# **Spin-polarized versus chiral condensate in quark matter at finite temperature and density**

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... It is shown that the spin-polarized condensate appears in quark matter at high baryon density and low temperature due to the tensor-type four-point interaction in the Nambu–Jona-Lasiniotype model as a low-energy effective theory of quantum chromodynamics. It is indicated within this low-energy effective model that the chiral symmetry is broken again by the spin-polarized condensate on increasing the quark number density, while chiral symmetry restoration occurs, in which the chiral condensate disappears at a certain density.

... Subject Index D30

# **1. Introduction**

One of the recent topics of interest in the physics of the strong interaction, namely, in the physics governed by quantum chromodynamics (QCD), has been to clarify the structure of the phase diagram on the plane with respect to baryon chemical potential and temperature (see, e.g., Ref. [\[1\]](#page-22-0)). In the region of finite temperature and zero baryon chemical potential, lattice QCD simulation works and reliable calculations based on first principles have been performed until now. However, in the region of low temperature and finite baryon chemical potential, the possibility of various phases, such as the color superconducting phase [\[2](#page-22-1)[–4\]](#page-22-2), quarkyionic phase [\[5](#page-22-3)], inhomogeneous chiral-condensed phase [\[6](#page-22-4)[–8\]](#page-22-5), and so on, has been indicated.

In heavy-ion collision experiments such as the relativistic heavy-ion collider (RHIC) experiment, it is believed that the quark–gluon phase is realized. Also, in the large hadron collider (LHC) experiment, it is expected that more extreme states of QCD with finite temperature and density and/or a strong magnetic field may be created in the quark–gluon phase. It is interesting to understand what phases arise under extreme conditions. The quark–gluon phase under extreme conditions may be realized in the inner core of compact stars such as neutron stars, magnetars, and quark stars, if they exist. Therefore, the investigation of quark matter at low temperature and high density is also important to understand compact star objects.

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In our previous papers, it has been shown that a spin-polarized phase may appear and be realized instead of the color superconducting phase in cases of both two [\[9](#page-22-6)] and three flavors [\[10\]](#page-22-7) in the region with finite quark chemical potential at zero temperature. It is also interesting to investigate possible phases in the region with high density and low temperature from the viewpoint of the physics of compact stars, in particular, the structure of the inner cores of compact stars. It has also been shown in our recent work [\[11\]](#page-22-8) that there is a possibility of the existence of a strong magnetic field on the surface of compact stars if there exists a quark spin-polarized phase, which leads to the spontaneous magnetization of quark matter due to the anomalous magnetic moment of the quark, while only symmetric quark matter has been considered. If spin polarization really leads to spontaneous magnetization in the mechanism developed in the previous paper  $[11]$ , it is a possible candidate for the origin of the strong magnetic field in so-called magnetars [\[12](#page-22-9)[–14](#page-22-10)].

In this paper, which follows Refs. [\[15](#page-22-11)] and [\[9](#page-22-6)], the possibility of the quark spin-polarized phase is investigated in the region of finite quark chemical potential and finite temperature by using the Nambu–Jona-Lasinio (NJL) model [\[16](#page-22-12)[–19\]](#page-22-13) with the tensor-type four-point interaction between quarks [\[20](#page-22-14)], instead of the pseudovector-type four-point interaction [\[21](#page-22-15)[,22](#page-22-16)]. As for the tensor-type four-point interaction, this interaction term was also introduced to investigate meson spectroscopy, in particular for vector and axial-vector mesons [\[23](#page-22-17)]. As another application, the dynamic properties of vector mesons were investigated in the extended NJL model including the tensor-type interaction [\[24](#page-22-18)]. Also, the chiral condensate and the quark spin polarization, namely, the tensor condensate, are considered simultaneously in the case with only one flavor instead of the color superconductor [\[25](#page-22-19)].

This paper is organized as follows: In the next section, a recapitulation of the NJL model with the tensor-type four-point interaction between quarks is given; in this model, the chiral condensate and quark spin-polarized condensate are considered simultaneously. In Sect. [3,](#page-3-0) the thermodynamic potential at zero temperature is introduced under the mean-field approximation. In Sect. [4,](#page-4-0) the thermodynamic potential at finite temperature and density is given and derived. A derivation of the thermodynamic potential at zero temperature from that at finite temperature is given in Appendix [A.](#page-12-0) Also, the effective potential is evaluated in Appendix  $B$ . In Appendix  $C$ , the analytic calculation for the thermodynamic potential is presented. In Sect. [5,](#page-5-0) the numerical results are given through the calculation of the thermodynamic potential under various temperatures and quark chemical potentials. The results are summarized in a phase diagram on the plane with respect to the quark chemical potential and temperature, in which the possible phases, the position of the phase boundary, and the order of the phase transition are shown, apart from the color superconducting phase. In Appendix [D,](#page-19-0) an idea introducing the tensor-type four-point interaction between quarks, which plays an essential role in this paper, is given from the viewpoint of the two-gluon exchange process in QCD. The last section is devoted to a summary and concluding remarks.

## **2. NJL model with a tensor-type four-point interaction**

Let us consider the NJL-model Lagrangian density with a tensor-type four-point interaction. The Lagrangian density with *su*(2)-flavor symmetry can be expressed as

$$
\mathcal{L} = \mathcal{L}_0 + \mathcal{L}_S + \mathcal{L}_T,\tag{2.1}
$$

$$
\mathcal{L}_0 = \bar{\psi} \left( i \gamma^{\mu} \partial_{\mu} - m_0 \right) \psi, \tag{2.2}
$$

$$
\mathcal{L}_{\rm S} = G_S \left\{ \left( \bar{\psi} \psi \right)^2 + \left( \bar{\psi} i \gamma^5 \vec{\tau} \psi \right)^2 \right\},\tag{2.3}
$$

$$
\mathcal{L}_{\mathcal{T}} = -\frac{G_T}{4} \left\{ \left( \bar{\psi} \gamma^{\mu} \gamma^{\nu} \vec{\tau} \psi \right) \cdot \left( \bar{\psi} \gamma_{\mu} \gamma_{\nu} \vec{\tau} \psi \right) + \left( \bar{\psi} i \gamma^5 \gamma^{\mu} \gamma^{\nu} \psi \right) \left( \bar{\psi} i \gamma^5 \gamma_{\mu} \gamma_{\nu} \psi \right) \right\}, \tag{2.4}
$$

where  $m_0$  is the current quark mass for up- and down-quarks and the components of  $\vec{\tau}$  are the Pauli matrices for the isospin. It is known that these current quark masses are slightly different for each flavor, but we have used approximately the same value. The first two terms,  $\mathcal{L}_0 + \mathcal{L}_S$ , are the original NJL-model Lagrangian density. In this paper  $\mathcal{L}_T$  is added into the model, according to the Fierz transform. Then, the spin matrix appears from  $\mathcal{L}_T$  when  $\mu = 1$ ,  $\nu = 2$  or  $\mu = 2$ ,  $\nu = 1$  as follows:

$$
\Sigma_3 = -i\gamma^1\gamma^2 = \begin{pmatrix} \sigma_3 & 0\\ 0 & \sigma_3 \end{pmatrix}
$$

Since we use the mean-field approximation, we get the following mean-field Lagrangian density:

$$
\mathcal{L}_{\text{MFA}} = \bar{\psi} \left( i \gamma^{\mu} \partial_{\mu} - m_{0} \right) \psi + G_{S} \left\{ 2 \left\langle \bar{\psi} \psi \right\rangle \left( \bar{\psi} \psi \right) - \left\langle \bar{\psi} \psi \right\rangle^{2} \right\} + \frac{G_{T}}{2} \left\{ 2 \left\langle \bar{\psi} \Sigma_{3} \tau_{3} \psi \right\rangle \left( \bar{\psi} \Sigma_{3} \tau_{3} \psi \right) - \left\langle \bar{\psi} \Sigma_{3} \tau_{3} \psi \right\rangle^{2} \right\},
$$
(2.5)

<span id="page-2-0"></span>.

where  $\langle \cdots \rangle$  means vacuum expectation value. Here  $\tau_3$  is the third component of the Pauli matrix for isospin. When it operates on  $\psi$  for the up-quark (down-quark), the matrix changes into 1 (−1) as its eigenvalue. Thus we can safely express  $\bar{\psi} \Sigma_3 \tau_3 \psi$  as follows:

$$
\bar{\psi} \Sigma_3 \tau_3 \psi \longrightarrow \bar{\psi} \Sigma_3 \psi \tau_f,
$$

where  $\tau_f = 1$  (−1) when  $f =$  up-quark (down-quark). Let us define the following quantities:

$$
F \equiv -G_T \langle \bar{\psi} \Sigma_3 \psi \rangle, \quad M \equiv -2G_S \langle \bar{\psi} \psi \rangle, \quad M_q \equiv m_0 + M.
$$

*F* and *M* are especially important quantities, because if *F* and/or *M* are not equal to zero, then spin polarization and/or chiral condensation occur. Here, *M*<sup>q</sup> is just a constituent quark mass. Substituting these quantities into Eq.  $(2.5)$ , we convert  $\mathcal{L}_{\text{MFA}}$  into

$$
\mathcal{L}_{\text{MFA}} = \bar{\psi} \left( i \gamma^{\mu} \partial_{\mu} - M_{q} \right) \psi - F \left( \bar{\psi} \Sigma_{3} \psi \right) - \frac{M^{2}}{4G_{S}} - \frac{F^{2}}{2G_{T}}.
$$
 (2.6)

