



Measurement of the $t\bar{t}$ production cross-section in the lepton+jets channel at $\sqrt{s} = 13$ TeV with the ATLAS experiment

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ABSTRACT

The top anti-top quark production cross-section is measured in the lepton+jets channel using proton-proton collision data at a centre-of-mass energy of $\sqrt{s} = 13$ TeV collected with the ATLAS detector at the LHC. The dataset corresponds to an integrated luminosity of 139 fb^{-1} . Events with exactly one charged lepton and four or more jets in the final state, with at least one jet containing b -hadrons, are used to determine the $t\bar{t}$ production cross-section through a profile-likelihood fit. The inclusive cross-section is measured to be $\sigma_{\text{inc}} = 830 \pm 0.4 \text{ (stat.)} \pm 36 \text{ (syst.)} \pm 14 \text{ (lumi.) pb}$ with a relative uncertainty of 4.6%. The result is consistent with theoretical calculations at next-to-next-to-leading order in perturbative QCD. The fiducial $t\bar{t}$ cross-section within the experimental acceptance is also measured.

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1. Introduction

The top quark is the heaviest elementary particle in the Standard Model (SM), with a mass m_t close to the electroweak symmetry breaking scale [1,2]. Studies of top-quark production and decays provide a precise probe of the SM as well as its extensions [3]. At the CERN Large Hadron Collider (LHC), top quarks are primarily produced in quark-antiquark pairs ($t\bar{t}$) and form an important background in many searches for physics beyond the SM. Thus, a precise measurement of the $t\bar{t}$ cross-section, and comparison with theoretical predictions of high precision, are a critical part of the LHC physics programme.

A theoretical calculation of the $t\bar{t}$ cross-section, $\sigma_{t\bar{t}}$, is available at next-to-next-to-leading order (NNLO) in quantum chromodynamics (QCD). It includes the resummation of the next-to-next-to-leading logarithmic (NNLL) soft-gluon terms [4–9] and predicts $\sigma_{t\bar{t}} = 832^{+20}_{-29}$ (scale) ± 35 (PDF + α_s) pb in proton-proton (pp) collisions at a centre-of-mass energy of 13 TeV, as calculated by the Top++ (v2.0) program [10], using the MSTW2008 NNLO PDF set [11,12] as the central PDF set and assuming $m_t = 172.5$ GeV. The scale uncertainty was determined from the envelope of predictions with the QCD renormalisation and factorisation scales varied independently up or down by a factor of two. The combined uncertainty due to the parton distribution functions (PDFs) and the strong coupling constant, α_s , was calculated following the PDF4LHC prescription [13] with the MSTW2008 NNLO, CT10 NNLO [14,15] and NNPDF2.3 5fFFN NNLO [16] PDF sets.

Measurements of inclusive $\sigma_{t\bar{t}}$ at 7, 8 and 13 TeV were performed by both the ATLAS [17–19] and CMS [20–24] collaborations. All measurements are consistent with NNLO+NNLL QCD predictions. Additionally, the CMS Collaboration performed a measurement of $\sigma_{t\bar{t}}$ at $\sqrt{s} = 5.02$ TeV [25]. At $\sqrt{s} = 13$ TeV, the ATLAS Collaboration used a data sample of 36.1 fb^{-1} and events with an opposite-charge electron–muon pair in the final state to obtain $\sigma_{t\bar{t}} = 826.4 \pm 3.6 \text{ (stat.)} \pm 11.5 \text{ (syst.)} \pm 15.7 \text{ (lumi.)} \pm 1.9 \text{ (beam) pb}$ [26], giving a total relative uncertainty of 2.4%.

This Letter documents measurements of the $t\bar{t}$ cross-sections in the full phase space (inclusive) and in a phase space defined to be close to the experimental measurement range (fiducial) at $\sqrt{s} = 13$ TeV, using the full pp dataset collected during 2015–2018. It targets the lepton+jets $t\bar{t}$ decay mode, where one W boson originating from the top quark decays leptonically and the other W boson decays hadronically, i.e. $t\bar{t} \rightarrow W^+W^-bb \rightarrow \ell\nu q\bar{q}'b\bar{b}$, producing a final state with one high-momentum electron or muon and four jets, two of which are b -quark-initiated jets.¹ A small contribution from $t\bar{t}$ events with both W bosons decaying leptonically producing the same final state due to one lepton being out of acceptance is treated as signal. A profile-likelihood fit to data in three non-overlapping regions is employed to perform the measurement.

