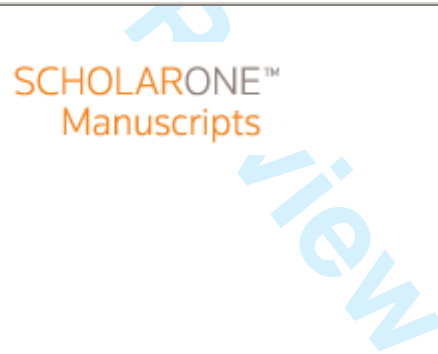


Study of Stafne's defects in Late Neolithic, Late Roman, Medieval and Modern skeletal samples from Portugal

Journal:	<i>International Journal of Osteoarchaeology</i>
Manuscript ID:	OA-10-0061.R1
Wiley - Manuscript type:	Research Article
Date Submitted by the Author:	n/a
Complete List of Authors:	Wasterlain, Sofia; University of Coimbra, Department of Life Sciences Silva, Ana Maria; University of Coimbra, Department of Life Sciences
Keywords:	Stafne's defect, lingual cortical mandibular defect, bone cyst, Portugal



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3 **Study of Stafne's defects in Late Neolithic, Late Roman, Medieval and Modern**
4 **skeletal samples from Portugal**
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20
21
22
23
24
25 Number of pages: 24
26

27 Number of figures: 10
28

29 Number of tables: 5
30
31
32
33

34 ABBREVIATED TITLE: Stafne's defects in Neolithic, Roman, Medieval and Modern
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36 samples
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41 KEY WORDS: Stafne's defect, lingual cortical mandibular defect, bone cyst, Portugal.
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ABSTRACT

Lingual mandibular cortical defects (Stafne's defects) are relatively uncommon in recent as well as past populations, but while this condition is often discussed in clinical reports, they are rarely the subject of anthropological research. In this paper, the prevalence of Stafne's bone defects in skeletal samples from Late Neolithic, Late Roman, Medieval and Modern Portugal is investigated (N = 704 complete mandibles and 111 incomplete mandibles). The aims of this paper are threefold: (1) to present and analyse for the first time in Portuguese osteological record prevalence data on Stafne's bone defect, (2) to analyse variations in defect prevalence between skeletal samples from a wide temporal array, and (3) to verify if more tenuous lesions in which resorption of the lingual cortex was not yet extensive had the classic radiographic appearance described by Stafne in order to validate the hypothesis that lesions are present clinically in many more cases than published figures indicate. In all cases, differential diagnosis against other conditions that mimic Stafne's defects, namely odontogenic lesions, cysts and neoplasms, was done. In all samples, the evidence of Stafne's defect occurred in 12 individuals (1.7%), and males (2.84%) were more frequently affected than females (0.61%). When incomplete mandibles were considered, only one left fragment (0.90%) exhibited Stafne's defect. Accurate identification of all examples of Stafne's bone defects in antiquity is thought to represent an important contribution to elucidate which factors may be responsible for this trait's cultural, ecological, temporal, and geographical patterning.

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3 Edward Stafne (1942) was the first to report the presence of “bone cavities” near the
4 angle of 35 mandibles. Several terms have been used since: Stafne’s bone cyst, Stafne’s
5 idiopathic bone cavity, idiopathic bone concavity of the mandibular, static bone cyst or cavity,
6 latent bone cyst, mandibular embryonic defect, lingual mandibular bone cavity, lingual
7 mandibular cortical defect, aberrant salivary gland defect, and developmental submandibular
8 gland defect of the mandible. Such bone cavities, concavities or depressions generally appear
9 on the lingual aspect of the mandible. They are commonly located near the molar area or just
10 anterior to the angle of the jaw, often beneath the inferior dental canal but above or involving
11 the lower border (Shafer et al., 1983; Regezi et al., 2000; Soames and Southam, 2005). This
12 location of Stafne’s defect has an occurrence of 0.1%-0.48% when diagnosed radiologically,
13 although in some studies where mandibles from cadavers were examined the incidence of the
14 lesion could reach 6.06% (Philipsen et al., 2002). Anterior lingual type of the lesion is seen
15 seven times less frequently and it is usually located between the lower incisor and the
16 premolar areas, above the insertion of the mylohyoid muscle (Apruzzese and Longoni, 1999;
17 Katz et al., 2001; de Courten et al., 2002; Philipsen et al., 2002; Queiroz et al., 2004). An
18 extremely rare variant is located to the lingual or buccal aspects of the ascending mandibular
19 ramus (Philipsen et al., 2002). Stafne’s defect affects predominantly adults, between the fifth
20 and sixth decades of life, and is more common in men than in women (Oikarinen and Julku,
21 1974; Correll et al., 1980; Philipsen et al., 2002; Quesada-Gómez et al., 2006; Dolanmaz et
22 al., 2009). Sometimes, the anomaly is bilateral (Shafer et al., 1983; Queiroz et al., 2004;
23 Soames and Southam, 2005). The defects are of varying depth and usually vary from 1 to 3
24 cm in diameter (radiographically), with the widest portion being parallel to the inferior border
25 of the mandible (Whaites, 2007; Vodanović et al., 2009). An extremely large lesion, reaching
26 up to 9 cm, was reported only once (Buchner et al., 1991). Size does not usually alter with age
27 (Stafne, 1942).
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3 Stafne's bone cavities are generally detected incidentally on routine oral radiographic
4 examination because they are usually asymptomatic, with the exception of some patients with
5 slight pain and/or swelling in the area (Harvey and Noble, 1968; Apruzzese and Longoni
6 1999; Quesada-Gómez et al., 2006). Sometimes, a depression may be palpable lingually
7 during the intra-oral examination (Sandy and Williams, 1981). Radiographically, they appear
8 as a round or oval, unilocular, well-circumscribed, corticated, uniform radiolucency (Whaites,
9 2007). More rarely, the defects may be multilocular (Hayashi et al., 1984). Sometimes the
10 borders are sclerotic or not clearly defined (Apruzzese and Longoni, 1999).