Let us switch from the Lagrangian formalism to the Hamiltonian formalism by Legendre transformation. First we must obtain the canonical momentum  $\pi_{\alpha}$ ,  $\pi_{\alpha} = \partial \mathcal{L}_{MFA}/\partial \dot{\psi}_{\alpha} = i \psi_{\alpha}^{\dagger}$ , where  $\alpha$  means an index for the spinor and isospin. We, therefore, get the Hamiltonian density:

$$
\mathcal{H}_{\text{MFA}} = \pi_{\alpha} \dot{\psi}_{\alpha} - \mathcal{L}_{\text{MFA}}
$$
  
=  $\bar{\psi} \left( -i \vec{\gamma} \cdot \vec{\nabla} + M_q \right) \psi + F \left( \bar{\psi} \Sigma_3 \psi \right) + \frac{M^2}{4G_S} + \frac{F^2}{2G_T}.$  (2.7)

Thus, the Hamiltonian is expressed as

$$
H_{\text{MFA}} = \int d^3x \, \psi^\dagger \gamma^0 \left( -i \vec{\gamma} \cdot \vec{\nabla} + M_q + F \Sigma_3 \right) \psi + V \frac{M^2}{4G_S} + V \frac{F^2}{2G_T},
$$

where *V* is the volume of this system. We transform  $\psi(x)$  by a Fourier transformation as  $\psi(x) = \int d^3p/(2\pi)^3 \cdot \tilde{\psi}(p)e^{i\vec{p}\cdot\vec{x}}$ . Substituting this into  $H_{\text{MFA}}$ , we obtain

$$
H_{\text{MFA}} = \int \frac{d^3 p}{\left(2\pi\right)^3} \tilde{\psi}^\dagger \gamma^0 \left(\vec{\gamma} \cdot \vec{p} + M_q + F \Sigma_3\right) \tilde{\psi} + V \frac{M^2}{4G_S} + V \frac{F^2}{2G_T}.
$$
 (2.8)

What we must do is to diagonalize *H*<sub>MFA</sub>. The nondiagonal terms are

$$
h_{\text{MFA}} \equiv \gamma^0 \left( \vec{\gamma} \cdot \vec{p} + M_q + F \Sigma_3 \right)
$$
  
=  $\begin{pmatrix} F \sigma_3 + M_q & \vec{p} \cdot \vec{\sigma} \\ \vec{p} \cdot \vec{\sigma} & -F \sigma_3 - M_q \end{pmatrix}$   
=  $\begin{pmatrix} F + M_q & 0 & p_3 & p_1 - ip_2 \\ 0 & -F + M_q & p_1 + ip_2 & -p_3 \\ p_3 & p_1 - ip_2 & -F - M_q & 0 \\ p_1 + ip_2 & -p_3 & 0 & F - M_q \end{pmatrix}.$ 

Since  $h_{\text{MFA}}$  is a Hermitian matrix, it is diagonalized by a unitary matrix. The eigenvalues are obtained as

$$
\pm E_{\vec{p}}^{(\eta)} = \pm \sqrt{p_3^2 + \left(\sqrt{p_1^2 + p_2^2 + M_q^2} + \eta F\right)^2},\tag{2.9}
$$

where  $\eta = \pm 1$ .

#### <span id="page-3-0"></span>**3. Thermodynamic potential at zero temperature**

Next, we introduce a quark chemical potential  $\mu$  and a number density operator  $\mathcal N$  in order to discuss a finite-density system at zero temperature. The thermodynamic potential is defined as follows:

$$
\Phi = \mathcal{H}_{\text{MFA}} - \mu \mathcal{N}.\tag{3.1}
$$

The next step is to calculate the expectation value. Since we consider the zero-temperature system in this section, the system in which quasiparticles are degenerate is treated. Hence, we must sum over momenta from zero to the single-quasiparticle energy equal to the chemical potential. Sandwiching with a "bra" and "ket", we obtain

$$
\Phi = \frac{1}{V} \langle \text{F.D.} | \left( H_{\text{MFA}} - \mu \int d^3 x \, \mathcal{N} \right) | \text{F.D.} \rangle
$$
  
= 
$$
\frac{1}{V} \sum_{\vec{p}, \eta, \tau, \alpha}^{E_{\vec{p}}^{(0)} \leq \mu, \vec{p}^2 \leq \Lambda^2} \left( E_{\vec{p}}^{(\eta)} - \mu \right) + \frac{M^2}{4G_S} + \frac{F^2}{2G_T},
$$

where  $|F.D.\rangle$  means the degenerate Fermi gas constituted by quasiparticles and  $\Lambda$  is a threemomentum cutoff parameter for the integration over momenta. Here,  $\tau$  and  $\alpha$  are indices for isospin and quark color, respectively. The upper limit of integration is imposed by two conditions, which are  $E_{\vec{p}}^{(\eta)} \leq \mu$  and  $\vec{p}^2 \leq \Lambda^2$ . We would like to discuss spin polarization and chiral condensate simultaneously. However, the above expression does not have a contribution from the Dirac sea. Since the chiral condensate occurs by the effect of the Dirac sea, we must add its contribution. Thus, we get

$$
\Phi(M, F, \mu) = \frac{1}{V} \sum_{\vec{p}, \eta, \tau, \alpha}^{E_{\vec{p}}^{(\eta)} \leq \mu, \vec{p}^2 \leq \Lambda^2} \left( E_{\vec{p}}^{(\eta)} - \mu \right) - \frac{1}{V} \sum_{\vec{p}, \eta, \tau, \alpha}^{\vec{p}^2 \leq \Lambda^2} E_{\vec{p}}^{(\eta)} + \frac{M^2}{4G_S} + \frac{F^2}{2G_T},\tag{3.2}
$$

where the second term represents the contribution from the Dirac sea (negative energy sea). We change the sum  $\frac{1}{V}\sum_{\vec{p}}$  into the integration  $\int \frac{d^3p}{(2\pi)^3}$ . Then, the thermodynamic potential can

$$
\Phi(M, F, \mu) = \Phi_1 + \Phi_2 + \Phi_3 + \Phi_4,\tag{3.3}
$$

where

$$
\Phi_1(F, M, \mu) = \sum_{\tau, \alpha} \int_{\Gamma_1} \frac{d^3 p}{(2\pi)^3} \left\{ \sqrt{p_3^2 + \left( \sqrt{p_1^2 + p_2^2 + M_q^2} + F \right)^2} - \mu \right\},\
$$

$$
\Gamma_1 = \left\{ E_{\vec{p}}^{(+1)} \le \mu, \ \vec{p}^2 \le \Lambda^2 \right\} \tag{3.4}
$$

$$
\Phi_2(M, F, \mu) = \sum_{\tau, \alpha} \int_{\Gamma_2} \frac{d^3 p}{(2\pi)^3} \left\{ \sqrt{p_3^2 + \left( \sqrt{p_1^2 + p_2^2 + M_q^2} - F \right)^2} - \mu \right\},
$$
  

$$
\Gamma_2 = \left\{ E_{\vec{p}}^{(-1)} \le \mu, \ \vec{p}^2 \le \Lambda^2 \right\}
$$

$$
\Phi_3(M, F, \mu) = -\sum_{\eta, \tau, \alpha} \int_{\Gamma_3} \frac{d^3 p}{(2\pi)^3} \sqrt{p_3^2 + \left(\sqrt{p_1^2 + p_2^2 + M_q^2} + \eta F\right)^2},
$$
\n
$$
\Gamma_3 = \left\{\vec{p}^2 \le \Lambda^2\right\} \tag{3.6}
$$

$$
\Phi_4(M, F, \mu) = \frac{M^2}{4G_S} + \frac{F^2}{2G_T}.
$$
\n(3.7)

Here,  $\Phi_i$  (*i* = 1, 2, 3, 4) means, respectively, the contributions from positive energy for  $\eta = +1$ , positive energy for  $\eta = -1$ , vacuum, and the mean field, respectively.  $\Gamma_i(i = 1, 2, 3)$  is the domain of integration over momenta. Since these integrands do not depend on  $\tau$  or  $\alpha$ , the summations over  $\tau$ and  $\alpha$  give factors 2 and 3, respectively.

