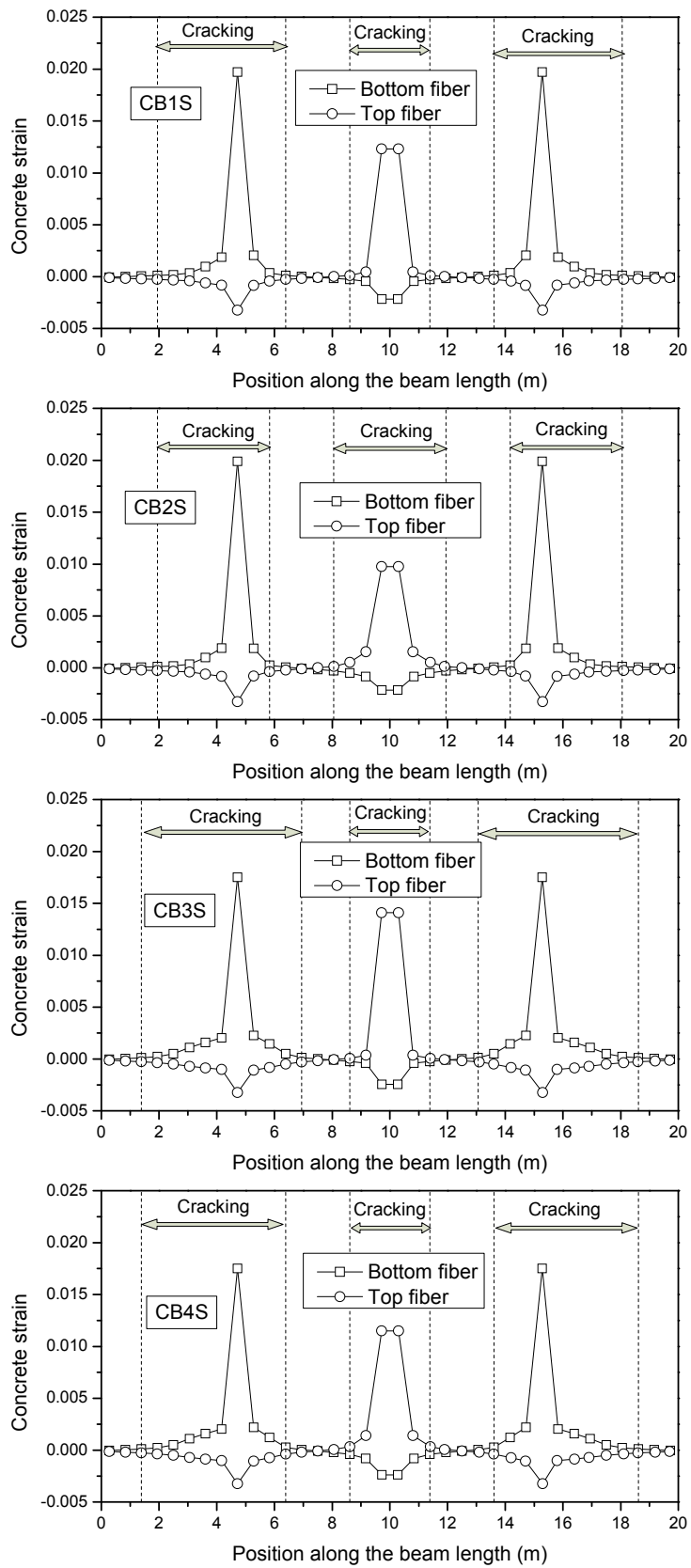


Fig. 5 Concrete strain distribution over the length for continuous beams loaded at two spans at failure load

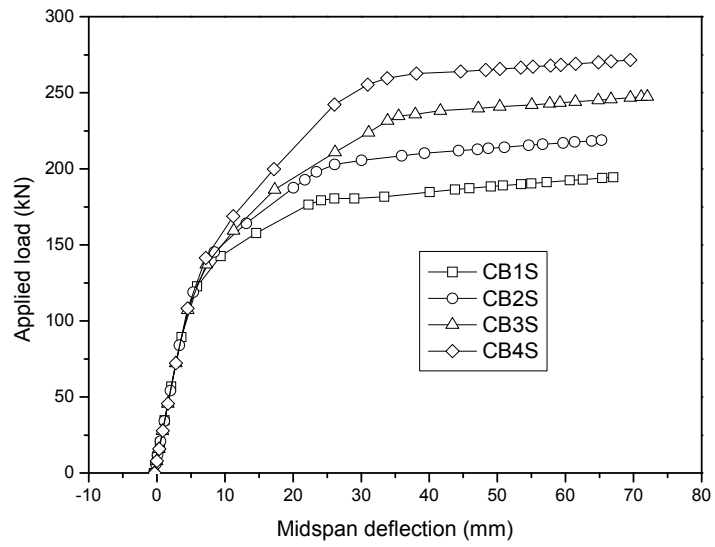


Fig. 6 Load-deflection response for continuous beams loaded at two spans

Figure

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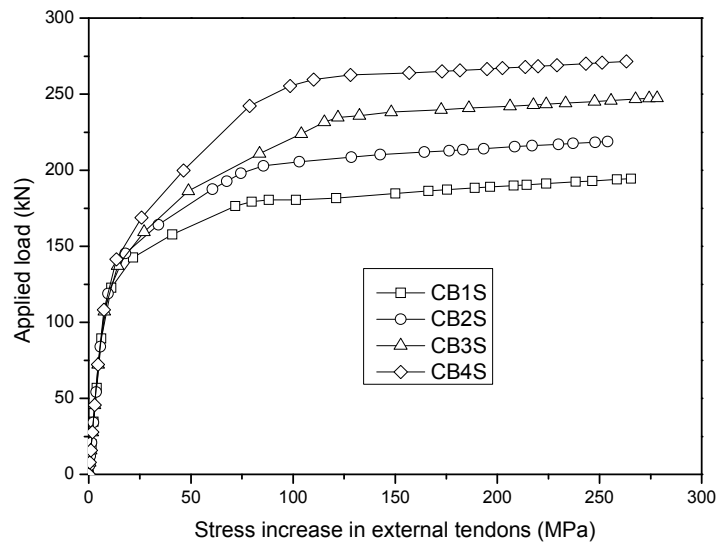


Fig. 7 Stress increase in external tendons for continuous beams loaded at two spans



Figure

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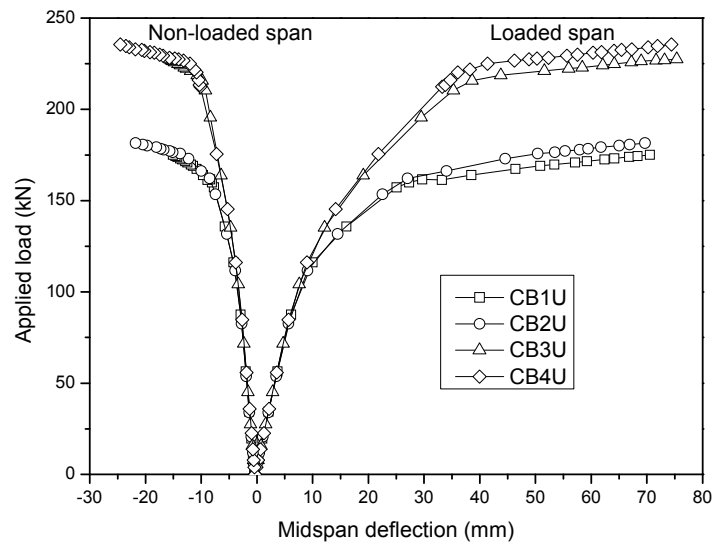