11
12 The aetiology and pathogenesis of Stafne's defects has been very problematic, but two
13 of the most popular hypothesis are that they are congenital, or that they develop later through
14 pressure resorption. The first hypothesis, originally proposed by Stafne (1942), suggests that
15 the lingual bone cavity could result from a failure of normal bone deposition in the region
16 formerly occupied by cartilaginous tissue. The major objection to this theory is that these
17 defects have rarely been observed in children and are much more common in the middle aged
18 and elderly (Shafer et al., 1983); therefore, it seems probable that these lesions develop later
19 in life, after ossification of the mandible (Apruzzese and Longoni, 1999). Possible causes are
20 speculative, and most authors accept, though without good evidence, that a
21 hyperplastic/hypertrophic lobe of the salivary glands, exerting pressure upon the cortex of the
22 mandible by the respective gland, leads to focal atrophy or resorption of the bone (Barker,
23 1988; de Courten et al., 2002; Philipsen et al., 2002). According to this view, the
24 submandibular gland is directly related with the posterior location of the defects, while the
25 sublingual gland is related to the anterior location, and the parotid gland with the variants of
26 the ascending ramus of the mandible (Barker, 1988; Philipsen et al., 2002). Defenders of this
27 aetiology support their argument essentially on the fact that glandular tissue is commonly
28 found within the defect of the patients subjected to sialographies or intervened surgically
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3 (Drage et al., 2003; Quesada-Gómez et al., 2006). On the other hand, Shibata et al. (1991)
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5 reported disappearance of the cavity 26 months after removal of the submandibular gland,
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7 which led them to relate the development of the lesion to the pressure exerted by the
8
9 submandibular gland on the cortical bone. In a minority of cases, however, muscular, fibrous
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11 connective tissue, lymphatic, adipose tissues, or blood vessels were also reported related with
12
13 the cavity. In other cases, the cavity was just empty (Apruzzese and Longoni, 1999). Some
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15 authors suggest that the facial artery could be associated with these defects, given that an
16
17 abnormal vascular pressure could originate necrosis and resorption of the adjacent bone (Ariji
18
19 et al., 1993). For other authors, the diversity of tissues found in surgical reports could be the
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21 result of intermitted gland herniation, regression of the herniated gland or, simply, removal of
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23 soft parts adjacent to the lesion (Sandy and Williams, 1981).
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30 Differential diagnosis of Stafne's defects is not usually problematic when it occurs in
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32 the posterior region of the mandible. Anterior lingual mandibular salivary gland defects may
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34 be, however, more difficult to diagnose. The radiolucencies are generally beneath the root
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36 apices or partially superimposed. In some cases, they appear between the roots or in an
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38 edentulous area (Apruzzese and Longoni, 1999; de Courten et al., 2002). In such cases, when
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40 the location of the cavity is atypical or its appearance differs from the typical (eg, lobulated,
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42 incompletely corticated, or multiple), conventional radiographs may be inadequate for
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44 recognition of the lesion (Drage et al., 2003; Dolanmaz et al., 2009). Therefore, additional
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46 diagnostic techniques, namely dental computerized tomography, computerized tomography,
47
48 magnetic resonance imaging and sialography, may be needed (Branstetter et al., 1999; Segev
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50 et al., 2006; Dolanmaz et al., 2009). Computerized tomography has the great advantage of
51
52 verifying the peripheral origin of the lesion and the conservation of the lingual cortical, which
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54 are essential characteristics for excluding other possible pathologies such as apical or residual
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56 cysts, non-inflammatory odontogenic cyst, non-ossifying fibroma, fibrous dysplasia,
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3 traumatic osseous cyst, central giant cell granuloma, brown tumour of hyperparathyroidism,
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5 ameloblastoma, or metastasis from a primary malignant tumour (Ariji et al., 1993; Drage et
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7 al., 2003; Segev et al., 2006).

10 The two main therapeutic options are based on either surgical intervention or a 'wait
11
12 and see' approach. At present, it is believed that Stafne's disease presents no evolutionary
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14 changes, and as such conservatory therapy based on periodic clinical and radiological controls
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16 suffices (Quesada-Gómez et al., 2006). However, in atypical cases or when diagnostic doubts
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18 persist, surgical intervention and histopathologic analysis is indicated to exclude other
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20 pathologic entities (Quesada-Gómez et al., 2006; Araújo et al., 2009).

24 Since 1942, Stafne's defects have often been reported in clinical literature (Karmioli and
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26 Walsh, 1968; Correll et al., 1980; Sandy and Williams, 1981; Hayashi et al., 1984; Apruzzese
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28 and Longoni, 1999; Katz et al., 2001; de Courten et al., 2002; Philipsen et al., 2002; Drage et
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30 al., 2003; Quesada-Gómez et al., 2006; Araújo et al., 2009; Dolanmaz et al., 2009), but much
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32 fewer papers have reported on these defects in archaeological contexts (Harvey and Noble,
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34 1968; Finnegan and Marcsik, 1980; Graham, 1980; Mann, 1990; Mann and Tsaknis, 1991;
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36 Mann and Shields, 1992; Shields, 2000; Lukacs and Rodríguez Martín, 2002; Masnicová and
37
38 Beňuš, 2003; Jordana et al., 2007; Vodanović et al., 2009).

43 In the study of Harvey and Noble (1968), a comparison between the size of the lingual
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45 defects and the radiological appearance indicated that only those cases in which resorption of
46
47 the lingual cortex was extensive had the classic radiographic appearance described by Stafne.
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49 Therefore, it is possible that these lesions are present clinically in many more cases than
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51 published figures indicate, but in many instances the degree of resorption is not enough to
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53 produce radiographic changes (Sandy and Williams, 1981). If this is true, the presence of
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55 these lesions may be, as highlighted by several authors (Sandy and Williams, 1981;
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57 Apruzzese and Longoni, 1999), no more than a simple reflection of a normal anatomic
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3 variation in morphology, producing radiographically detectable changes only in extreme
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5 cases.
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8 The aims of this paper are threefold: (1) to present and analyse for the first time in
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10 Portuguese osteological record prevalence data on Stafne's bone defect, (2) to analyse
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12 variations in defect prevalence between archaeological samples from a wide temporal array,
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14 and (3) to verify if more tenuous lesions in which resorption of the lingual cortex was not yet
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16 extensive had the classic radiographic appearance described by Stafne in order to validate the
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18 hypothesis that lesions are present clinically in many more cases than published figures
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20 indicate. Accurate identification of all examples of Stafne's bone defects in antiquity is
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22 thought to represent an important contribution to elucidate which factors may be responsible
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24 for this trait's cultural, ecological, temporal, and geographical patterning.
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34 MATERIALS AND METHODS

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36 During a study of dental pathology in 600 individuals from the three identified
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38 osteological collections of the Museum of Anthropology (Medical School, International
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40 Exchange and Identified Skeletal collections), University of Coimbra, Portugal, one of the
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42 authors (SNW) observed several cases of Stafne's defects. It was thereafter decided to extend
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44 the research of this condition to other Portuguese skeletal series from a wider temporal array,
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46 in order to document this defect through time and adequately evaluate competing hypothesis.
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48 For the purpose of this study, several human skeletal samples from the Late Neolithic (Silva,
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50 2003), Late Roman, Medieval and Modern Portugal were, therefore, investigated by both
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52 authors (AMS and SNW). All collections on which the following observations were made are
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54 housed at the Museum of Anthropology of the University of Coimbra (MAUC), Portugal. In
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56 all, 815 mandibles were analyzed. Of these, 704 mandibles were completely preserved,
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3 meaning that both sides were observable. Unfortunately, the older material was not always
4 well-preserved and some mandibles (N = 111) were not complete because a whole segment of
5 jaw had been broken away, preventing their full examination. The identification, date,
6 composition, and state of preservation of the skeletal samples under study are shown in Table
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15 Sex determination of the non-identified specimens under study was made based on the
16 morphology of the skull and hip-bone (Ferembach et al., 1980) and the morphometric analysis
17 of the long bones (Wasterlain, 2000), the calcaneus and talus (Silva, 1995), and hip-bone
18 (Murail et al., 2005). Sex assessment of isolated mandibles relied upon mandibular
19 morphology, including relative overall size and robusticity, mandibular angle, shape of the
20 gonial region and mental eminence, and width of the ascending ramus (Ferembach et al.,
21 1980).