#### <span id="page-4-0"></span>**4. Thermodynamic potential at finite temperature and density**

We have discussed the thermodynamic potential at zero temperature in the previous section. In this section let us consider the thermodynamic potential at finite temperature. We define a thermodynamic potential at finite temperature,  $\Omega(M, F, \mu, T)$ , as follows:

$$
\Omega(M, F, \mu, T) \equiv \mathcal{H}'_{\text{MFA}} - \mu(\mathcal{N}_{P} - \mathcal{N}_{\text{AP}}) + V_{\text{vacuum}} - TS,
$$
\n
$$
\mathcal{H}'_{\text{MFA}} \equiv \sum_{\vec{p}, \eta, \tau, \alpha} E_{\vec{p}}^{(\eta)} \left( n_{\vec{p}}^{(\eta)} + \bar{n}_{\vec{p}}^{(\eta)} \right) + \frac{M^{2}}{4G_{S}} + \frac{F^{2}}{2G_{T}},
$$
\n
$$
\mathcal{N}_{P} \equiv \sum_{\vec{p}, \eta, \tau, \alpha} n_{\vec{p}}^{(\eta)}, \quad \mathcal{N}_{\text{AP}} \equiv \sum_{\vec{p}, \eta, \tau, \alpha} \bar{n}_{\vec{p}}^{(\eta)},
$$
\n
$$
n_{\vec{p}}^{(\eta)} = \frac{1}{1 + \exp\left( \left( E_{\vec{p}}^{(\eta)} - \mu \right) / T \right)}, \qquad \bar{n}_{\vec{p}}^{(\eta)} = \frac{1}{1 + \exp\left( \left( E_{\vec{p}}^{(\eta)} + \mu \right) / T \right)},
$$
\n
$$
V_{\text{vacuum}} \equiv - \sum_{\vec{p}, \eta, \tau, \alpha} E_{\vec{p}}^{(\eta)},
$$
\n(4.1)

(3.5)

where *T* means the temperature of the system and  $n_{\vec{p}}^{(\eta)}$  and  $\bar{n}_{\vec{p}}^{(\eta)}$  are the distribution functions for the particle and antiparticle, respectively. The entropy  $S$  is given as follows:

$$
S = -\sum_{\vec{p}, \eta, \tau, \alpha} \left\{ n_{\vec{p}}^{(\eta)} \log n_{\vec{p}}^{(\eta)} + \left( 1 - n_{\vec{p}}^{(\eta)} \right) \log \left( 1 - n_{\vec{p}}^{(\eta)} \right) + \bar{n}_{\vec{p}}^{(\eta)} \log \bar{n}_{\vec{p}}^{(\eta)} + \left( 1 - \bar{n}_{\vec{p}}^{(\eta)} \right) \log \left( 1 - \bar{n}_{\vec{p}}^{(\eta)} \right) \right\}.
$$

Using the following identities:

$$
n_{\vec{p}}^{(\eta)} \log n_{\vec{p}}^{(\eta)} = -n_{\vec{p}}^{(\eta)} \times \frac{E_{\vec{p}}^{(\eta)} - \mu}{T} - n_{\vec{p}}^{(\eta)} \log \left(1 - n_{\vec{p}}^{(\eta)}\right),
$$
  

$$
\bar{n}_{\vec{p}}^{(\eta)} \log \bar{n}_{\vec{p}}^{(\eta)} = -\bar{n}_{\vec{p}}^{(\eta)} \times \frac{E_{\vec{p}}^{(\eta)} + \mu}{T} - \bar{n}_{\vec{p}}^{(\eta)} \log \left(1 - \bar{n}_{\vec{p}}^{(\eta)}\right),
$$

the above  $\Omega$  can be recast into

$$
\Omega = -\sum_{\vec{p}, \eta, \tau, \alpha} \left\{ E_{\vec{p}}^{(\eta)} + T \log \left( 1 + \exp \left( -\frac{E_{\vec{p}}^{(\eta)} - \mu}{T} \right) \right) + T \log \left( 1 + \exp \left( -\frac{E_{\vec{p}}^{(\eta)} + \mu}{T} \right) \right) \right\}
$$

$$
+ \frac{M^2}{4G_S} + \frac{F^2}{2G_T}.
$$

Let us change the summation over momenta into integration, and then let us introduce polar coordinates,  $p_1 = p_T \cos \theta$ ,  $p_2 = p_T \sin \theta$ , so as to integrate. The domain of integration is obtained as follows:

<span id="page-5-1"></span>
$$
-\sqrt{\Lambda^2 - p_T^2} \le p_3 \le \sqrt{\Lambda^2 - p_T^2}, \quad 0 \le p_T \le \Lambda.
$$

After integrating over  $\theta$  and summing over  $\tau$  and  $\alpha$ , we get the final form:

$$
\Omega(M, F, \mu, T) = -\frac{3}{\pi^2} \sum_{\eta} \int_0^{\Lambda} dp_T \int_0^{\sqrt{\Lambda^2 - p_T^2}} dp_3 \, pr
$$
  
 
$$
\times \left\{ E_{\vec{p}}^{(\eta)} + T \log \left( 1 + \exp \left( -\frac{E_{\vec{p}}^{(\eta)} - \mu}{T} \right) \right) + T \log \left( 1 + \exp \left( -\frac{E_{\vec{p}}^{(\eta)} + \mu}{T} \right) \right) \right\}
$$
  
 
$$
+ \frac{M^2}{4G_S} + \frac{F^2}{2G_T}.
$$
 (4.2)

## <span id="page-5-0"></span>**5. Numerical results and discussions**

In this section we would like to discuss the thermodynamic potential at zero/finite temperature numerically. In order to evaluate it we use the three-momentum cutoff parameter and coupling con-stants in Table [1.](#page-6-0) Here, we adopt the strength of the tensor interaction  $G_T$  as a rather small value compared with the one used in our previous paper. The reason why we take  $G_T$  as a rather small value, 11.0 GeV, is that the vacuum polarization, namely, the contribution of the negative energy sea, is taken into account. A detailed discussion of this effect has already been given in Appendix [B](#page-13-0) in Ref. [\[15](#page-22-11)]. Here, in this section, we show numerical results in the case of the chiral limit,  $m_0 = 0.0$ .

<span id="page-6-0"></span>**Table 1.** Parameter set.

$\Lambda$ /GeV	$m_0$ GeV	$G_S/GeV^{-2}$	$G_T/GeV^{-2}$
0.631	0.0	5.5	11.0



<span id="page-6-1"></span>**Fig. 1.** The thermodynamic potential with  $F = 0$  is depicted as a function of the constituent quark mass M in various quark chemical potentials.



<span id="page-6-2"></span>**Fig. 2.** The thermodynamic potential with  $M = 0$  is depicted as a function of the spin-polarized condensate *F* in various quark chemical potentials.

## *5.1. Thermodynamic potential at zero temperature*

Let us discuss the thermodynamic potential at zero temperature. First we consider the chiral condensate *M* and the spin polarization *F* separately.

Figure [1](#page-6-1) shows the thermodynamic potential in the special case where  $F = 0$ . When the chemical potential has a value below 0.32 GeV and above 0.35 GeV, the thermodynamic potential has only one minimum. On the other hand, when  $\mu = 0.33 \sim 0.34$  GeV, the thermodynamic potential has two local minima. This indicates that the phase transition to chiral condensate is of first order.

Figure [2](#page-6-2) shows the thermodynamic potential for  $M = 0$ . When the chemical potential is small, the spin-polarized phase does not appear. However, when the chemical potential  $\mu$  has a value above 0.42 GeV, the spin-polarized phase appears. This figure shows that the phase transition to spin polarization is of second order.

Next, let us consider *M* and *F* simultaneously. In Fig. [3,](#page-7-0) the contour map for the thermodynamic potential is depicted with various quark chemical potentials. The horizontal and vertical axes



<span id="page-7-0"></span>**Fig. 3.** The contour map of the thermodynamic potential is depicted as a function of the constituent quark mass *M* and the spin-polarized condensate *F* in various quark chemical potentials. The horizontal and vertical axes represent *M* and *F*, respectively. Darker colors represent a lower thermodynamic potential.

represent the constituent quark mass *M* and the spin-polarized condensate *F*, respectively. When μ varies from 0 GeV to 0.32 GeV, the chiral-condensed phase arises. However, when μ reaches 0.35 GeV, chiral symmetry is restored. If  $\mu = 0.43$  GeV, the spin-polarized phase appears. These contour maps indicate that the two phases, the chiral-condensed and spin-polarized phases, do not coexist.

Here, two points should be mentioned. One is about the effect of  $G_T$ , namely, the coupling strength of the tensor-type interaction. As has already been mentioned at the beginning of this section, a detailed discussion about  $G_T$  has already been given in Appendix B in Ref. [\[15](#page-22-11)]. However, let us demonstrate the effect of  $G_T$  for the spin polarization. Figure [4](#page-8-0) shows the thermodynamic potential with  $M = 0$  at various values of  $G_T$ , namely,  $G_T/G_S = 1, 5, 2.0$ , and 2.5, respectively. As the coupling strength  $G_T$  is increased, the critical chemical potential of the phase transition decreases.



<span id="page-8-0"></span>**Fig. 4.** The thermodynamic potentials with  $M = 0$  and  $T = 0.01$  are depicted at various ratios of  $G_T/G_S$ . The ratios  $G_T/G_S = 1.5, 2$ , and 2.5 mean  $G_T = 8.25, 11.0,$  and 3.75 GeV<sup>-2</sup>, respectively.

For example, at small values of  $G_T$  such as  $G_T/G_S = 1.5$ , the phase transition occurs around  $\mu = 0.54$  GeV. On the other hand, at large  $G_T$ ,  $G_T/G_S = 2.5$ , the phase transition occurs around  $\mu = 0.33$  GeV. In this paper, we adopt a moderate value  $G_T/G_S = 2.0$  for discussions.