Fig. 8 Load-deflection response for continuous beams loaded at one span

Figure

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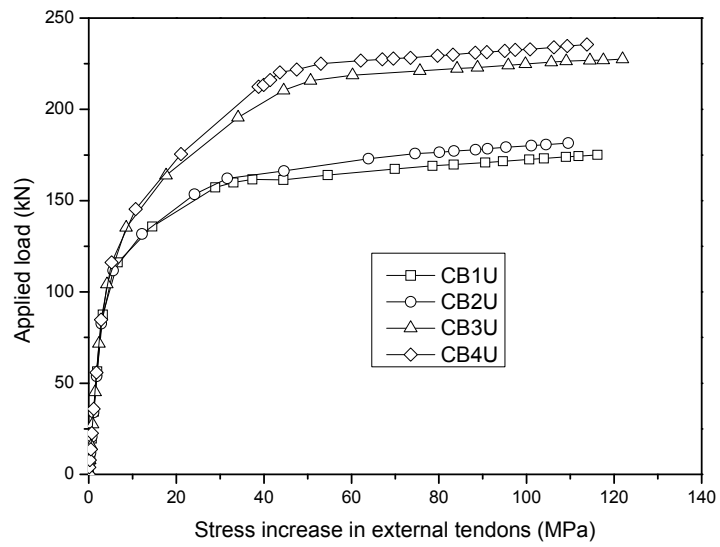


Fig. 9 Stress increase in external tendons for continuous beams loaded at one span

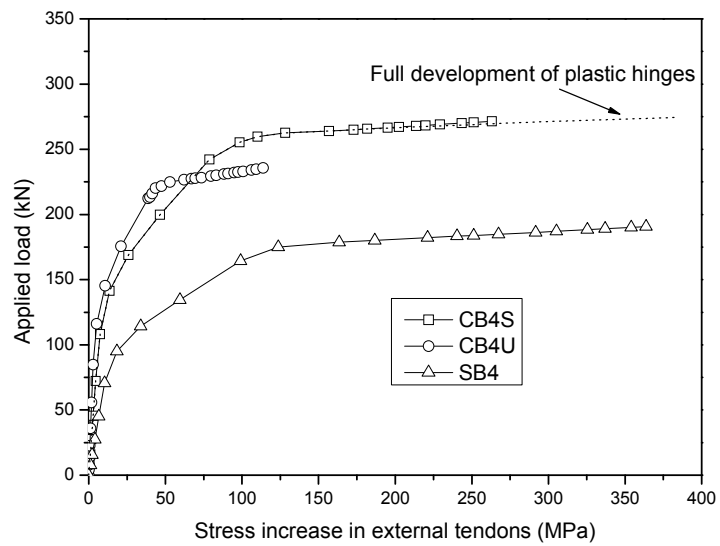
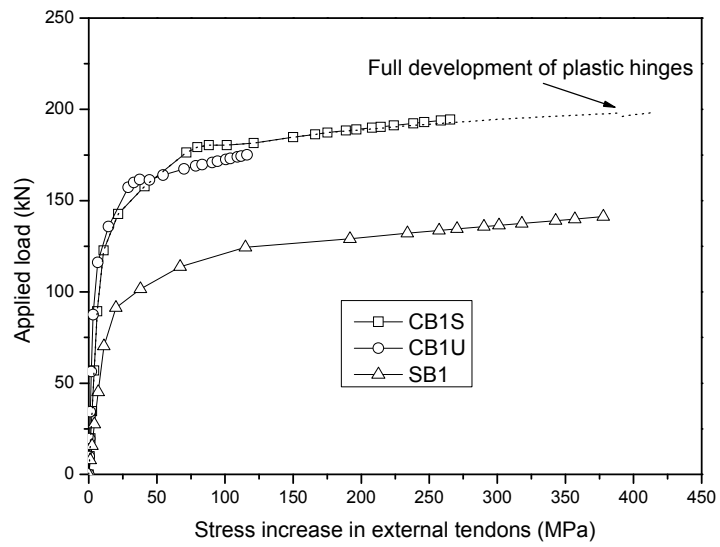
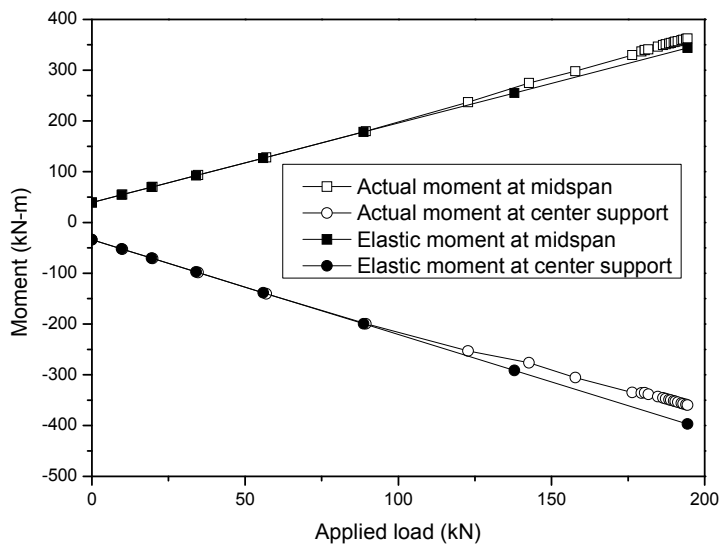
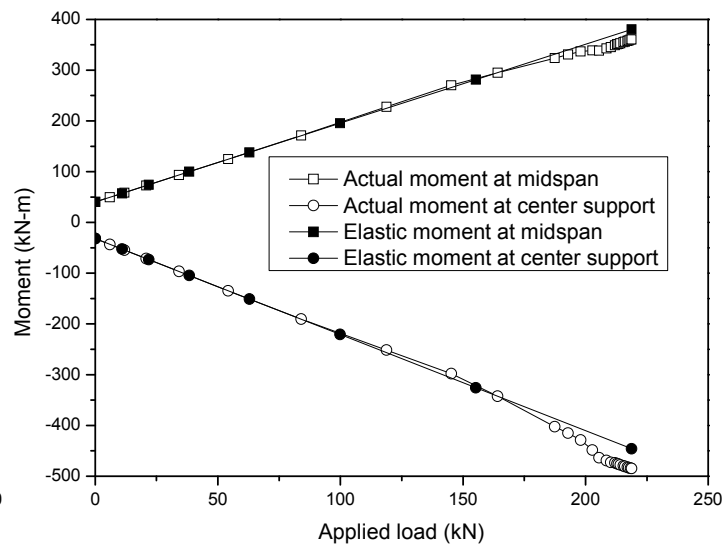