22
23 The age-at-death estimate of the non-identified individuals was taken from morphologic
24 changes in the pubic symphysis and auricular surface of the *ilium* (Krogman and Işcan, 1986;
25 Lovejoy et al., 1985), as well as using the degree of closure of the cranial sutures (Masset,
26 1982).

27
28 The main advantage of the identified osteological collections of the Museum of
29 Anthropology (*Medical School, International Exchange, and Identified Skeletal collections*)
30 compared to the archaeological samples is the presence of known demographic parameters
31 such as age and sex.

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33 For the purpose of the present study, the mandibles were macroscopically examined for
34 cortical defects, and radiographed through orthopantomography (Orthopantomograph Iberdata
35 OP 100D; voltage: 57 kV; exposition: 3.2 mA, 17.6 s).

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37 The procedure recommended by Lukacs and Rodríguez Martín (2002) was adopted for
38 macroscopic description of the lesions, which includes size of the defect, location of defect by
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3 side, position of defect on corpus, and appearance of the defect. In relation to size of the
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5 lesion, three measurements were taken: length (approximately parallel to the margin of the
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7 mandibular corpus), height (perpendicular to length), and depth (from the deepest point in the
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9 depression to a line tangent with the normal lingual cortical bone). All measurements were
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11 recorded in millimetres with needle-point calipers and periodontal graduated probes, to the
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13 nearest 0.1 mm.
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17 Radiological images were analysed for the presence of sharply demarcated round or
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19 oval lesions, discontinuities in the lingual cortex, or possible erosions in the buccal cortex
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21 (Vodanović et al., 2009).
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25 Corrected prevalence of Stafne's bone defects were calculated according to Waldron
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27 (1994, 2007).
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34 RESULTS

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36 Given that some mandibles do not have both sides preserved for observation, it was
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38 decided to present the results separately for complete mandibles, right mandibular fragments,
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40 and left mandibular fragments. This is probably the most correct procedure because takes
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42 account of the fact that missing parts of mandibles may have had Stafne's defects. Therefore,
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44 when calculating the proportion of individuals in the study samples affected by this condition,
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46 only complete mandibles are considered.
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51 The proportion of individuals affected by Stafne's defects by skeletal series, and sex can
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53 be seen in Table 2.
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56 Of the 704 complete mandibles, 12 (1.70%) exhibited defects on the lingual side that
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58 could be described as Stafne's defects.
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3 In sum, the corrected prevalence of Stafne's defects in the sample of the Late Neolithic
4 mandibles is 5.26% (1/19), of Middle Age specimens is 3.57% (3/84), and of Modern
5 individuals is 1.34% (8/595). No Stafne's defects were found in the mandibles from the Late
6 Roman period, which may be due to the reduced size of the sample (N = 6). These figures
7 represent the percentage of individual mandibles with one or more, unilateral or bilateral,
8 expressions of the defect present.
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11 For all samples of mandibles, males (2.84%; 10/352) were more frequently affected
12 than females (0.61%; 2/326).
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15 The proportion of mandibles' fragments affected by Stafne's defects by skeletal series,
16 and according to laterality can be seen in Table 3. Of the 53 left mandible fragments, only one
17 (1.89%) exhibited a Stafne's defect. None of the 58 right mandible fragments analyzed
18 presented lesions that could be described as Stafne's defect.
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21 Descriptive summary of each observed lesion is presented in Table 4 (refer to Figures 1
22 to 4). In all, 14 defects are presented: 1 observed in one incomplete mandible and 13 found in
23 12 complete mandibles (one individual had bilateral lesions). Figure 1 shows the two
24 mandibles with Stafne's defects from the Late Neolithic. In Figure 2, the three affected
25 mandibles from the Middle Ages can be seen. Figure 3 shows seven of the eight mandibles
26 presenting Stafne's defects from the late 19th and early 20th centuries. Finally, the only
27 mandible with bilateral lesions (belonging to the identified collections) can be observed in
28 Figure 4.
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31 From the summary provided in Table 4, it can be seen that the most frequent shape of
32 the defect is that of an oval. This occurred 8 times or in 57.14% of the cases. The texture of
33 the floor of the defect showed 11 (78.57%) to be rough against 3 times (21.43%) to be
34 smooth.
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3 The location of the Stafne's defect is most commonly below the third molar (5 cases,
4 35.71%), or inferior to mylohyoid groove (3 cases, 21.43%).
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8 With respect to sides, the defects are equally frequent on both the right and the left side.
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10 Only one of the 14 complete mandibles with Stafne's defects presented bilateral lesions. It's
11 interesting to note, however, that those lesions are quite distinct regarding size, position on
12 corpus, and appearance. The lesion on the left side is larger, has an oval shape, distinct
13 margins, and is located inferior to the third molar, whereas the lesion on the right side is more
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Regarding radiological analysis, 8 lesions (57.14%) could not be detected by
orthopantomography. By contrast, on 6 cases (42.86%), orthopantomographs (OPGs)
revealed lesions with typical features of Stafne's defect. The lesions detected on standard
radiological images were mainly the deeper defects. Lesions with measured depths inferior to
1.5 mm didn't have the correspondent radiographic appearance of Stafne's defects.

The OPG of mandible RB138 from Rua dos Barcos revealed a unilateral well-defined
unilocular radiolucent oval lesion on the right side below the inferior alveolar canal (Figure
5).

OPG of the mandible 286 from International Exchange Collection (IEC) revealed a
round radiolucent area on the right side at the level of third molar (Figure 6).

The OPG of the mandible 379 from IEC showed a round radiolucent area on the right
side at the level of third molar (Figure 7).

OPG of mandible 643 from IEC showed an oval radiolucent area at the level of the left
mandibular third molar region which revealed characteristic features of Stafne's defect
(Figure 8). The more tenuous lesion on the right side of this mandible was not possible to
identify on the radiological image.

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3 The OPG of the mandible 712 from IEC revealed a well-defined, unilocular, round
4 radiolucent area (Figure 9). The borders exhibited dense radiopaque (sclerotic) features. The
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7 mandibular inferior border was intact.