Secondly, we mention the reason why the spin polarization occurs at large chemical potential. At zero temperature, the spin-polarized phase is actually realized in our model. It is easy to understand how the spin-polarized phase appears. Neglecting the contribution of the chiral condensate, the energy *E* of the system under consideration can be expressed by using the quark chemical potential as

$$
E = \int^{\mu} \mu \frac{\partial N}{\partial \mu} d\mu + \frac{F^2}{2G_T}
$$
  
=  $\mu N - \int N d\mu + \frac{F^2}{2G_T}$ . (5.1)

Thus, the thermodynamical potential  $\Phi (= E - \mu N)$  is obtained as

$$
\Phi = E - \mu N
$$
  
= 
$$
-\int N d\mu + \frac{F^2}{2G_T}
$$
  
= 
$$
-\int d\mu \sum_{\eta, \tau, \alpha} \int \frac{d^3 p}{(2\pi)^3} \theta \left(\mu - E_{\vec{p}}^{(\eta)}\right) + \frac{F^2}{2G_T},
$$
 (5.2)

where  $\theta(x)$  represents the Heaviside step function. Let us consider the normal quark matter in which  $F = 0$ . In this case, the above-derived thermodynamical potential can be calculated easily as

$$
\Phi = -\frac{\mu^4}{2\pi^2}.\tag{5.3}
$$

On the other hand, in the case  $F \neq 0$ , we can also calculate the thermodynamic potential analytically; this was presented in Ref. [\[20](#page-22-14)]. For simplicity, let us consider the case  $F > \mu$ . For  $F > \mu$ ,  $E_{\vec{p}}^{(+)}$  does not contribute to the three-momentum integration. In this case, by the existence of the theta function, the integration has a finite value in  $E_{\vec{p}}^{(-)} \leq \mu$ :

$$
E_{\vec{p}}^{(-)} \equiv \sqrt{p_3^2 + \left(F - \sqrt{p_1^2 + p_2^2}\right)^2} \le \mu.
$$
 (5.4)

The equality in the above expression represents the formula of a torus in which the major radius is *F* and the small radius is  $\mu$ . Thus, the Fermi surface has the form of a torus. Therefore, the momentum integral  $\int d^3 \mathbf{p} \theta \left( \mu - E_{\vec{p}}^{(-)} \right)$  means the volume of the Fermi "torus", where the volume gives  $2\pi^2 \mu^2 F$   $(=\pi \mu^2 \cdot 2\pi F)$ . Then, we obtain the thermodynamical potential in the large- $\mu$  region as

$$
\Phi = -\frac{3}{4\pi^3} \int^{\mu} d\mu 2\pi^2 \mu^2 F + \frac{F^2}{2G_T}
$$
  
=  $-\frac{\mu^3 F}{2\pi} + \frac{F^2}{2G_T}.$  (5.5)

The "gap equation" for *F* is derived from

$$
\frac{\partial \Phi}{\partial F} = -\frac{\mu^3}{2\pi} + \frac{F}{G_T} = 0, \quad \text{thus}, \quad F = \frac{G_T \mu^3}{2\pi}.
$$
 (5.6)

Inserting the above-derived *F* into the thermodynamical potential, we finally obtain

$$
\Phi = -\frac{G_T \mu^6}{8\pi^2}.\tag{5.7}
$$

For small chemical potential, namely, at low quark number density, normal quark matter is realized because the thermodynamic potential has order  $\mu^4$ . On the other hand, for large chemical potential, namely, at high quark number density, the thermodynamical potential with the order of  $\mu^6$  overcomes the normal quark matter with the order of  $\mu^4$ . It may be concluded that the appearance of the spin-polarized phase is due to the effect of the volume of the phase space. Thus, at high density, the spin-polarized phase is realized absolutely.

#### *5.2. Thermodynamic potential at finite temperature*

Let us consider the thermodynamic potential at finite temperature. First, let us treat the two cases without *M* or *F* separately. Figure [5](#page-10-0) shows the thermodynamic potential at finite temperature for  $F = 0$ . If the temperature *T* is not so high, the chiral-condensed phase is realized. However, in the high-temperature region, the chiral-condensed phase disappears. It should be noted that, in the cases with  $\mu = 0$  GeV and  $\mu = 0.2$  GeV, the phase transition is of second order, while the phase transition is of first order in the case  $\mu = 0.32$  GeV.

Secondly, we discuss the case for  $M = 0$ . In Fig. [6,](#page-10-1) it is shown that the spin-polarized phase is realized in the low-temperature region only. If temperature rises, the spin polarization disappears rapidly. In this case, the phase transition from the spin-polarized phase to the normal phase is of second order.

Finally, let us consider *M* and *F* simultaneously. It is shown in Fig. [7](#page-11-0) that chiral symmetry is broken for small chemical potential and low temperature. However, if the chemical potential or temperature becomes high, chiral symmetry is restored. In the large chemical potential and lowtemperature region, the spin-polarized condensate appears. However, for higher temperatures, it disappears. According to these contour maps, it may be concluded that the two phases, namely, the chiral-condensed phase and the spin-polarized phase, do not coexist at finite temperature.



<span id="page-10-0"></span>**Fig. 5.** The thermodynamic potentials with  $F = 0$  are depicted at various temperatures T with the chemical potential  $\mu = 0$  GeV, 0.2 GeV, and 0.32 GeV, respectively. The horizontal and vertical axes represent the constituent quark mass *M* and the thermodynamic potential  $\Omega(M, \mu, T)$ , respectively.



<span id="page-10-1"></span>**Fig. 6.** The thermodynamic potentials with  $M = 0$  are depicted at various temperatures T with the chemical potential  $\mu = 0.41$  GeV, 0.42 GeV, and 0.44 GeV, respectively. The horizontal and vertical axes represent the spin-polarized condensate *F* and the thermodynamic potential  $\Omega(F, \mu, T)$ , respectively.

## *5.3. Phase diagram on the T –*μ *plane*

In summary, it is possible to show the regions of the chiral-condensed phase and the spin-polarized phase on a plane with temperature *T* and quark chemical potential  $\mu$  and also to draw the phase boundary indicating the order of phase transition under the chiral limit,  $m_0 = 0$ . In Fig. [8,](#page-11-1) the phase diagram in this model is presented. As is shown in this phase diagram, the chiral-condensed phase exists on the left-hand side of the  $T-\mu$  plane and the spin-polarized phase exists on the right. It is indicated that, for the boundary of the chiral-condensed and normal phases, there is a critical endpoint for the phase transition near  $\mu = 0.31$  GeV and  $T = 0.046$  GeV. On the other hand, the phase



<span id="page-11-0"></span>**Fig. 7.** The contour maps of the thermodynamic potential are depicted as a function of the constituent quark mass *M* and the spin-polarized condensate *F* at various quark chemical potentials and temperatures. The horizontal and vertical axes represent *M* and *F*, respectively.



<span id="page-11-1"></span>**Fig. 8.** The phase diagram in our model. The horizontal and vertical axes represent the quark chemical potential and the temperature, respectively.

transition from the normal quark phase to the spin-polarized phase is always of second order and there is no endpoint.

## **6. Summary and concluding remarks**

In this paper, it has been shown that the spin-polarized phase appears in the region with a large quark chemical potential and low temperature by using the NJL model with tensor-type four-point interaction between quarks. We have considered the chiral condensate and spin-polarized condensate simultaneously. For rather low density, the chiral condensate exists and spin-polarized condensate does not exist. As the quark chemical potential is increased, the chiral condensate disappears and the spin-polarized condensate arises. Thus, the spin-polarized phase may exist in the high-density and low-temperature region in the QCD phase diagram. However, it may be concluded that the two phases do not coexist in this model under the parameter set adopted here.

It should also be indicated that the color superconducting phase may be realized in the region with high density and low temperature. However, at zero temperature, the spin-polarized phase may be realized instead of the two-flavor color superconducting phase in the case with only two flavors [\[9\]](#page-22-6). It would be interesting to see whether the spin-polarized phase survives at finite temperature instead of the color superconducting phase. It is one of future important problems to investigate. Furthermore, in this paper, we do not consider the electromagnetic field at all. It is also important to study the electromagnetic properties of the spin-polarized phase, e.g., spontaneous magnetization in compact stars. In particular, the charge neutrality and  $\beta$ -equilibrium would play an essential role in a discussion of the physics of neutron stars and/or magnetars. This is also an interesting future problem.

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## <span id="page-12-0"></span>**Appendix A. Derivation of the thermodynamic potential at zero temperature from that at finite temperature**

In this appendix we derive the thermodynamic potential at zero temperature from that at finite temperature. The thermodynamic potential at finite temperature is as follows:

$$
\Omega(M, F, \mu, T) = -\sum_{\eta, \tau, \alpha} \int_{\vec{p}^2 \le \Lambda^2} \frac{d^3 p}{(2\pi)^3} \left\{ E_{\vec{p}}^{(\eta)} + T \log \left( 1 + \exp \left( -\frac{E_{\vec{p}}^{(\eta)} - \mu}{T} \right) \right) + T \log \left( 1 + \exp \left( -\frac{E_{\vec{p}}^{(\eta)} + \mu}{T} \right) \right) \right\} + \frac{F^2}{2G_T} + \frac{M^2}{4G_S}.
$$
\n(A1)

If we assume that  $T \ll 1$ , we can carry out the Taylor expansion for the logarithmic function in the following way:

$$
T \log \left( 1 + \exp \left( - \frac{E_{\vec{p}}^{(\eta)} - \mu}{T} \right) \right) \to \left\{ \begin{aligned} -\left( E_{\vec{p}}^{(\eta)} - \mu \right) + T \exp \left( \frac{E_{\vec{p}}^{(\eta)} - \mu}{T} \right) & \text{for } E_{\vec{p}}^{(\eta)} \le \mu \\ T \exp \left( - \frac{E_{\vec{p}}^{(\eta)} - \mu}{T} \right) & \text{for } E_{\vec{p}}^{(\eta)} > \mu, \end{aligned} \right.
$$

$$
T \log \left( 1 + \exp \left( -\frac{E_{\vec{p}}^{(\eta)} + \mu}{T} \right) \right) \to T \exp \left( -\frac{E_{\vec{p}}^{(\eta)} + \mu}{T} \right). \tag{A2}
$$