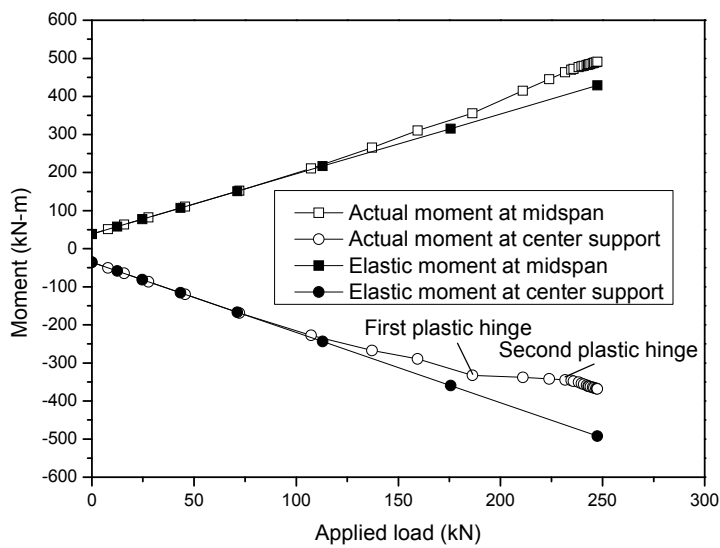
Fig. 10 Comparison between continuous and simple beams for stress increase in external tendons



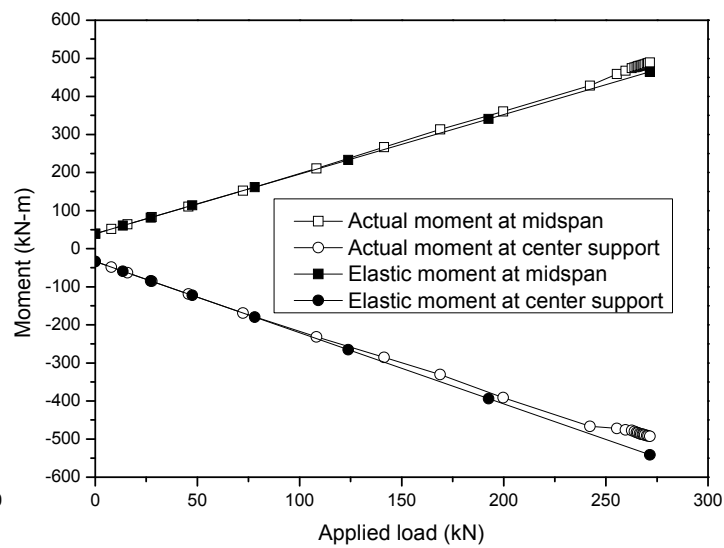
(a)



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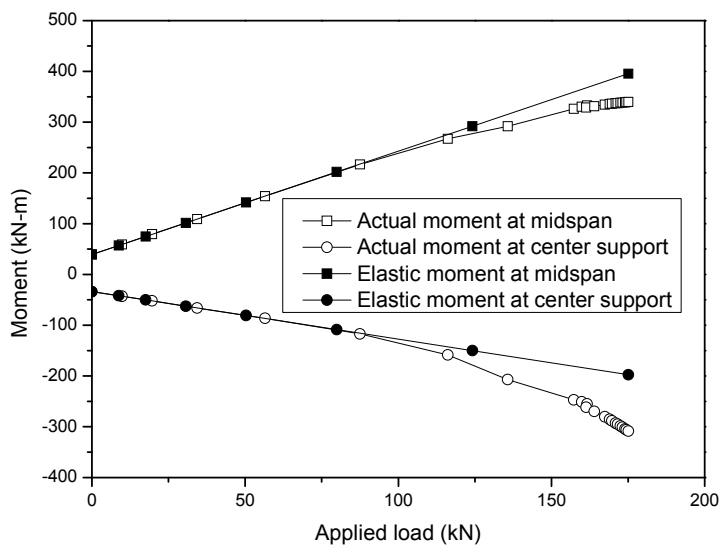


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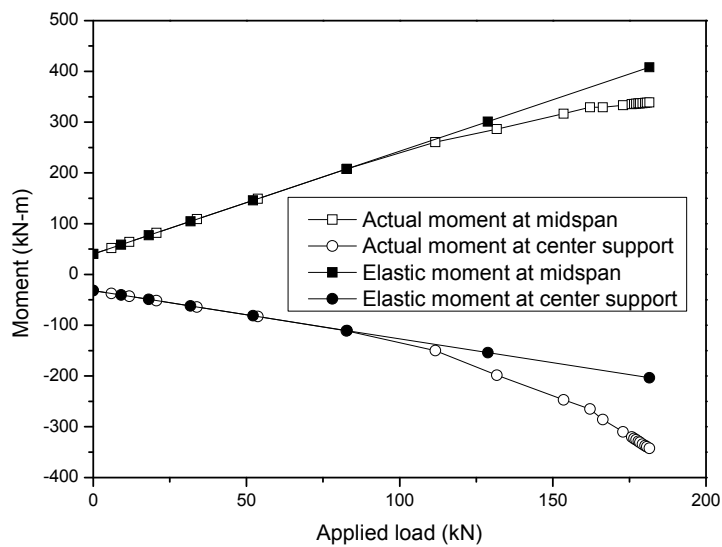


(d)

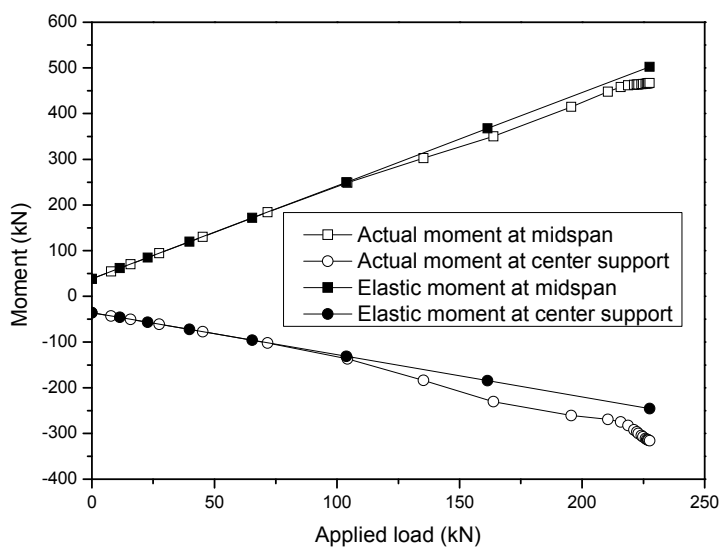
Fig. 11 Actual and elastic moments versus applied load for continuous beams loaded at two spans: (a) CB1S; (b) CB2S; (c) CB3S; (d) CB4S



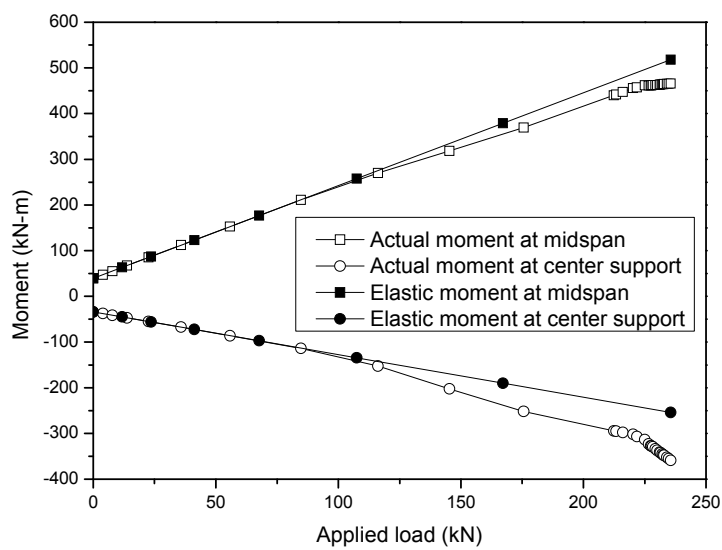
(a)



(b)



(c)



(d)