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10 The OPG of the mandible 1000 from IEC showed a well-defined radiolucent area on the
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12 right side at the level of third molar (Figure 10).

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15 No pathological changes could be identified in association to any of these lesions.
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22 DISCUSSION

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25 Lingual cortical defects of the mandible (Stafne's defects) have often been a subject of
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27 clinical research, but there are much fewer papers reporting on these defects in archaeological
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29 contexts. Unfortunately, most anthropologists are not aware of the defects and do not include
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31 them in their analytic protocol. This situation is paradoxical, as already mentioned by Lukacs
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33 and Rodríguez Martín (2002), since human osteologists are in a privileged position to
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35 contribute to the better understanding of this trait's etiology by systematically recording it in
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37 recent, archaeological, and prehistoric skeletal collections. In fact, archaeological and
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39 museum collections present several advantages when studying Stafne's defects.
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44 First, clinical evaluations of Stafne's defects generally require radiographic or
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46 sialographic observation and/or surgical inspection. Direct visual examination of dry
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48 mandibles, allowing prompt identification of any lesion, is a privilege of human osteologists.
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51 Second, direct visual examination of the mandibles also facilitates differential diagnosis
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53 of any lesion observed. In the present study, all lesions were differentially diagnosed against
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55 other conditions that mimic Stafne's defects, namely odontogenic lesions, cysts and
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57 neoplasms.
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3 Radicular (periapical) cysts are the most common cysts of the jaws, being most
4 frequently seen in patients between 30 and 50 years old (Scholl et al., 1999). They develop at
5 the root apex of a non-vital tooth due to inflammatory stimulation and proliferation of the
6 epithelial rests of Malassez (Dias et al., 2007). The cyst may displace adjacent teeth or cause
7 mild root resorption. At radiography, they appear as round or pear-shaped, unilocular, lucent
8 lesions in the periapical region. They are often less than 1cm in diameter and are bordered by
9 a rim of cortical bone (Scholl et al., 1999). In the lesions under study, the adjacent teeth did
10 not present signs of severe destruction of hard dental tissues (deep carious lesions or heavy
11 tooth abrasion) that could cause inflammation of the pulp or pulp death and lead to the
12 destruction of periapical bone. Besides, the lamina dura was intact around the root apices and
13 so radicular cysts could be excluded from the differential diagnosis.
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29 The dentigerous (follicular) cyst forms within the lining of the dental follicle when fluid
30 accumulates between the follicular epithelium and the crown of the developing or unerupted
31 tooth (Scholl et al., 1999). Most of them manifest in adolescents and young adults and often
32 form around the crown of an unerupted third molar. The most important features of
33 dentigerous cyst are its ability to expand asymptotically and its potential to displace or
34 resorb adjacent teeth or bone. These lesions may vary in size, but when diameter exceeds
35 2cm, mandibular expansion may occur (Scholl et al., 1999). Radiographically, dentigerous
36 cysts appear as well-defined, round or ovoid, corticated, lucent lesions around unerupted
37 teeth, usually third molars. The roots of the involved tooth are frequently outside the lesion.
38 Extremely large dentigerous cysts often develop undulating borders (Scholl et al., 1999). In
39 the cases under study, there were no unerupted teeth adjacent to the lesions and so dentigerous
40 cysts could be excluded from the differential diagnosis.
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57 The odontogenic keratocysts arise from the dental lamina and other sources of
58 odontogenic epithelium. Most of them are found during the 2nd to 4th decades of life, although
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3 they can occur at any age. Although they are commonly seen in the posterior mandible (ramus
4 and body), odontogenic keratocysts may also occur in the anterior mandible or anywhere in
5
6 the maxilla (Scholl et al., 1999). Radiographically, an odontogenic keratocyst usually appears
7
8 as a unilocular, lucent lesion with smooth, corticated borders that is often associated with an
9
10 impacted tooth. They are more likely to show aggressive growth than other odontogenic cysts
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12 and may have undulating borders and a multilocular appearance. Besides, odontogenic
13
14 keratocysts may cause cortical thinning, tooth displacement, and root resorption (Scholl et al.,
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16 1999). Considering that none of these features was observed in the present cases, the
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18 diagnosis of odontogenic keratocyst was discarded.
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25 A traumatic bone cyst (also referred to as haemorrhagic bone cyst) is not a true cyst
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27 because it lacks an epithelial lining. Its cause is unknown, although some believe that it
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29 develops after trauma. Most lesions are found during the 2nd decade of life on routine
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31 radiological examination and their most common location is the mandible (Scholl et al., 1999;
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33 Vodanović et al., 2009). The traumatic bone cyst can have similar radiographic features to
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35 that of an odontogenic cyst and also tends to appear between the roots of the teeth (Cortell-
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37 Ballester et al., 2009). It appears as a radiolucent image with irregular or scalloped but well
38
39 defined margins. The size is variable and a fine sclerotic margin is sometimes observable
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41 (Cortell-Ballester et al., 2009). When the radiolucency affects the interdental bone spaces, the
42
43 lesion appears lobular or scalloped. Root resorption is rare, and can cause disappearance of
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45 the hard lamina. These morphology and radiological appearances are distinct from those
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47 presented here, being traumatic bone cyst therefore excluded.
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53 Central giant cell granuloma is a relatively common lesion of the jaw, affecting the
54
55 mandible twice as frequently as the maxilla. These lesions typically occur in adolescents and
56
57 young adults (Scholl et al., 1999). The central giant cell granuloma is more commonly found
58
59 anterior to the lower first molar where deciduous teeth are found and often causes marked
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3 expansion of the bone, displacement of teeth and resorption of the lamina dura (Cohen and
4
5 Hertzanu, 1988), features not seen in these cases.
6
7