Furthermore, in the region where  $T \to 0$ , we can reduce the above expressions to

$$
T \log \left( 1 + \exp \left( -\frac{E_{\vec{p}}^{(\eta)} - \mu}{T} \right) \right) \to -\left( E_{\vec{p}}^{(\eta)} - \mu \right) \theta \left( \mu - E_{\vec{p}}^{(\eta)} \right),
$$

$$
T \log \left( 1 + \exp \left( -\frac{E_{\vec{p}}^{(\eta)} + \mu}{T} \right) \right) \to 0,
$$
(A3)

where  $\theta(x)$  is the step function. Using these results, we can rewrite  $\Omega(M, F, \mu, T)$  as

$$
\Omega(M, F, \mu, T) \to -\sum_{\eta, \tau, \alpha} \int_{\vec{p}^2 \le A^2} \frac{d^3 p}{(2\pi)^3} \Big\{ E_{\vec{p}}^{(\eta)} - \Big( E_{\vec{p}}^{(\eta)} - \mu \Big) \theta \Big( \mu - E_{\vec{p}}^{(\eta)} \Big) \Big\} + \frac{F^2}{2G_T} + \frac{M^2}{4G_S}.
$$
\n(A4)

<span id="page-13-0"></span>This expression is simply that of the thermodynamic potential at zero temperature.

## **Appendix B. Derivation of the effective potential with the functional method**

Let us start with the following Lagrangian density in order to derive the effective potential by using the functional method:

$$
\mathcal{L} = \bar{\psi} \left( i \gamma^{\mu} \partial_{\mu} - m_{0} \right) \psi + G_{S} \left( \bar{\psi} \psi \right)^{2} - \frac{G_{T}}{2} \left( \bar{\psi} \gamma^{1} \gamma^{2} \tau_{3} \psi \right) \left( \bar{\psi} \gamma_{1} \gamma_{2} \tau_{3} \psi \right)
$$

$$
= \bar{\psi} \left( i \gamma^{\mu} \partial_{\mu} - m_{0} \right) \psi + G_{S} \left( \bar{\psi} \psi \right)^{2} + \frac{G_{T}}{2} \left( \bar{\psi} \Sigma_{3} \psi \right)^{2}, \tag{B1}
$$

where we define  $\Sigma_3 \equiv -i\gamma^1\gamma^2$ . In order to perform the functional integration, let us introduce two auxiliary fields,  $M'$  and  $F$ , and use the following unit relation:

$$
1 = \int \mathcal{D}M' \mathcal{D}F \exp\left[-i \int d^4x \left\{ M' + G_S(\bar{\psi}\psi) \right\} G_S^{-1} \left\{ M' + G_S(\bar{\psi}\psi) \right\} \right] \times \exp\left[-\frac{i}{2} \int d^4x \left\{ F + G_T(\bar{\psi}\Sigma_3\psi) \right\} G_T^{-1} \left\{ F + G_T(\bar{\psi}\Sigma_3\psi) \right\} \right].
$$
 (B2)

The generating functional *Z* for the Lagrangian density (B1) is given as follows:

$$
Z \propto \int \mathcal{D}\bar{\psi}\mathcal{D}\psi \exp\left[i \int d^4x \left\{ \bar{\psi} \left(i\gamma^{\mu}\partial_{\mu} - m_0\right)\psi + G_S \left(\bar{\psi}\psi\right)^2 + \frac{G_T}{2} \left(\bar{\psi}\mathcal{Z}_3\psi\right)^2 \right\} \right].
$$
 (B3)

Inserting the unit relation (B2) into *Z* and setting  $M' = M/2$ , we obtain

$$
Z \propto \int \mathcal{D}\bar{\psi} \; \mathcal{D}\psi \; \mathcal{D}M \; \mathcal{D}F \exp\left[i \int d^4x \left\{ \bar{\psi} \left(i\gamma^{\mu}\partial_{\mu} - M_q - F\mathcal{Z}_3\right)\psi - \frac{M^2}{4G_S} - \frac{F^2}{2G_T} \right\} \right], \quad \text{(B4)}
$$

where we define  $M_q \equiv m_0 + M$ . Thus, we can integrate out with respect to  $\psi$  and  $\bar{\psi}$  easily. After some calculations, we get

$$
Z \propto \int \mathcal{D}M \,\mathcal{D}F \,\mathrm{Det} \left(i\gamma^{\mu}\partial_{\mu} - M_q - F\Sigma_3\right) \exp\left[-i\int d^4x \left(\frac{M^2}{4G_S} + \frac{F^2}{2G_T}\right)\right]
$$
  
= 
$$
\int \mathcal{D}M \,\mathcal{D}F \exp\left[\mathrm{Tr}\log\det\left(i\gamma^{\mu}\partial_{\mu} - M_q - F\Sigma_3\right) - i\int d^4x \left(\frac{M^2}{4G_S} + \frac{F^2}{2G_T}\right)\right],
$$
 (B5)

where, in the second line, the determinant, det, operates on gamma matrices. In order to compute the trace, Tr, we change to momentum space:

$$
Z \propto \int \mathcal{D}M \,\mathcal{D}F \exp\left[iN_C N_F \int d^4x \frac{d^4p}{i(2\pi)^4} \log \det\left(\rho - M_q - F\Sigma_3\right)\right]
$$

$$
\times \exp\left[-i \int d^4x \left(\frac{M^2}{4G_S} + \frac{F^2}{2G_T}\right)\right],\tag{B6}
$$

where  $N_c$  and  $N_F$  mean the color and flavor numbers, respectively. Our next step is to calculate the determinant. We can do this as follows:

$$
\det \left( \phi - M_q - F \Sigma_3 \right) = \det \gamma^0 \left( \phi - M_q - F \Sigma_3 \right)
$$
  
\n
$$
= \det \left[ p^0 - \gamma^0 (\vec{\gamma} \cdot \vec{p} + M_q + F \Sigma_3) \right]
$$
  
\n
$$
= \det \left[ p^0 - \begin{pmatrix} E_{\vec{p}}^{(+)} & & \\ & E_{\vec{p}}^{(-)} & \\ & & -E_{\vec{p}}^{(+)} \\ & & & -E_{\vec{p}}^{(-)} \end{pmatrix} \right]
$$
  
\n
$$
= \left( p^0 - E_{\vec{p}}^{(+)} \right) \left( p^0 - E_{\vec{p}}^{(-)} \right) \left( p^0 + E_{\vec{p}}^{(+)} \right) \left( p^0 + E_{\vec{p}}^{(-)} \right).
$$
 (B7)

Substituting the above result into *Z* in (B6), we obtain

$$
Z \propto \int \mathcal{D}M \mathcal{D}F
$$
  
 
$$
\times \exp\left[iN_{C}N_{F}\int d^{4}x \frac{d^{4}p}{i(2\pi)^{4}}\log\left(p^{0}-E_{\vec{p}}^{(+)}\right)\left(p^{0}-E_{\vec{p}}^{(-)}\right)\left(p^{0}+E_{\vec{p}}^{(+)}\right)\left(p^{0}+E_{\vec{p}}^{(-)}\right)\right]
$$
  
 
$$
\times \exp\left[-i\int d^{4}x \left(\frac{M^{2}}{4G_{S}}+\frac{F^{2}}{2G_{T}}\right)\right].
$$
 (B8)

To start with, we consider only the contents of the exponential in the second line in (B8):

$$
\int \frac{d^4 p}{i (2\pi)^4} \log \left( p^0 - E_{\vec{p}}^{(+)} \right) \left( p^0 - E_{\vec{p}}^{(-)} \right) \left( p^0 + E_{\vec{p}}^{(+)} \right) \left( p^0 + E_{\vec{p}}^{(-)} \right). \tag{B9}
$$

Let us differentiate and integrate the above expression with respect to  $E_{\vec{p}}^{(+)}$  and  $E_{\vec{p}}^{(-)}$ . As a result, (B9) can be recast into

$$
\int \frac{d^4 p}{i (2\pi)^4} \int dE_{\vec{p}}^{(+)} \left( \frac{1}{p^0 + E_{\vec{p}}^{(+)}} - \frac{1}{p^0 - E_{\vec{p}}^{(+)}} \right) + \int \frac{d^4 p}{i (2\pi)^4} \int dE_{\vec{p}}^{(-)} \left( \frac{1}{p^0 + E_{\vec{p}}^{(-)}} - \frac{1}{p^0 - E_{\vec{p}}^{(-)}} \right).
$$
\n(B10)