Fig. 12 Actual and elastic moments versus applied load for continuous beams loaded at one span: (a) CB1U; (b) CB2U; (c) CB3U; (d) CB4U

Figure

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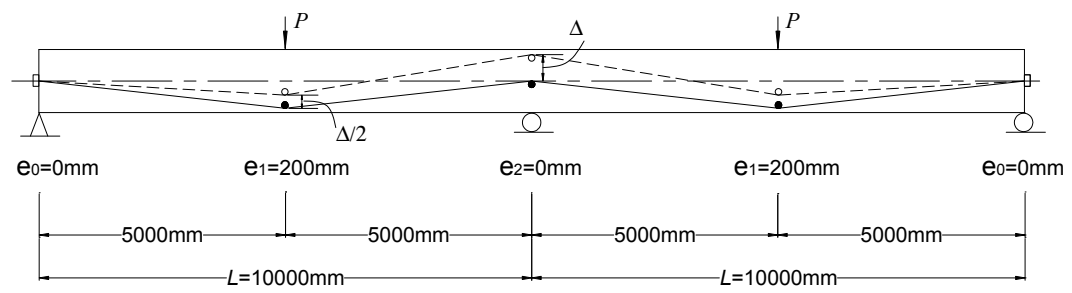


Fig. 13 Linearly transformed tendon beams for examination of secondary moments

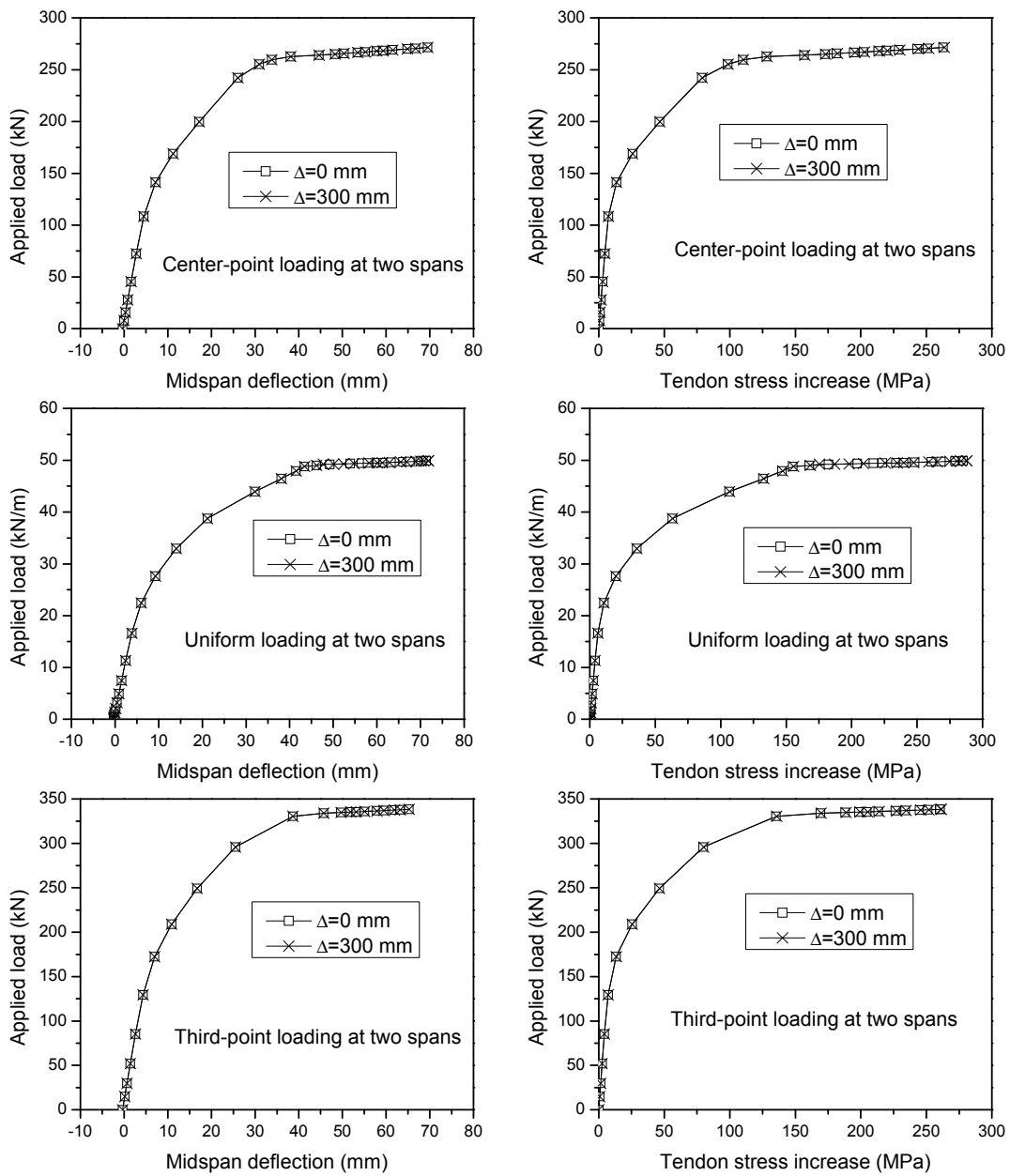


Fig. 14 Responses of two typical linearly transformed tendon beams

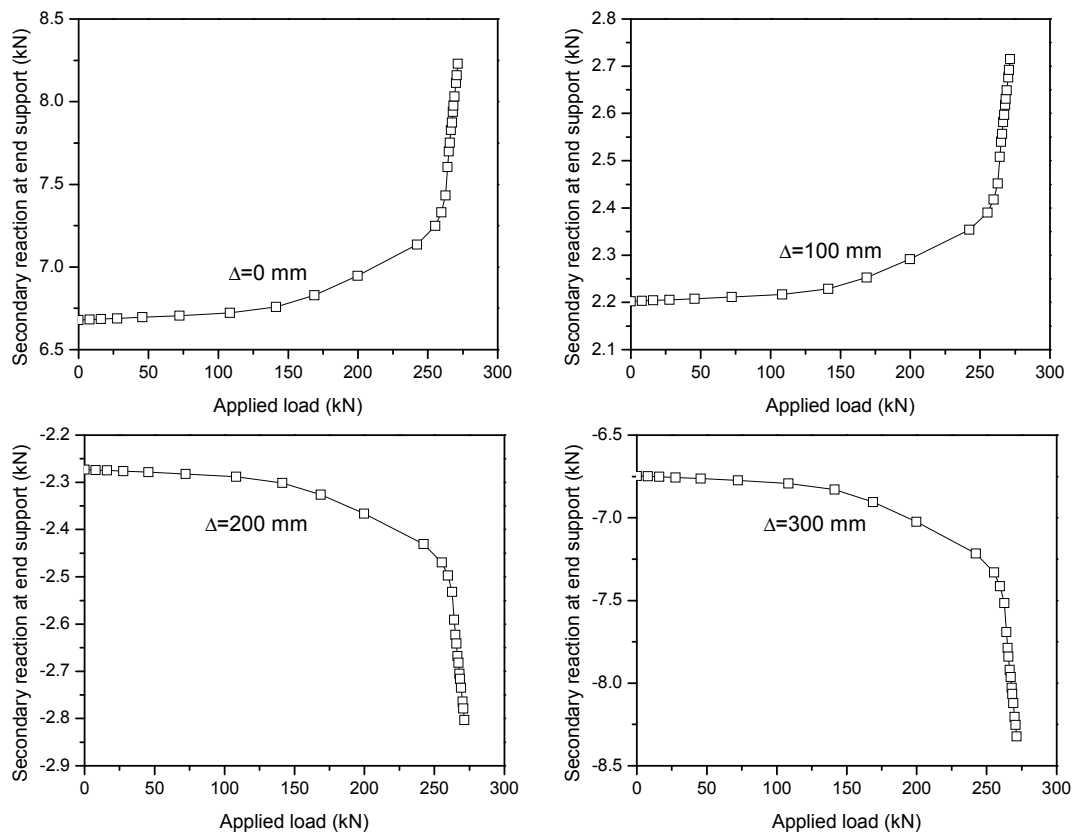


Fig. 15 Relationship between secondary reaction at end support and applied load