8 Ameloblastomas are benign epithelial neoplasms, representing around 10% of
9
10 odontogenic tumours. They typically manifest in the 3rd to 5rd decades of life but have also
11
12 been reported in younger or older individuals. Around 80% of the ameloblastomas occur in
13
14 the ramus and posterior body of the mandible. These neoplasms develop from various sources
15
16 of odontogenic epithelium, including dental follicular lining epithelium. The ameloblastoma
17
18 exhibit locally aggressive behaviour and is often an expansible lesion that causes resorption
19
20 and displacement of the adjacent teeth (Scholl et al., 1999). Radiographically,
21
22 ameloblastomas may appear as well-defined, unilocular, well-corticated, lucent lesions that
23
24 are often associated with the crowns of impacted or unerupted teeth. Others are multilocular
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26 with internal septa and a honey-comb or soap bubble appearance (Scholl et al., 1999). These
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28 morphology and radiological appearances are distinct from those presented here, being the
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30 diagnosis of ameloblastoma therefore excluded.
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36 In sum, the 14 Stafne's defects analyzed in this study exhibit a typical appearance,
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38 leaving no doubts about the diagnosis. A key anatomic feature of Stafne bone defect is that
39
40 the mandibular concavity is always open on its lingual face and the mandibular bone cortical
41
42 is not compromised (Araújo et al., 2009). The presence of a lingual opening surrounded by
43
44 perfectly sound cortical bone excludes the majority of differential diagnoses that one may
45
46 consider.
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50 Another advantage of the osteological material is that variations in defect prevalence
51
52 between archaeological samples from a wide temporal range may be investigated. In the
53
54 present study, the prevalence of Stafne's defects was investigated for several Portuguese
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56 skeletal samples dated from the Late Neolithic to the Modern period. The prevalence of
57
58 Stafne's defects in the global sample analyzed in this study is 1.70% (12/704). The proportion
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3 of individuals affected by Stafne's defects is 5.26% (1/19) for the Late Neolithic, 0% (0/6) for
4
5 the Late Roman, 3.57% (3/84) for the Middle Ages, and 1.34% (8/595) for the Modern
6
7 period. The lower prevalence recorded in the Late Roman sample may probably be due to its
8
9 small sample size. These results are in close agreement with the results obtained by other
10
11 authors for samples from distinct temporal and geographical origin (Table 5). Finnegan and
12
13 Marcsik (1980) examined 5519 mandibles from several archaeological sites in Hungary and
14
15 found 59 (1.07%) Stafne bone defects. For the Avar period sample from Hungary, however,
16
17 Finnegan and Marcsik (1981) reported a higher prevalence of 3.39%. Shields (2000) analysed
18
19 7686 mandibles sampled globally within the past 2000 years and concluded that the
20
21 prevalence of Stafne's bone defects ranges from 10% in the tropics to virtually 0% in most of
22
23 the arctic. Lukacs and Rodríguez Martín (2002) studied the lingual cortical defects of the
24
25 mandibular corpus in 452 prehistoric skeletons of Tenerife (Canary Islands, Spain). The
26
27 prevalence of Stafne's defects in their sample was 3.32%. Masnicová and Beňuš (2003) found
28
29 that among the skeletal remains from the Great Moravia and Middle Ages Cemeteries at
30
31 Devin (Slovakia), the prevalence of Stafne's defects was 1.2%. More recently, Vodanović et
32
33 al. (2009) analyzed the prevalence of Stafne's defects in a large composite Croatian
34
35 archaeological series and found that 4250 mandibles produced 2 (0.05%) Stafne's defects.
36
37 Different prevalences reported in the anthropological literature suggest some population
38
39 variation in defect frequency, as already noted by Vodanović et al. (2009).

40
41 According to the literature, this defect most frequently displays an ovoid shape, rough
42
43 floor, showing no clear side preference. Besides, it primarily affects middle-aged or older
44
45 individuals and is more prevalent in males than females (Katz et al., 2001; Lukacs and
46
47 Rodríguez Martín, 2002; Masnicová and Beňuš, 2003; Vodanović et al., 2009). All these
48
49 tendencies were confirmed in the analysed samples: the highest frequency was found in the
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51 fifth, sixth and seventh decades of life; a striking male predilection was found, with more than
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3 70% of the cases occurring in men; the most frequent shape of the defects was that of an oval
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5 (57.14%), and the texture of their floor showed to be rough more often (78.57%). With
6
7 respect to sides, the defects were equally frequent on both the right and the left side, as
8
9 expected.
10

11
12 Finally, data on Stafne's bone defects prevalence in living populations can be
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14 influenced by different diagnostic techniques, but investigations performed on dry mandibles
15
16 are not affected by this problem (Vodanović et al., 2009). As explained by Vodanović et al.
17
18 (2009), the nature of the development and growth of Stafne's defects is opposite to that of the
19
20 periapical lesions. This later lesion starts to develop close to the tooth root in the middle of the
21
22 jaw and expand to the outer border of the bone. Depending on the severity of the
23
24 inflammatory process, the bone defect caused by odontogenic infection does not necessarily
25
26 have to be visible on the outer border of the jaw. That is the reason why radiographic methods
27
28 are considered the most accurate way of determining the prevalence of periapical lesions. If
29
30 they are not used in dry skulls, false low prevalence rates can be established. In opposition,
31
32 Stafne's defects are thought to start at the outer border of the bone and expand inwards. If this
33
34 is true, it means that every phase of its development is easily and unmistakable identified in
35
36 dry bone (Vodanović et al., 2009). On the other hand, it is possible that Stafne's defects
37
38 produce radiographically detectable changes only in extreme cases (Apruzzese and Longoni,
39
40 1999). If this is the case, more tenuous lesions, in which resorption of the lingual cortex was
41
42 not yet extensive, may be promptly identified only in osteological material. In fact, in the
43
44 present study, 8 lesions (57.14%) could not be detected by orthopantomography, suggesting
45
46 that the incidence of about 0.10-0.48% of lingual mandibular bone depressions reported for
47
48 the living populations is probably an underestimation. Besides, the present analysis suggests
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50 that Stafne's defects are detected by x-rays only when their depth is ≥ 1.5 mm, but more data
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52 regarding mandibular defects are required to provide a reliable test.
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CONCLUSION

In view of the scarcity with which Stafne's defects have been diagnosed in archaeological specimens in general, and considering the temporal and regional differences emerging in the frequencies of these defects, it is important to report all new cases which are discovered. Besides, as already highlighted by Lukacs and Rodríguez Martín (2002), accurate identification of all examples of Stafne's bone defects in antiquity is thought to represent an important contribution to elucidate which factors may be responsible for this trait's cultural, ecological, temporal, and geographical patterning. The present study is the first to report several cases of Stafne's defects in Portuguese human skeletal remains from distinct time periods, and adds to the very few osteoarchaeological case descriptions available for this condition. For these reasons, it is believed that data presented in this study are of not only anthropological but also clinical relevance. Besides, we venture to suggest that the mandible PM12272 from the *Tholos de Paimogo I* site (4250 ± 90 BP; Sac- 1556) may be the oldest known case of Stafne's defect until now.

ACKNOWLEDGMENTS

The authors would like to thank *Câmara Municipal de Santarém, Museu Municipal Leonel Trindade de Torres Vedras, Museu Arqueológico de São Miguel de Odrinhas*, and *Centro de Investigação em Antropologia e Saúde*. The authors are grateful to the Museum of Anthropology of the University of Coimbra for permission to study the identified osteological collections. The authors also acknowledge the *Clínica Universitária de Imagiologia dos Hospitais da Universidade de Coimbra* for producing the radiographs of the mandibles.

1
2
3 Finally, the authors would like to thank the editor of the journal and the anonymous reviewers
4
5 whose valuable comments and suggestions allowed us to improve the manuscript.
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10 11 12 **LITERATURE CITED**

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Table 1. Identification, date, composition, and state of preservation of the Portuguese skeletal samples under study.