We would like to discuss a system at finite temperature and density. So let us change the integration to a summation by using the Matsubara method as follows:

$$
\int \frac{d^4 p}{i (2\pi)^4} f(p^0, \vec{p}) \to T \sum_{n=-\infty}^{\infty} \int \frac{d^3 p}{(2\pi)^3} f(i\omega_n + \mu, \vec{p}),
$$
 (B11)

where  $\omega_n$  is the Matsubara frequency and  $\mu$  is the chemical potential. Using the formula

$$
\lim_{\epsilon \to +0} T \sum_{n} \frac{e^{i\omega_n \epsilon}}{i\omega_n - x} = \lim_{\epsilon \to +0} \frac{e^{i\omega_n \epsilon}}{e^{x/T} + 1} = \frac{1}{e^{x/T} + 1},
$$
(B12)

we can calculate the summation following the standard technique. As a result, we obtain

$$
\sum_{\eta=\pm} \int \frac{d^3 p}{(2\pi)^3} \left[ E_{\vec{p}}^{(\eta)} + \mu + T \log \left\{ 1 + \exp\left( -\frac{E_{\vec{p}}^{(\eta)} + \mu}{T} \right) \right\} + T \log \left\{ 1 + \exp\left( -\frac{E_{\vec{p}}^{(\eta)} - \mu}{T} \right) \right\} \right].
$$
\n(B13)

Substituting the above result into *Z*, *Z* can be expressed as

$$
Z \propto \int \mathcal{D} \, M \mathcal{D} F \exp i \left[ N_C N_F \int d^4 x \frac{d^3 p}{(2\pi)^3} \sum_{\eta} \left( E_{\vec{p}}^{(\eta)} + T \log \left\{ 1 + \exp \left( -\frac{E_{\vec{p}}^{(\eta)} + \mu}{T} \right) \right\} \right. \\ + T \log \left\{ 1 + \exp \left( -\frac{E_{\vec{p}}^{(\eta)} - \mu}{T} \right) \right\} \right) - \int d^4 x \left( \frac{M^2}{4G_S} + \frac{F^2}{2G_T} \right) \right], \tag{B14}
$$

where we neglect a constant term. In general, the effective action Γ and effective potential *V* are defined as follows:

$$
Z = \exp(i\Gamma[M, F, T, \mu]), \quad V[M, F, T, \mu] = -\frac{\Gamma[M, F, T, \mu]}{\int d^4x}.
$$
 (B15)

Thus, finally, we obtain the effective potential *V* as

$$
V[M, F, T, \mu] = -N_C N_F \int \frac{d^3 p}{(2\pi)^3} \sum_{\eta} \left[ E_{\vec{p}}^{(\eta)} + T \log \left\{ 1 + \exp\left( -\frac{E_{\vec{p}}^{(\eta)} + \mu}{T} \right) \right\} + T \log \left\{ 1 + \exp\left( -\frac{E_{\vec{p}}^{(\eta)} - \mu}{T} \right) \right\} \right] + \frac{M^2}{4G_S} + \frac{F^2}{2G_T}.
$$
 (B16)

This is identical with the thermodynamic potential [\(4.2\)](#page-5-1).

# <span id="page-16-0"></span>**Appendix C. The domain of integration with respect to the three-momentum in the thermodynamic potential at zero temperature**

In Sect. [3,](#page-3-0) we gave the expression of the thermodynamic potential. In this appendix, we give a domain of integration with respect to the three-momentum. We assume that  $M \geq 0$ ,  $F \geq 0$ , and  $\Lambda > \mu$  without loss of generality and introduce polar coordinates ( $p_T$ ,  $\theta$ ):

$$
p_1 = p_T \cos \theta, \quad p_2 = p_T \sin \theta.
$$

Moreover, we define  $q \equiv \sqrt{p_T^2 + M_q^2}$  in order to integrate over momenta. After integrating over  $\theta$  in Eqs. (3.4)–(3.6), we obtain the thermodynamic potential  $\Phi = \sum_{i=1}^{4} \Phi_i$  as follows:

$$
\Phi_1(M, F, \mu) = \frac{3}{2\pi^2} \int_{\Gamma_1} dq \, dp_3 \, q \left( \sqrt{p_3^2 + (q + F)^2} - \mu \right),
$$
  
\n
$$
\Gamma_1 = \left\{ p_3^2 + (q + F)^2 \le \mu^2, \, p_3^2 + q^2 \le \Lambda^2 + M_q^2, \, q \ge M_q \right\}
$$
  
\n
$$
\Phi_2(M, F, \mu) = \frac{3}{2\pi^2} \int_{\Gamma_2} dq \, dp_3 \, q \left( \sqrt{p_3^2 + (q - F)^2} - \mu \right),
$$
  
\n
$$
\Gamma_2 = \left\{ p_3^2 + (q - F)^2 \le \mu^2, \, p_3^2 + q^2 \le \Lambda^2 + M_q^2, \, q \ge M_q \right\}
$$
  
\n
$$
\Phi_3(M, F, \mu) = -\frac{3}{2\pi^2} \sum_{\eta} \int_{\Gamma_3} dq \, dp_3 \, q \sqrt{p_3^2 + (q + \eta F)^2},
$$
  
\n
$$
\Gamma_3 = \left\{ p_3^2 + q^2 \le \Lambda^2 + M_q^2, \, q \ge M_q \right\}
$$
  
\n
$$
\Phi_4(M, F, \mu) = \frac{M^2}{4G_S} + \frac{F^2}{2G_T}.
$$

Further, let us integrate the thermodynamic potential over  $p_3$  analytically. To do this we must discuss the domain of integration carefully. First we consider  $\Phi_1$  and  $\Gamma_1$ . If the first condition in  $\Gamma_1$  is satisfied, the second condition in it will be satisfied automatically. So we can reduce  $\Gamma_1$  to

$$
\Gamma_1 = \left\{ p_3^2 + (q + F)^2 \le \mu^2, q \ge M_q \right\}.
$$

Furthermore, we can change the above condition to the following:

$$
\Gamma_1 = \left\{ -\sqrt{\mu^2 - (q + F)^2} \le p_3 \le \sqrt{\mu^2 - (q + F)^2}, q \ge M_q \right\}.
$$

Since the contents of the square root must be positive, the final form is

$$
\Gamma_1 = \left\{ -\sqrt{\mu^2 - (q + F)^2} \le p_3 \le \sqrt{\mu^2 - (q + F)^2}, M_q \le q \le \mu - F \right\}.
$$

However, we need the condition  $M_q \leq \mu - F$  in order to integrate over q. If  $M_q > \mu - F$ , we cannot perform the integration. Using an integration formula,

$$
\int dx \sqrt{x^2 + a^2} = \frac{1}{2} \left\{ x \sqrt{x^2 + a^2} + a^2 \log \left( x + \sqrt{x^2 + a^2} \right) \right\},\,
$$

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we were able to perform the integration over  $p_3$  easily. The final results are as follows:

$$
\text{If } M_{q} > \mu - F,
$$

$$
\Phi_1(M, F, \mu) = 0. \tag{C1a}
$$

If  $M_q \leq \mu - F$ ,

$$
\Phi_1(M, F, \mu) = \frac{3}{2\pi^2} \int_{M_q}^{\mu - F} dq \, q \left\{ -\mu \sqrt{\mu^2 - (q + F)^2} + (q + F)^2 \log \left( \frac{\sqrt{\mu^2 - (q + F)^2} + \mu}{q + F} \right) \right\}.
$$
 (C1b)

Next, we consider  $\Phi_2$  and  $\Gamma_2$ . This case is more complicated than the previous case. There are five cases for conditions to perform the integration, as follows:

If 
$$
F - \mu \le M_q \le F + \mu \le \sqrt{\Lambda^2 + M_q^2}
$$
,  
\n
$$
\Phi_2(M, F, \mu) = \frac{3}{2\pi^2} \int_{M_q}^{F + \mu} dq \int_{-\sqrt{\mu^2 - (q - F)^2}}^{\sqrt{\mu^2 - (q - F)^2}} dp_3 q \left(\sqrt{p_3^2 + (q - F)^2} - \mu\right).
$$
\nIf  $M_q \le F - \mu \le F + \mu \le \sqrt{\Lambda^2 + M_q^2}$ ,  
\n
$$
\Phi_2(M, F, \mu) = \frac{3}{2\pi^2} \int_{F - \mu}^{F + \mu} dq \int_{-\sqrt{\mu^2 - (q - F)^2}}^{\sqrt{\mu^2 - (q - F)^2}} dp_3 q \left(\sqrt{p_3^2 + (q - F)^2} - \mu\right).
$$
\nIf  $M_q \le F - \mu \le b \le \sqrt{\Lambda^2 + M_q^2} \le F + \mu$ ,  
\n
$$
\Phi_2(M, F, \mu) = \frac{3}{2\pi^2} \left( \int_{F - \mu}^{b} \int_{-\sqrt{\mu^2 - (q - F)^2}}^{\sqrt{\mu^2 - (q - F)^2}} + \int_{b}^{\sqrt{\Lambda^2 + M_q^2}} \int_{-\sqrt{\Lambda^2 + M_q^2 - q^2}}^{\sqrt{\Lambda^2 + M_q^2 - q^2}} \right)
$$

If  $M_q \leq F - \mu \leq b \leq$ 

$$
\Phi_2(M, F, \mu) = \frac{3}{2\pi^2} \left( \int_{F-\mu}^b \int_{-\sqrt{\mu^2 - (q-F)^2}}^{\sqrt{\mu^2 - (q-F)^2}} + \int_b^{\sqrt{\mu^2 + M_q^2}} \int_{-\sqrt{\mu^2 + M_q^2 - q^2}}^{\sqrt{\mu^2 + M_q^2 - q^2}} \right) \times q \left( \sqrt{p_3^2 + (q-F)^2} - \mu \right) dp_3 dq.
$$

If  $F - \mu \le M_q \le b \le \sqrt{\Lambda^2 + M_q^2} \le F + \mu$ ,

$$
\Phi_2(M, F, \mu) = \frac{3}{2\pi^2} \left( \int_{M_q}^b \int_{-\sqrt{\mu^2 - (q - F)^2}}^{\sqrt{\mu^2 - (q - F)^2}} + \int_b^{\sqrt{\Lambda^2 + M_q^2}} \int_{-\sqrt{\Lambda^2 + M_q^2 - q^2}}^{\sqrt{\Lambda^2 + M_q^2 - q^2}} \right) \times q \left( \sqrt{p_3^2 + (q - F)^2} - \mu \right) dp_3 dq.
$$

If  $F - \mu \le b \le M_q \le \sqrt{\Lambda^2 + M_q} \le F + \mu$ ,

$$
\Phi_2(M, F, \mu) = \frac{3}{2\pi^2} \int_{M_q}^{\sqrt{\Lambda^2 + M_q^2}} dq \int_{-\sqrt{\Lambda^2 + M_q^2 - q^2}}^{\sqrt{\Lambda^2 + M_q^2 - q^2}} dp_3 q \left( \sqrt{p_3^2 + (q - F)^2} - \mu \right).
$$

Here,  $b$  is the solution for  $q$  of the simultaneous equation:

$$
p_3^2 + q^2 = \Lambda^2 + M_q^2
$$
,  $p_3^2 + (q - F)^2 = \mu^2$ .