# Figure

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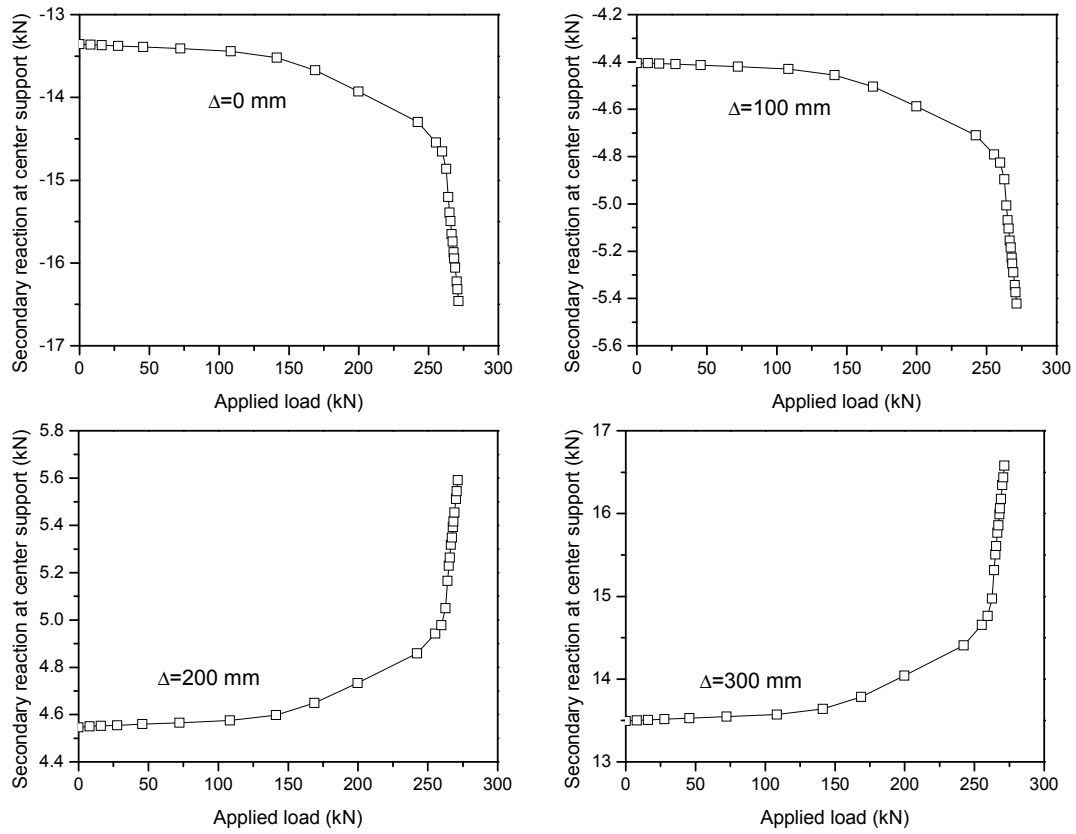


Fig. 16 Relationship between secondary reaction at center support and applied load

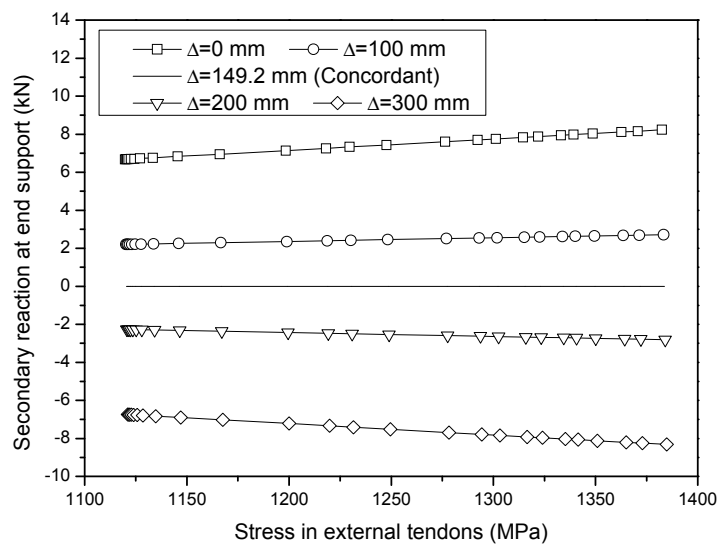


Fig. 17 Relationship between secondary reaction at end support and tendon stress

Figure

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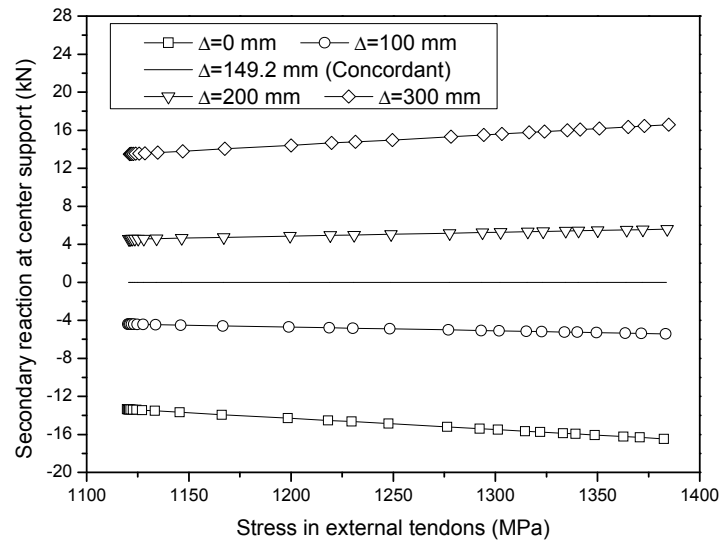


Fig. 18 Relationship between secondary reaction at center support and tendon stress

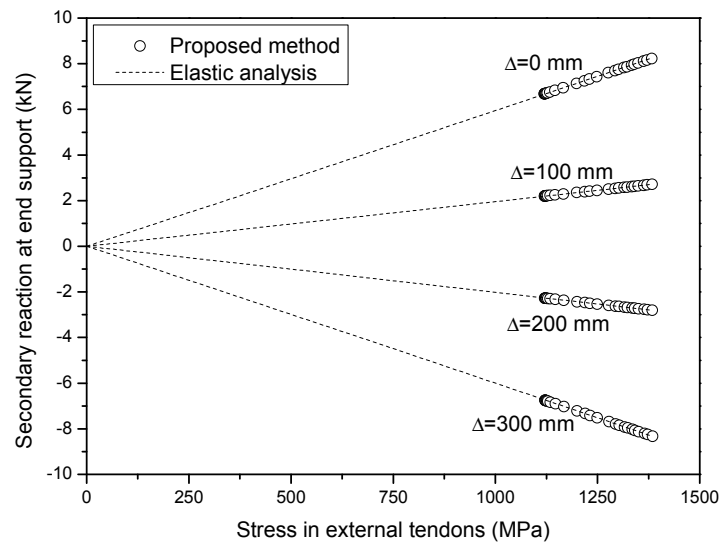


Fig. 19 Comparison of end support secondary reactions from proposed method with those from elastic analysis