Period	Site/Collection	Date	State of preservation	Analyzed mandibles (N)*		
				C	R	L
Late	<i>Tholos de Paimogo I</i>	4250 ± 90 BP (Sac- 1556)	Good	4	22	16
Neolithic	<i>Cabeço da Arruda I</i>	4240 ± 50 BP (Beta – 132975)	Fair	0	3	9
	<i>Cova da Moura</i>	4715 ± 50 BP (3636-3371 cal BC, UBAR – 593)	Good	8	13	10
	Rock cut comb of <i>São Paulo II</i>	3960 ± 190 BP (UBAR – 629)	Poor	7	5	1
Late			Poor			
Roman	<i>Miroiço</i>	2 nd – 4 th centuries AD		6	4	0
Middle	<i>Rua dos Barcos</i>	990 ± 40 BP (980 – 1160 cal AD, Beta – 226113)	Good	63	4	8
Ages	<i>Convento de S. Francisco de</i>	1200 ± 40 BP (718-963 cal AD, Sac – 1781)	Good			
	<i>Santarém</i>	510 ± 40 BP (1328-1446 cal AD, Sac – 1797)		21	5	7
Modern	<i>Identified Osteological</i>	Late 19 th /early 20 th centuries AD	Very good	595	2	2

Collections

Total	704	58	53
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* C = Complete; R = Only the right side of the mandible could be analyzed; L = Only the left side of the mandible could be analyzed.

For Peer Review

Table 2. Proportion of individuals affected by Stafne's defects by skeletal series, and sex.

Period	Site/Collection	Males			Females			Unknown sex			Total		
		N obs.	N aff.	% aff.	N obs.	N aff.	% aff.	N obs.	N aff.	% aff.	N obs.	N aff.	% aff.
Late	<i>Tholos de</i>												
Neolithic	<i>Paimogo I</i>	0	0	0	0	0	0	4	0	0	4	0	0
	<i>Cova da</i>												
	<i>Moura</i>	3	1	33.3	1	0	0	4	0	0	8	1	12.5
	Rock cut comb												
	<i>of São Paulo II</i>	3	0	0	2	0	0	2	0	0	7	0	0
Late													
Roman	<i>Miroiço</i>	2	0	0	2	0	0	2	0	0	6	0	0
Middle	<i>Rua dos</i>												
Ages	<i>Barcos</i>	36	3	8.33	14	0	0	13	0	0	63	3	4.76
	<i>Convento de S.</i>												

	<i>Francisco de</i>												
	<i>Santarém</i>	12	0	0	8	0	0	1	0	0	21	0	0
Modern	<i>Identified</i>												
	<i>Osteological</i>												
	<i>Collections</i>	296	6	2.03	299	2	0.67	0	0	0	595	8	1.34
Total		352	10	2.84	326	2	0.61	26	0	0	704	12	1.70

Table 3. Proportion of mandibles' fragments affected by Stafne's defects according to laterality.

Period	Site/Collection	Right Fragments			Left Fragments			Total		
		N obs.	N aff.	% aff.	N obs.	N aff.	% aff.	N obs.	N aff.	% aff.
Neolithic/	<i>Tholos de</i>									
Chalcolithic	<i>Paimogo I</i>	22	0	0	16	1	6.25	38	1	2.6
	<i>Cabeço da</i>									
	<i>Arruda I</i>	3	0	0	9	0	0	12	0	0
	<i>Cova da</i>									
	<i>Moura</i>	13	0	0	10	0	0	23	0	0
	Rock cut comb									
	<i>of São Paulo II</i>	5	0	0	1	0	0	6	0	0
Late										
Roman	<i>Miroiço</i>	4	0	0	0	0	0	4	0	0
Middle	<i>Rua dos</i>									
Ages	<i>Barcos</i>	4	0	0	8	0	0	12	0	0

	<i>Convento de S.</i>									
	<i>Francisco de</i>	5	0	0	7	0	0	12	0	0
	<i>Santarém</i>									
Modern	<i>Identified</i>									
	<i>Osteological</i>									
	<i>Collections</i>	2	0	0	2	0	0	4	0	0
Total		58	0	0	53	1	1.89	111	1	0.90

Table 4. Descriptive summary of each observed Stafne's defect.

Site	Spec. Nr.	Sex/Age (years)	State of Completeness*	Side	Length	Height	Depth	Texture	Position‡	Margins	Shape
<i>Tholos de</i>											
<i>Paimogo I</i>	12272	?/adult	I	L	5.1	4.1	1.0	rough	IMHG	Y	round
<i>Cova da</i>											
<i>Moura</i>	3	M/adult	C	R	3.7	2.0	1.0	smooth	IM1	Y	oval
<i>Rua dos</i>	10	M/30-40	C	L	3.7	2.6	1.0	rough	PMHG	Y	round
<i>Barcos</i>	36	M/50+	C	L	6.1	4.6	0.5	rough	IM1M2	N	oval
	138	M/45 ± 14.76	C	R	10.5	6.7	8.0	rough	IMHG	Y	oval
<i>International</i>	286	M/74	C	R	8.8	6.9	2.5	rough	IM3	Y	round
<i>Exchange</i>	309	M/23	C	R	5.4	2.5	0.5	rough	IM2	N	oval
<i>collection</i>	379	F/65	C	R	5.4	4.6	1.5	smooth	IM3	N	round
	643	M/78	C	B	L – 6.1 R – 4.9	L – 2.4 R – 3.1	L – 4.5 R – 1.0	L- rough R - rough	L – IM3 R - IMHG	L – Y R - N	L - oval R - round

	712	M/56	C	L	4.4	3.9	3.0	rough	IM2	Y	round
	1000	M/39	C	R	6.6	4.4	2.5	smooth	IM3	Y	oval
<i>Skeletal</i>	194	F/65	C	L	6.7	4.4	0.5	rough	PMHG	N	oval
<i>collection</i>	455	M/63	C	L	5.1	3.0	0.5	rough	IM3	N	oval

* I = Incomplete mandible; C = Complete mandible.

‡ IMHG = inferior to mylohyoid groove; PMHG = posterior to mylohyoid groove; IM3 = inferior to M3; IM2 = inferior to M2; IM1M2 = the defect extends from beneath the distal margin of M1 to the mesial aspect of M2; M1 = inferior to M1.

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Table 5. Data on investigations of Stafne’s defects in archaeological populations.