We were able to perform the integration over  $p_3$ , then define two functions for simplicity as follows:

$$
\phi_1(q) = \frac{3}{2\pi^2} q \left\{ -\mu \sqrt{\mu^2 - (F - q)^2} + (F - q)^2 \log \left( \frac{\mu + \sqrt{\mu^2 - (F - q)^2}}{|F - q|} \right) \right\},\
$$
  

$$
\phi_2(q) = \frac{3}{2\pi^2} q \left\{ \sqrt{\Lambda^2 + M_q^2 - q^2} \left( -2\mu + \sqrt{F^2 + \Lambda^2 + M_q^2 - 2Fq} \right) + (F - q)^2 \log \left( \frac{\sqrt{F^2 + \Lambda^2 + M_q^2 - 2Fq} + \sqrt{\Lambda^2 + M_q^2 - q^2}}{|F - q|} \right) \right\}.
$$

Using the above expressions, the final results are summarized as follows:

If 
$$
F - \mu \le M_q \le F + \mu \le \sqrt{\Lambda^2 + M_q^2}
$$
,  
\n
$$
\Phi_2(M, F, \mu) = \int_{M_q}^{F + \mu} dq \, \phi_1(q).
$$
\n(C2a)

If 
$$
M_q \le F - \mu \le F + \mu \le \sqrt{\Lambda^2 + M_q^2}
$$
,  
\n
$$
\Phi_2(M, F, \mu) = \int_{F - \mu}^{F + \mu} dq \, \phi_1(q).
$$
\n(C2b)

If  $M_q \le F - \mu \le b \le \sqrt{\Lambda^2 + M_q^2} \le F + \mu$ ,

$$
\Phi_2(M, F, \mu) = \int_{F-\mu}^b dq \, \phi_1(q) + \int_b^{\sqrt{\Lambda^2 + M_q^2}} dq \, \phi_2(q). \tag{C2c}
$$

If  $F - \mu \leq M_q \leq b \leq \sqrt{\Lambda^2 + M_q^2} \leq F + \mu$ ,

$$
\Phi_2(M, F, \mu) = \int_{M_q}^b dq \, \phi_1(q) + \int_b^{\sqrt{A^2 + M_q^2}} dq \, \phi_2(q). \tag{C2d}
$$

If  $F - \mu \le b \le M_q \le \sqrt{\Lambda^2 + M_q} \le F + \mu$ ,

$$
\Phi_2(M, F, \mu) = \int_{M_q}^{\sqrt{\Lambda^2 + M_q^2}} dq \phi_2(q).
$$
 (C2e)

Finally, we discuss  $\Phi_3$  and  $\Gamma_3$ . We can derive the domain of integration easily in this case. The domain is re-expressed as

$$
\Gamma_3 = \left\{ -\sqrt{\Lambda^2 + M_q^2 - q^2} \le p_3 \le \sqrt{\Lambda^2 + M_q^2 - q^2}, \ M_q \le q \le \sqrt{\Lambda^2 + M_q^2} \right\}.
$$

After integrating over *p*3, we obtain the final result:

$$
\Phi_3(M, F, \mu) = -\frac{3}{2\pi^2} \sum_{\eta} \int_{M_q}^{\sqrt{\Lambda^2 + M_q^2}} dq \, q \left\{ \sqrt{\Lambda^2 + M_q^2 - q^2} \sqrt{\Lambda^2 + M_q^2 + 2\eta q F + F^2} + (q + \eta F)^2 \log \left( \frac{\sqrt{\Lambda^2 + M_q^2 - q^2} + \sqrt{\Lambda^2 + M_q^2 + 2\eta q F + F^2}}{|q + \eta F|} \right) \right\}.
$$
 (C3)

# <span id="page-19-0"></span>**Appendix D. A possibility for the origin of the tensor-type interaction in the NJL model**

In the QCD Lagrangian, the interaction part is written as

$$
\mathcal{L}_{\text{int}} = g\bar{\psi}(x)\,\gamma^{\mu}\psi(x)\,A_{\mu}(x),\tag{D1}
$$

where  $\psi(x)$  and  $A_{\mu}(x)$  (=  $A_{\mu}^{a}(x)T^{a}$ ) are quark and gluon fields, respectively, and  $T^{a}$  represents the color *su*(3) generators. Here, the two-gluon exchange diagrams are depicted in Fig. [D1.](#page-20-0) These diagrams are obtained from the fourth-order perturbation of *<sup>L</sup>*int:

$$
\int d^4x \mathcal{L}_{int}(x) \cdot \int d^4y \mathcal{L}_{int}(y) \cdot \int d^4x \mathcal{L}_{int}(x') \cdot \int d^4x \mathcal{L}_{int}(y')
$$
  
=  $g^4 \int d^4x d^4y d^4x' d^4y' \bar{\psi}(x) \gamma^{\mu} \psi(x) A_{\mu}(x) \bar{\psi}(y) \gamma^{\nu} \psi(y) A_{\nu}(y)$   
 $\times \bar{\psi}(x') \gamma^{\rho} \psi(x') A_{\rho}(x') \bar{\psi}(y') \gamma^{\sigma} \psi(y') A_{\sigma}(y').$  (D2)

We here intend to describe the above expression as

<span id="page-19-1"></span>
$$
\int d^4x \mathcal{L}_{\text{eff}},\tag{D3}
$$

which should be expressed as the four-point interaction between quarks.

The processes in Figs.  $D1(a)$  $D1(a)$  and (b) may mainly be regarded as repeated processes of the onegluon exchange process. Thus, we omit these processes in the contribution of the two-gluon exchange process. Therefore, let us first consider the diagram in Fig. [D1\(](#page-20-0)c). Writing the spinor indices, *i*, *j*,..., explicitly, we contract the bilinear field, making the Feynman propagator

$$
g^4 \int d^4x d^4y d^4x' d^4y' \bar{\psi}_i(x) \gamma_{ij}^\mu \psi_j(x) A_\mu(x) \bar{\psi}_k(y) \gamma_{kl}^\nu \psi_l(y) A_\nu(y)
$$
  
\n
$$
\times \bar{\psi}_m(x') \gamma_{mn}^\rho \psi_n(x') A_\rho(x') \bar{\psi}_p(y') \gamma_{pq}^\sigma \psi_q(y') A_\sigma(y')
$$
  
\n
$$
\longrightarrow g^4 \int d^4x d^4y d^4x' d^4y' \mathcal{L}_{(c)},
$$
  
\n
$$
\mathcal{L}_{(c)} = -\bar{\psi}_i(x) \gamma_{ij}^\mu \bar{\psi}_k(y) \gamma_{kl}^\nu \gamma_{mn}^\rho \psi_n(x') \gamma_{pq}^\sigma \psi_q(y')
$$
  
\n
$$
\times \langle \psi_j(x) \bar{\psi}_m(x') \rangle \langle A_\mu(x) A_\sigma(y') \rangle \langle \psi_l(y) \bar{\psi}_p(y') \rangle \langle A_\nu(y) A_\rho(x') \rangle. \tag{D4}
$$

Here, it should be noted that the property of the Grassmann number for the fermion field is used. Thus, a minus sign appears. Here,  $\langle \psi_i(x)\bar{\psi}_j(y) \rangle$  and  $\langle A_\mu(x)A_\nu(y) \rangle$   $(= T^a T^b \langle A_\mu^a(x)A_\nu^b(y) \rangle)$ 

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<span id="page-20-0"></span>Fig. D1. Feynman diagrams of the two-gluon exchange process.

represent the Feynman propagators for quark and gluon fields, respectively:

$$
\langle \psi_i(x) \bar{\psi}_j(y) \rangle = \int \frac{d^4 p}{i (2\pi)^4} \frac{\gamma^{\mu} p_{\mu} + M_q}{M_q^2 - p^2 - i\epsilon} e^{-ip(x-y)},
$$
  

$$
\langle A^a_{\mu}(x) A^b_{\nu}(y) \rangle = \delta^{ab} \int \frac{d^4 p}{i (2\pi)^4} \frac{1}{p^2 + i\epsilon} \left[ g_{\mu\nu} - (1 - \alpha) \frac{p_{\mu} p_{\nu}}{p^2} \right] e^{-ip(x-y)},
$$
 (D5)

where *a* and *b* are color indices,  $M_q$  is the quark mass, and  $\alpha$  is a gauge parameter. Of course, the NJL model Lagrangian cannot be derived from QCD. Therefore, we have to give up the exact calculation. Thus, we assume the form of propagators so as to reproduce the four-point contact interaction between quarks. As for the quark propagator, the quark mass in the propagator is artificially set to a very large value or infinity:

<span id="page-20-1"></span>
$$
\left\langle \psi_i(x) \,\overline{\psi}_j(y) \right\rangle \sim \int \frac{d^4 p}{i \,(2\pi)^4} \frac{1_{ij}}{M_q} e^{-ip(x-y)} = \frac{1}{i \,M_q} \delta_{ij} \delta^4(x-y). \tag{D6}
$$

As for the gluon propagator, a "gluon mass"  $M_g$  is artificially introduced and is taken as a very large value or infinity.

$$
\left\langle A_{\mu}^{a}(x) A_{\nu}^{b}(y) \right\rangle = \delta^{ab} \int \frac{d^{4}p}{i(2\pi)^{4}} \frac{1}{p^{2} + i\epsilon} \left[ g_{\mu\nu} - (1 - \alpha) \frac{p_{\mu}p_{\nu}}{p^{2}} \right] e^{-ip(x-y)} \n\to \delta^{ab} \int \frac{d^{4}p}{i(2\pi)^{4}} \frac{1}{p^{2} - M_{g}^{2} + i\epsilon} \left[ g_{\mu\nu} - (1 - \alpha) \frac{p_{\mu}p_{\nu}}{p^{2}} \right] e^{-ip(x-y)} \n\sim \delta^{ab} \int \frac{d^{4}p}{i(2\pi)^{4}} \frac{g_{\mu\nu}}{-M_{g}^{2}} e^{-ip(x-y)} = -\frac{1}{iM_{g}^{2}} \delta^{ab} g_{\mu\nu} \delta^{4}(x - y).
$$
\n(D7)

Hereafter, we denote  $M_q^2 M_g^4 \equiv M_{\text{eff}}^6$ , in which  $M_{\text{eff}}$  has mass dimension. Inserting the above "approximate" propagators into Eq.  $(D4)$ , then, Eq.  $(D4)$  is rewritten as

<span id="page-20-2"></span>
$$
\mathcal{L}_{(c)} = \frac{C_2^2}{M_{\text{eff}}^6} \bar{\psi}_i(x) \gamma_{ij}^\mu \gamma_{jn}^\rho \psi_n(x) \bar{\psi}_k(x) \gamma_{\rho,kl} \gamma_{\mu,lq} \psi_q(x) \times \delta^4(x - x') \delta^4(x' - y) \delta^4(x - y') \delta^4(y' - y),
$$
\n(D8)

where  $C_2 = \sum_a T^a T^a$ . Here, we again use the property of the Grassmann number. Thus, we obtain

$$
g^{4} \int d^{4}x d^{4}y d^{4}x'd^{4}y' \mathcal{L}_{(c)} = \frac{g^{4}\delta^{4}(0)}{M_{\text{eff}}^{6}} \cdot C_{2}^{2} \int d^{4}x \, \bar{\psi}(x) \, \gamma^{\mu} \gamma^{\rho} \psi(x) \cdot \bar{\psi}(x) \, \gamma_{\rho} \gamma_{\mu} \psi(x)
$$

$$
= -g_{T} \int d^{4}x \bar{\psi}(x) \, \gamma^{\mu} \gamma^{\nu} \psi(x) \cdot \bar{\psi}(x) \, \gamma_{\mu} \gamma_{\nu} \psi(x)
$$

$$
+ 8g_{T} \int d^{4}x \bar{\psi}(x) \, \psi(x) \cdot \bar{\psi}(x) \, \psi(x), \tag{D9}
$$

where we define  $g_T = g^4 \delta^4(0) C_2^2 / M_{\text{eff}}^6$  (>0) and use  $\gamma^\mu \gamma^\nu + \gamma^\nu \gamma^\mu = 2g^{\mu\nu}$  and  $\gamma^\mu \gamma_\mu = 4$ . Here,  $\delta^4(0) = \int d^4k/(2\pi)^4 \cdot e^{ik(x-y)}\big|_{x=y}$ , which is regarded as a very large value or infinity in order to ensure that  $g_T$  has a finite value. Then,  $g_T$  has a dimension of (mass)<sup>-2</sup>.

Next, let us consider Fig.  $D1(d)$  $D1(d)$ . As is similar to the case in Fig.  $D1(c)$ , we obtain

$$
g^4 \int d^4x d^4y d^4x' d^4y' \bar{\psi}_i(x) \gamma_{ij}^\mu \psi_j(x) A_\mu(x) \bar{\psi}_k(y) \gamma_{kl}^\nu \psi_l(y) A_\nu(y)
$$
  
\n
$$
\times \bar{\psi}_m(x') \gamma_{mn}^\rho \psi_n(x') A_\rho(x') \bar{\psi}_p(y') \gamma_{pq}^\sigma \psi_q(y') A_\sigma(y')
$$
  
\n
$$
\longrightarrow g^4 \int d^4x d^4y d^4x' d^4y' \mathcal{L}_{(d)},
$$
  
\n
$$
\mathcal{L}_{(d)} = \bar{\psi}_i(x) \gamma_{ij}^\mu \bar{\psi}_k(y) \gamma_{kl}^\nu \gamma_{mn}^\rho \psi_n(x') \gamma_{pq}^\sigma \psi_q(y')
$$
  
\n
$$
\times \langle \psi_l(y) \bar{\psi}_m(x') \rangle \langle A_\mu(x) A_\rho(x') \rangle \langle \psi_j(x) \bar{\psi}_p(y') \rangle \langle A_\nu(y) A_\sigma(y') \rangle. \tag{D10}
$$

In order to obtain the four-point contact interaction for the NJL type, we "approximate" the propagators in  $(D6)$  and  $(D7)$ . Then,

$$
\mathcal{L}_{(d)} = \frac{C_2^2}{M_{\text{eff}}^6} \bar{\psi}_i(x) \gamma_{ij}^\mu \gamma_{jq}^\sigma \psi_q(x) \bar{\psi}_k(x) \gamma_{\sigma,kl} \gamma_{\mu,ln} \psi_n(x) \times \delta^4 (x - x') \delta^4 (x' - y) \delta^4 (x - y') \delta^4 (y' - y)
$$
\n(D11)

is obtained. Therefore,

$$
g^{4} \int d^{4}x d^{4}y d^{4}x'd^{4}y' \mathcal{L}_{(d)} = \frac{g^{4}\delta^{4}(0)}{M_{\text{eff}}^{6}} \cdot C_{2}^{2} \int d^{4}x \, \bar{\psi}(x) \, \gamma^{\mu} \gamma^{\nu} \psi(x) \cdot \bar{\psi}(x) \, \gamma_{\nu} \gamma_{\mu} \psi(x)
$$

$$
= -g_{T} \int d^{4}x \bar{\psi}(x) \, \gamma^{\mu} \gamma^{\nu} \psi(x) \cdot \bar{\psi}(x) \, \gamma_{\mu} \gamma_{\nu} \psi(x)
$$

$$
+ 8g_{T} \int d^{4}x \bar{\psi}(x) \, \psi(x) \cdot \bar{\psi}(x) \, \psi(x). \tag{D12}
$$

Finally, we obtain the effective Lagrangian density originating from the two-gluon exchange contribution between quarks, as illustrated in Fig. [D2,](#page-22-20) as follows:

<span id="page-21-0"></span>
$$
\int d^4x \mathcal{L}_{eff} = g^4 \int d^4x d^4y d^4x' d^4y' (\mathcal{L}_{(c)} + \mathcal{L}_{(d)})
$$
\n
$$
= \int d^4x \left( -\frac{G_T}{4} \bar{\psi}(x) \gamma^\mu \gamma^\nu \psi(x) \cdot \bar{\psi}(x) \gamma_\mu \gamma_\nu \psi(x) + 2G_T \bar{\psi}(x) \psi(x) \cdot \bar{\psi}(x) \psi(x) \right),
$$
\n(D13)

where we define  $G_T \equiv 8g_T$ , where  $G_T > 0$ . We take  $G_T = 11 \text{ GeV}^{-2}$  in this paper. The first term corresponds to our tensor-type four-point interaction. Introducing the degree of freedom of the flavor,



<span id="page-22-20"></span>**Fig. D2.** Feynman diagrams of the two-gluon exchange process.

the tensor part is written as

$$
\mathcal{L}_T = -\frac{G_T}{4} \left( \bar{\psi} \gamma^{\mu} \gamma^{\nu} \vec{\tau} \psi \right) \left( \bar{\psi} \gamma_{\mu} \gamma_{\nu} \vec{\tau} \psi \right), \tag{D14}
$$

which is identical with the first term in Eq. (2.4). Of course, the above treatment is nothing but a crude approximation. Thus, we have to add another term so as to retain the chiral symmetry that the QCD has. The second term in Eq.  $(D13)$  represents the scalar–scalar interaction appearing in the original NJL model Lagrangian. However, the one-gluon exchange contribution may be washed out of this contribution by the two-gluon exchange.

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