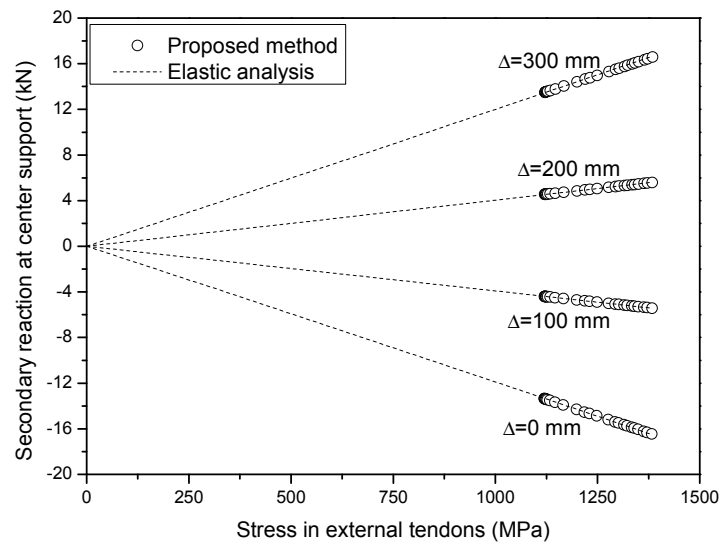


Fig. 20 Comparison of center support secondary reactions from proposed method with those from elastic analysis

**List of Figures captions:**

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- Fig. 2 Comparison of predicted load-deflection curves with experimental results: (a) specimens with draped external tendons; (b) specimens with straight external tendons
- Fig. 3 Comparison of predicted load versus stress increase in external tendons with experimental results
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**Reviewer 1:**

	<b>Reviewer Comments</b>	<b>Reaction by the authors</b>
<b>1</b>	<p><b>Overall comments:</b> The revised manuscript is almost the same as the original one with minor amendments. The literature survey has been expanded and there are minor changes in the other parts. The FE in-house code by Lou and Xiang from (2006) has been compared with experiments of external prestressed simply-supported concrete beams and here with continuous beams, see Figs. 2 and 3. The comparison presents load-versus deflections or stress in tendons at specific sections. The authors evaluate numerically only the behavior of the continuous beam in terms of overall response, load-deflection/stress in tendons, moment's redistribution, linear transformation and secondary moments in the linear and non-linear regimes. In the case of the linear transformation and secondary moments the authors assume that the flexure rigidity remains unchanged up to failure - "Linear Transformation does not change the basic flexural behavior of beams over entire loading up to failure" (see answer to comment 9 in comment part - page 3). Finally some conclusions are drawn.</p>	<p><b>The authors want to thank this reviewer for reading and commenting again the manuscript. However, some of his comments are very difficult to answer. (see the reactions below)</b></p>
<b>2</b>	<p>As I have already said in my previous referee report the paper is merely a numerical study that uses an in-house FE code rather than commercial codes such as Ansys, Adina or Abaqous. The topic has been dealt extensively in the 90's including experiments and sophisticated computational models, see [2-3] below, superior to the one used by the authors, see FE paper in Lou and Xiang (2006). In addition, a paper with a very similar title that deals with continuous externally prestressed beams has been published recently, 2009, in the European Journal of Scientific Research, see [1], but using ANSYS instead of the in-house FE code. The contribution of this paper, see [1], is much more important than the proposed paper since it discusses the issues of modeling external prestressing in commercial FE codes rather than in an in-house code.</p>	<p>There are a number of computer models for concrete beams prestressed with external tendons. However, few of the models have been used to evaluate the comprehensive behavior of continuous externally prestressed concrete beams which this study focused on. The authors did not find any study that covered the same topic presented in this article. The topics of the reference papers listed by the reviewer are completely different from that of this article.</p>

<p><b>3</b></p>	<p>The concept of Linear Transformation has been defined first by Guyon in the early 50's for the elastic range only and <u>in accordance with the various code EC2 and ACI the ultimate load-carrying capacity is affected by the shifting (linear transformation) of the cable.</u></p>	<p>The authors understand that cable shifting does affect the ultimate load-carrying capacity, but “linear transformation” is not coincident to “cable shifting”. When a cable line is moved over the interior support(s) without changing its intrinsic shape, as illustrated in Fig. 13 of this manuscript, this cable line is termed as “linear transformation”. That is to say, linear transformation is just a particular case of cable shifting. If it is not the case of linear transformation, cable shifting would certainly affect the ultimate load-carrying capacity.</p> <p>It was stated in a classic book (Lin and Burns 1981, p.388): “Linear transformation of the c.g.s. line does not change the ultimate load-carrying capacity of a continuous beam”. This statement was later proved by an experimental work published in the ACI Structural Journal (Aravinthan et al. 2005) and here by the numerical work presented in this manuscript.</p>
<p><b>4</b></p>	<p>In the non-linear regime there is a change in the flexure rigidity along the beam due to cracking of the concrete. Hence, the linear transformation occurs in a structure that is already cracked, which means that the linear transformation adds additional internal stress resultants such as bending moments and shear forces that may increase or reduce that cracking zones and the depth of the cracks. Hence, the linear transformation may change the flexure rigidity and therefore it cannot be used in the non-linear regime.</p>	<p>The results obtained from the current analysis indicate that linear transformation does not change the ultimate load-carrying capacity of continuous externally prestressed concrete beams as well as the basic flexural characteristics (including flexural rigidity) over entire loading up to the ultimate. (see Fig. 14 of the manuscript). This observation is consistent with the viewpoint of Lin and Burns (1981) and also with an earlier experimental study by Aravinthan et al. (2005).</p> <p>In fact, it is an easy work to verify the above statement by performing the analysis of beams with various linearly transformed tendon profiles using any available computer models. If the reviewer could conduct this analysis, the authors believe that he would change his viewpoint on linear transformation and would agree with the above statement.</p>
<p><b>5</b></p>	<p>Another problem that the authors have not addressed is the ability of the cable to move relative to the concrete. In general when the cable is prestressed it yields large friction loads at the deviators. These friction loads do not necessary allow the cable to slip relative to the deviator for loads smaller than the friction load and in opposite directions. Hence, up to a certain level of external loads the cable behaves as a bonded tendon and above it as an unbounded one. This topic has not been addressed at all.</p>	<p>One of the assumptions adopted in this numerical work is that the friction forces between external tendons and deviators are negligible. This assumption is commonly accepted and adopted for the modeling of external prestressing in existing literature.</p>