Author(s), year of publication	Dating of the sample	Geographic location of the sample	Sample size	Examination technique*	Prevalence (%)
Finnegan and Marcsik, 1980	6 th - 9 th	Hungary	5519	M	1.07
Finnegan and Marcsik, 1981	Avar period	Hungary	295	M	3.39
Shields, 2000	Sampled globally within the past 2000 years		7686	M	0-10.0
Lukacs and Rodríguez Martín, 2002	1 th - 14 th	Canary Islands	452	M	3.32
Masnicová and Beňuš, 2003	9 th ; 11 th - 12 th	Slovakia	124	M	1.2
Vodanović et al., 2009	Approximately 8000 BC to 18 th century AD	Croatia	4250	M, CT	0.05

* M = Macroscopic examination; CT = Computerized tomography.

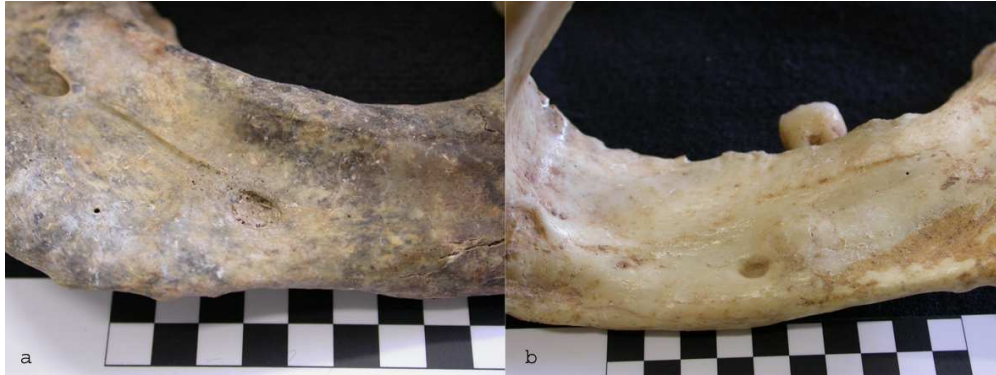


Figure 1. Expression of Stafne's defect in two Late Neolithic specimens, Portugal. a, Tholos de Paimogo I - 12272, adult, unknown sex; b, Cova da Moura - 3, adult, male.
99x37mm (300 x 300 DPI)

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Figure 2. Expression of Stafne's defect on the specimens from Middle Ages, Portugal. a, Rua dos Barcos - 10, 30-40 years-old, male; b, Rua dos Barcos - 36, +50 years-old, male; c, Rua dos Barcos - 138, 45±14.76 years-old, male.
150x37mm (300 x 300 DPI)



Figure 3. Expression of Stafne's defect in seven mandibles from the late 19th and early 20th centuries, Portugal. a, Skeletal collection - 194, 65 years-old, female; b, Skeletal collection - 455, 63 years-old, male; c, International Exchange collection - 286, 74 years-old, male; d, International Exchange collection - 309, 23 years-old, male; e, International Exchange collection - 379, 65 years-old, female; f, International Exchange collection - 712, 56 years-old, male; g, International Exchange collection - 1000, 39 years-old.
99x149mm (300 x 300 DPI)

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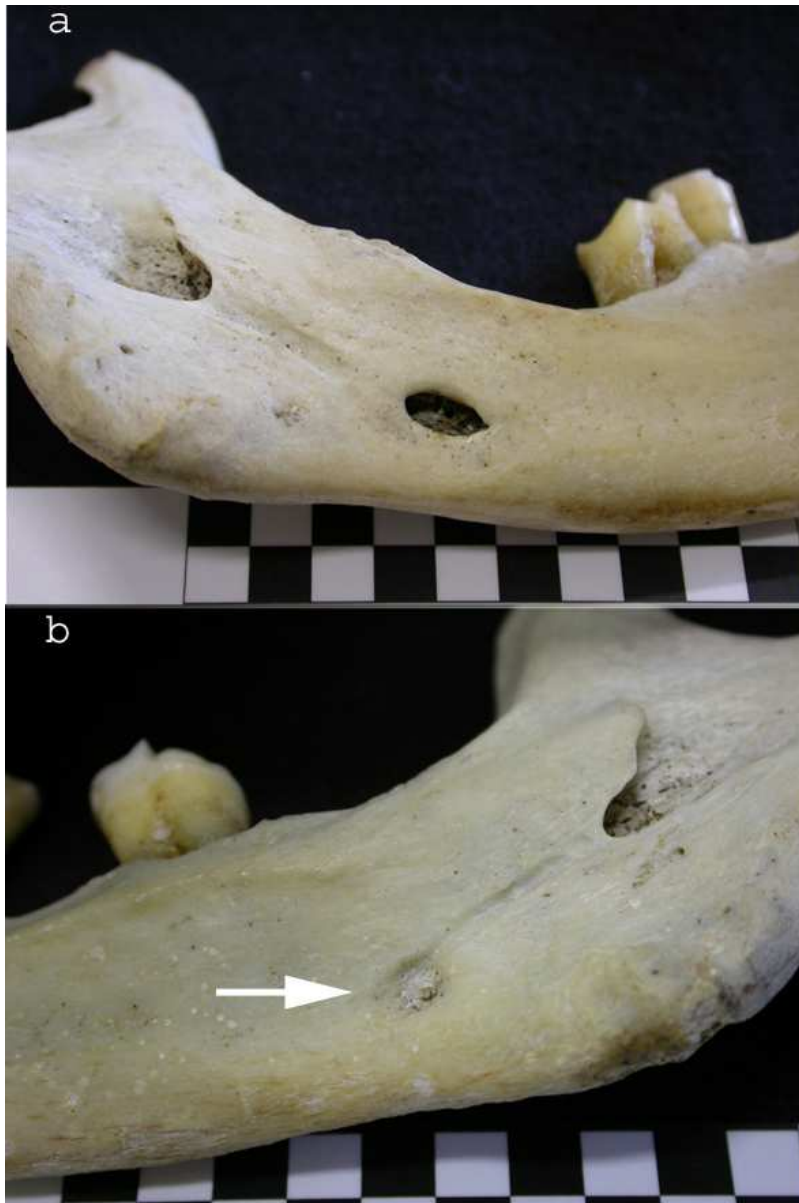


Figure 4. Bilateral expression of Stafne's defect in one mandible from the late 19th and early 20th centuries, Portugal. a, left side; b, right side. [International Exchange collection – 643, 78 years-old, male].