<p><b>6</b></p>	<p>The conclusions drawn by the authors are general although their study is limited to a prescribed beam with some specific data and a specific cable configuration. They may be correct for the specific configuration but not necessary correct in general. I am not sure that they are correct or valid for other type of concrete strength, other type continuous beams, other cable layout, etc. Hence, the conclusions are too general in my opinion.</p>	<p>The conclusions are valid for general beams that meet the basic assumptions adopted in this study.</p>
<p><b>7</b></p>	<p>Finally, a reliable FE code should be verified through comparisons with experiments and commercially available codes. The comparison must include: deflections, strains and stresses in concrete and various reinforcements along the structure and not only at certain sections. In this particular case since the FE code evaluation process is not complete and therefore its results are unreliable than any numerical study that is based on is unreliable too.</p>	<p>The FE code has been verified with typical experimental results (load-deflection response and stress increase in external tendons) of both simply supported and continuous beams. The authors think that the set of results (from simply supported and continuous beams) is sufficient to ensure a high level of confidence on the correctness of the computer program.</p>
<p><b>8</b></p>	<p>The presentation of a numerical study that is based on a mathematical formulation, which yielded an in-house code, and that cannot be criticized or evaluated is an unreliable procedure. But, if the FE formulation and the numerical study have been presented in the same paper then the principles of the mathematical could be evaluated and criticized properly. In my opinion, numerical studies only that do not yield any new scientific information and in error are useless. In addition, please notice that are many segmental bridges that have been already built using external prestressing. The authors could refer to such bridges and to compare their analysis with the design.</p> <p>The paper is merely an unsophisticated FE numerical study where similar ones exist in the literature and superior to this one. In conclusion, the scientific quality and value of the paper are minor and it is of low quality with no new information of scientific quality.</p> <p>Thus, my recommendation has not been changed and the paper should be declined from the journal.</p>	<p>This study was designed to examine the comprehensive behavior of continuous externally prestressed concrete beams. The subject of the paper, in the authors' opinion, is important and practically significant. The study was conducted using a FE model that has been verified with experimental results of both simply supported and continuous beams. Some original findings and new knowledge on the subject were presented in the manuscript. The authors believe that the work is a valid contribution to continuous beams with external prestressing and is sufficiently important to be published in ASCE Journal.</p>

**Reviewer 4:**

	<b>Reviewer Comments</b>	<b>Reaction by the authors</b>
	<p><b>Overview:</b> Manuscript BEENG-853R1 presents a selection of response results for continuous reinforced concrete beams prestressing with external tendons obtained from nonlinear finite element analysis up to collapse. The finite element model, previously presented in another journal paper (Luo and Xiang, Engineering Structures, 2006) and already validated by comparisons with experimental results for simply supported beams, is here validated by comparisons with experimental results for continuous beams. The response results are clearly presented and critically discussed, giving new interesting insights into the ultimate state behavior of this structural typology. Thus, it is opinion of this Reviewer that manuscript BEENG-853R1 should be accepted for publication. However, some minor revisions are necessary to clarify some issues and improve some points, as commented below.</p>	<p>The authors deeply appreciate the reviewer's encouraging and valuable comments, which are very helpful in improving the quality of the article. In the revision, all of the comments raised by the reviewer have been taken into account.</p>
	<p><b>Technically</b></p>	
<p><b>1</b></p>	<p>1) Introduction</p> <p>The state of the art review in the introduction is rather incomplete. Although the Authors are not supposed to quote and comment every paper dealing with the nonlinear analysis of concrete beams prestressed with external tendons, they should at least acknowledge other papers that dealt in the past with similar issues, i.e., ultimate behavior of externally prestressed concrete bridges (simply supported and continuous) and the influence of tendon path and ordinary reinforcements. Just an example of two papers published on ASCE journals discussing similar structural aspects:</p> <p>Ramos, G., and Aparicio, A.C. (1996). Ultimate analysis of monolithic and segmental externally prestressed concrete bridges, Journal of Bridge Engineering ASCE, 1(1):10-17.</p> <p>Dall'Asta, A., Ragni, L., and Zona, A. (2007). Simplified method for failure analysis of concrete beams prestressed</p>	<p>In the revision, relevant papers including the two papers listed by the reviewers were acknowledged. (p.3, second paragraph)</p>

	with external tendons, Journal of Structural Engineering ASCE, 133(1):121-131.	
2	<p>2) Model</p> <p>Although the adopted finite element model was already presented in detail in a previous journal paper (Luo and Xiang, Engineering Structures, 2006), some important details should be more clearly discussed in the short review illustrated in the sub-paragraph "Description of the nonlinear analysis". Structural assumptions that are currently not clear:</p> <p>a) Please clarify if tendons can slip at deviators with or without friction (in the latter case give friction coefficient) or if tendon-deviator slips are prevented (if this is the case please give the stress difference between the tendon tracts with the highest and lowest stress);</p> <p>b) Please clarify the assumed strain field in the reinforced concrete beams, e.g., axial shortening included or only flexural deformations included? Is the coupling between axial and flexural deformations included within the geometric nonlinearity or the only geometric nonlinear effect is the variation of the tendon geometry?</p> <p>The clarification of the above points would greatly facilitate the understanding of the presented model to the interested reader, without forcing him/her to necessary go through the quoted paper (Luo and Xiang, Engineering Structures, 2006), at least in a first stage of his/her study.</p>	<p>a) In this study, the friction between external tendons and deviators is assumed negligible. This point was clarified in the revision. (p.5, last paragraph)</p> <p>b) In this study, the deformation field in the reinforced concrete beams includes both axial and flexural deformations. This point was clarified in the revision. (p.5, last paragraph)</p> <p>The geometric nonlinearity includes both the variation of the tendon eccentricity (second-order effects) and the coupling between axial and flexural deformations (large displacement effect). This point was clarified in the revision. (p.6, second paragraph)</p>
3	<p>3 ) Response results</p> <p>As already commented, this part presents some interesting results that give more insight into the nonlinear behavior up to collapse of continuous reinforced concrete beams with external prestressing tendon. The critical discussion on secondary reactions and relevant secondary bending moments is the main original contribution of manuscript BEENG-853R1. However, in the design of prestressing there are two fundamental variables: tendon layout and prestressing force. Attention in the submitted manuscript is focused on tendon layout, but no mention is made of the initial assigned prestressing force. While the Authors are not supposed to study every design parameter, they should at very least clarify the design criterion of the initial</p>	<p>In the revision, the design criterion of the initial prestressing force was clarified in accordance with the reviewer's suggestion. (p.8)</p> <p>Following the reviewer's suggestion, the authors have conducted the analysis of continuous beams with different levels of initial prestressing forces. Some typical results (load-deflection response, stress increase in external tendons and moment redistribution) were given at the end of this response letter, but were not presented in the manuscript due to length limits. This manuscript has almost been approaching the maximum length (10,000 word equivalent).</p> <p>The factors evaluated in this study include the amount of nonprestressed steel (over positive and negative moment regions), the pattern of loading (symmetrical and unsymmetrical), the type of beams (continuous and simple)</p>

<p>prestressing force (maybe balance with the service state loads or balance of the self-weight?). In addition, it would be interesting to read the Authors' opinion regarding the influence of the initial prestressing force on the observed results. This last point could not be trivial as the structural system is nonlinear, due to both material behavior and geometric nonlinear effects.</p>	<p>and the layout of external tendons (concordant tendon profile and various non-concordant tendon profiles). These factors are exclusive for continuous beams, and in the authors' opinion, are the most representative to present the results of the investigation on the topic.</p>
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### Numerical example: Influence of initial prestressing force

Beam CB3S, a two-span continuous beam with details shown in Fig. 4 and listed in Table 1 of the manuscript, is selected as the control beam for the numerical evaluation. Various tendon areas are used so as to produce different levels of initial prestressing force  $N_{p0}$ .

It can be seen in Figs. A1 and A2 that a higher level of the initial prestressing force leads to higher loads at cracking, yielding and ultimate, but develops lower ductility and stress increase in external tendons. From Fig. A3 and Table A1, it can be observed that a higher level of the initial prestressing force results in lower degree of moment redistribution.

Table A1 Influence of initial prestressing force on the degree of moment redistribution

$N_{p0}$ (kN)	M (kN-m)		$M_e$ (kN-m)		$\beta$ (%)	
	Midspan	Center support	Midspan	Center support	Midspan	Center support
224	385.9	-272.8	327.5	-389.7	-17.83	30.00
448	490.9	-368.4	428.8	-492.5	-14.48	25.20
672	591.3	-459.8	526.0	-590.4	-12.41	22.12

Note: M=actual moment obtained from the nonlinear FEM analysis;  $M_e$ =elastic moment obtained from the elastic analysis;  $\beta$ =degree of moment redistribution= $1-M/M_e$

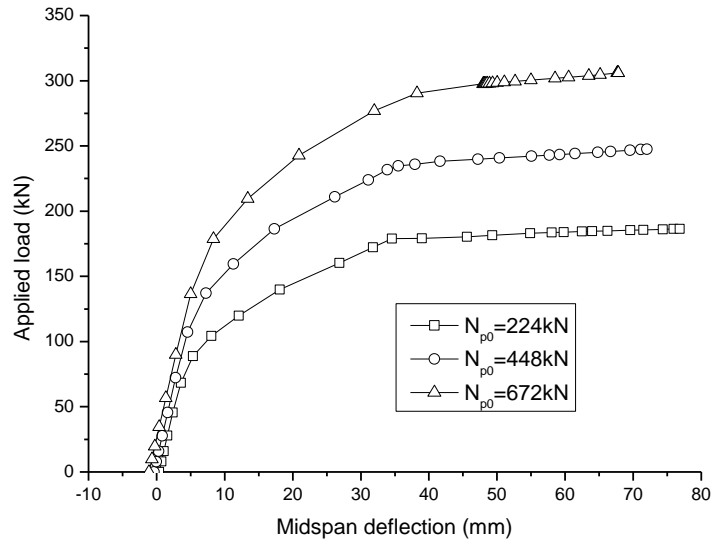


Fig. A1 Influence of initial prestressing force on the load-deflection response

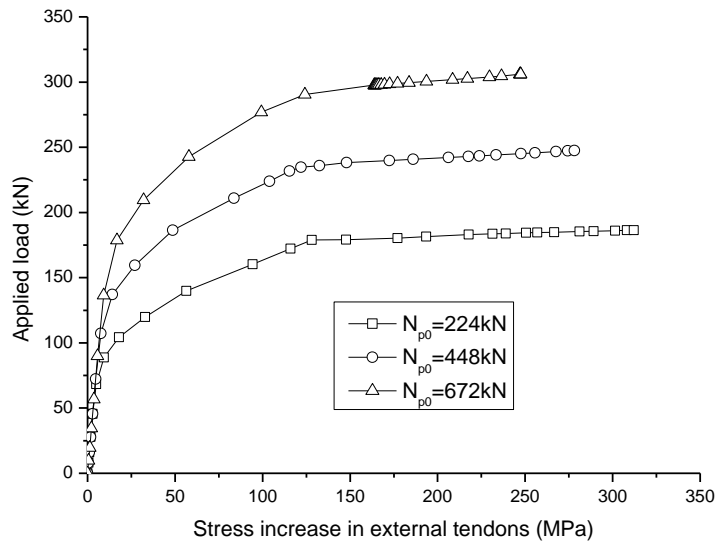


Fig. A2 Influence of initial prestressing force on the stress increase in external tendons



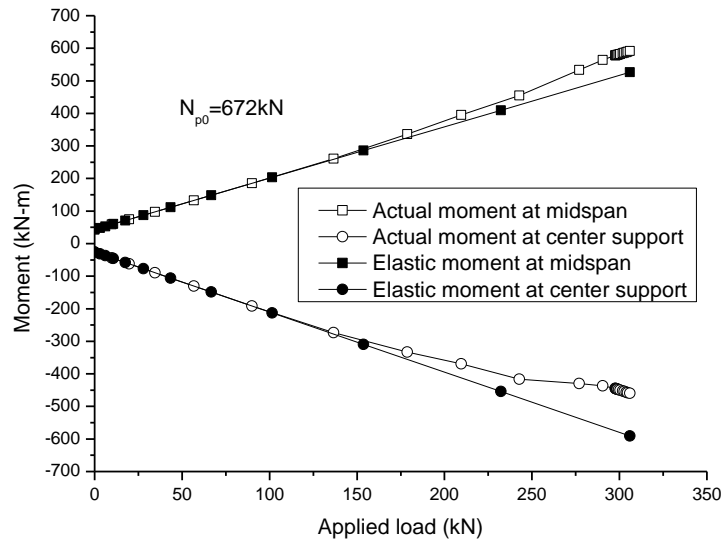
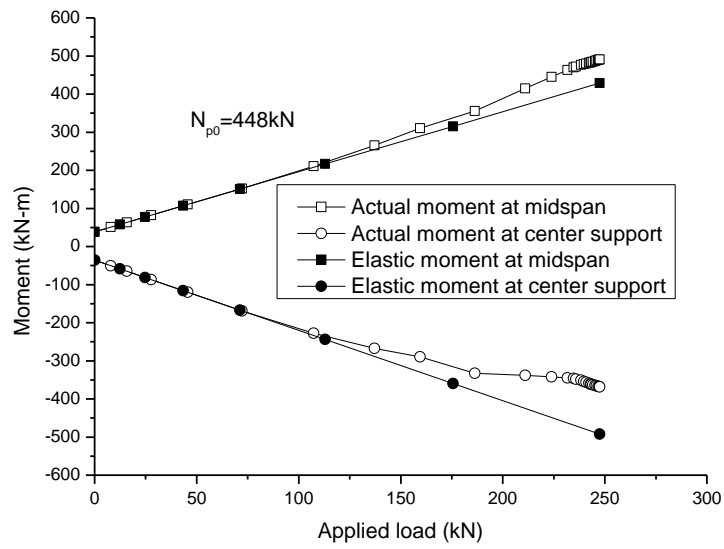
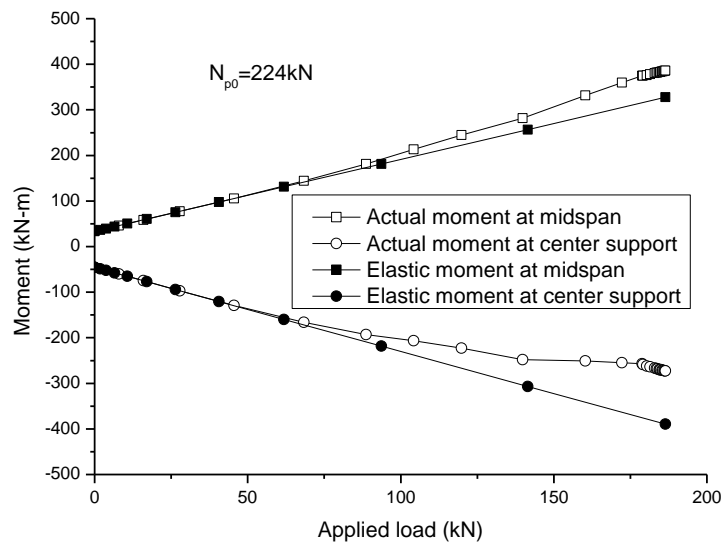


Fig. A3 Influence of initial prestressing force on the moment redistribution