50x74mm (300 x 300 DPI)

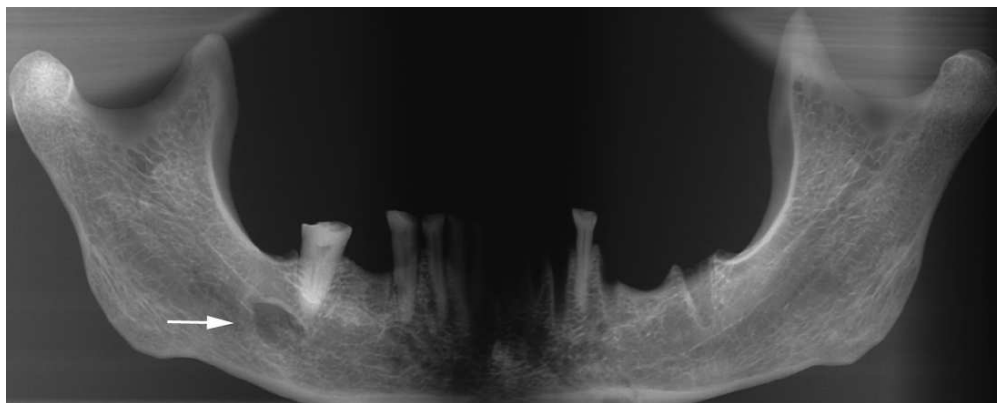


Figure 5. Orthopantomograph of mandible 138 from Rua dos Barcos, revealing a unilateral well-defined unilocular radiolucent oval lesion on the right side below the inferior alveolar canal.
93x37mm (300 x 300 DPI)

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Figure 6. OPG of the mandible 286 from International Exchange Collection, where it can be observed a round radiolucent area on the right side at the level of third molar.
140x53mm (300 x 300 DPI)

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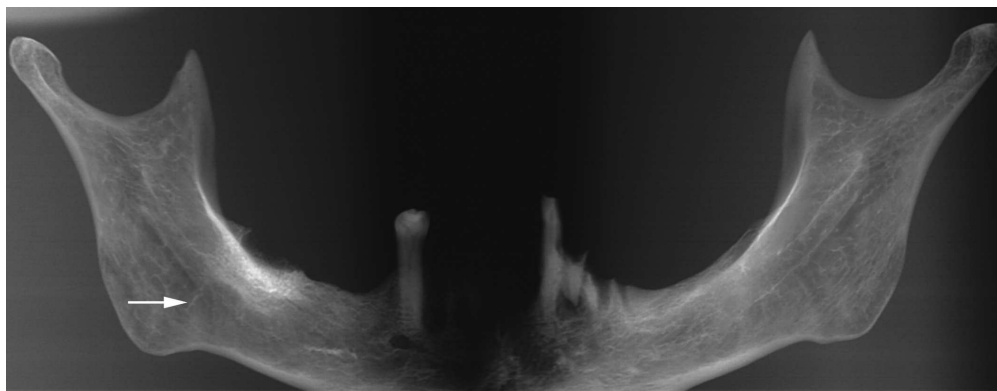


Figure 7. OPG of the mandible 379 from International Exchange Collection. Note the round radiolucent area on the right side at the level of third molar.
179x69mm (300 x 300 DPI)

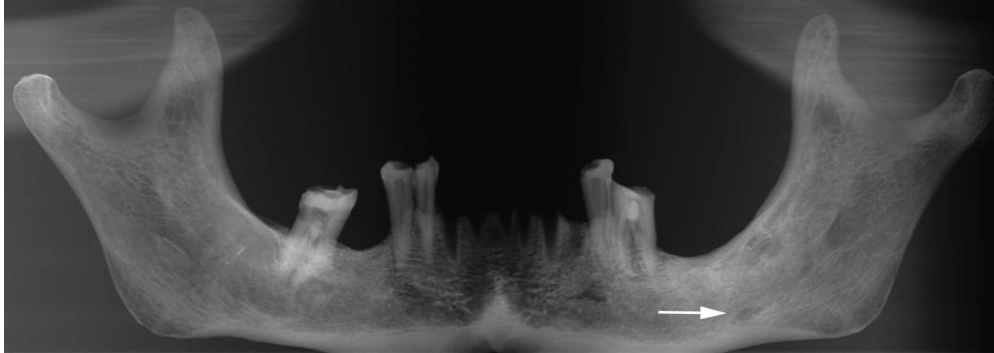


Figure 8. OPG of mandible 643 from International Exchange Collection. Note the lingual oval radiolucent area at the level of the left mandibular third molar region. The more tenuous lesion on the right side of this mandible was not possible to identify on this radiological image.

160x56mm (300 x 300 DPI)

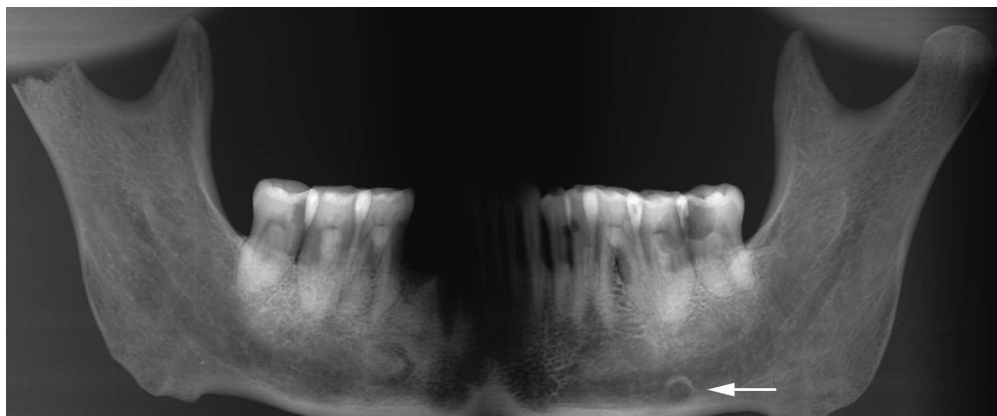


Figure 9. OPG of the mandible 712 from International Exchange Collection, where it can be seen a well-defined, unilocular, round radiolucent area. Note the dense radiopaque (sclerotic) features of the borders.

154x63mm (300 x 300 DPI)

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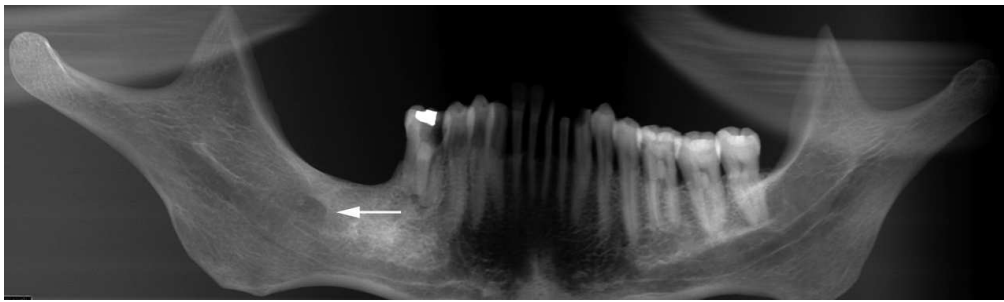


Figure 10. OPG of the mandible 1000 from International Exchange Collection, showing a well-defined radiolucent area on the right side at the level of third molar.
107x31mm (300 x 300 DPI)

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