The study presented in this letter probes a final state that is complementary to the one explored in Ref. [26] and is sensitive to different $t\bar{t}$ modelling uncertainties, e.g. uncertainties related to quark jets, the understanding of which is mandatory for a large

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¹ Events involving $W \rightarrow \tau\nu$ decays with a subsequent decay of the τ -lepton into $e\nu_e\nu_\tau$ or $\mu\nu_\mu\nu_\tau$ are included in the signal.

number of top-quark precision measurements and searches beyond the SM.

2. ATLAS detector

ATLAS [27–29] is a multipurpose particle detector designed with a forward-backward symmetric cylindrical geometry and nearly full 4π coverage in solid angle.² It consists of an inner tracking detector surrounded by a thin superconducting solenoid providing a 2 T axial magnetic field, electromagnetic and hadronic calorimeters, and a muon spectrometer. The inner tracking detector covers the pseudorapidity range $|\eta| < 2.5$ and is composed of silicon pixel, silicon microstrip, and transition radiation tracking (TRT) detectors. Lead/liquid-argon (LAr) sampling calorimeters provide electromagnetic (EM) energy measurements with high granularity. Hadronic calorimetry is provided by the steel/scintillator-tile calorimeter covering the central pseudorapidity range ($|\eta| < 1.7$). The endcap and forward regions are instrumented with LAr calorimeters for both the EM and hadronic energy measurements up to $|\eta| = 4.9$. The muon spectrometer surrounds the calorimeters and is based on three large air-core toroidal superconducting magnets with eight coils each. The field integral of the toroids ranges between 2.0 and 6.0 Tm across most of the detector. The muon spectrometer includes a system of precision tracking chambers and fast detectors for triggering. A two-level trigger system is used to select events. The first-level trigger is implemented in hardware and uses a subset of the detector information to keep the accepted event rate below 100 kHz [30]. This is followed by a software-based trigger that reduces the accepted event rate to 1 kHz on average.

3. Data and simulation samples

The analysis is performed using the full Run 2 LHC pp collision data sample at $\sqrt{s} = 13$ TeV recorded by the ATLAS detector, corresponding to an integrated luminosity of 139 fb^{-1} after data quality requirements [31] are imposed. Events are required to pass a single-electron or single-muon trigger with thresholds that were progressively raised during the data collection period to account for the increase of instantaneous luminosity.

Monte Carlo (MC) simulations are used to optimise the analysis and to evaluate acceptances, efficiencies and uncertainties in $t\bar{t}$ signal and all backgrounds except for the multijet background that is estimated using a data-driven technique. The effect of multiple interactions in the same and neighbouring bunch crossings (pile-up) was modelled by overlaying the original hard-scattering event with simulated inelastic pp events generated by PYTHIA 8.186 [32] using the NNPDF2.3 LO set of PDFs [16] and parameter values set according to the A3 tune [33].

The production of $t\bar{t}$ events was modelled using the next-to-leading-order (NLO) matrix element (ME) implemented in the HVQ program [34,35] from the PowHEG-Box v2 [36–38] generator with the NNPDF3.0 NLO [39] PDF and the h_{damp} parameter set to $1.5 m_t$ [40].³ The $t\bar{t}$ sample is normalised to the NNLO+NNLL cross-section. The single-top-quark t -channel, s -channel and tW associated production processes were also modelled at NLO in QCD using

² ATLAS uses a right-handed coordinate system with its origin at the nominal interaction point (IP) in the centre of the detector and the z -axis along the beam pipe. The x -axis points from the IP to the centre of the LHC ring, and the y -axis points upwards. Cylindrical coordinates (r, ϕ) are used in the transverse plane, ϕ being the azimuthal angle around the z -axis. The pseudorapidity is defined in terms of the polar angle θ as $\eta = -\ln \tan(\theta/2)$. Angular distance is measured in units of $\Delta R \equiv \sqrt{(\Delta\eta)^2 + (\Delta\phi)^2}$.

³ The h_{damp} parameter controls the transverse momentum, p_T , of the first additional emission beyond the leading-order Feynman diagram in the parton shower and therefore regulates the high- p_T emission against which the $t\bar{t}$ system recoils.

PowHEG-Box v2. For all top-quark processes, PYTHIA 8.230 [41], using the A14 tune [42] and the NNPDF2.3 LO PDF set, was interfaced to PowHEG-Box v2 to simulate the parton shower and hadronisation. The diagram removal scheme [43] was employed in the tW simulation to handle the interference with $t\bar{t}$ production [40].

The $V+\text{jets}$ ($V = W, Z$) backgrounds were simulated with the SHERPA v2.2.1 [44] generator using NLO-accurate MEs for up to two jets, and MEs accurate to leading order (LO) for up to four jets calculated with the Comix [45] and OpenLoops [46,47] libraries. They were matched with the SHERPA parton shower [48] using the MEPS@NLO prescription [49–52] and the tune developed by the SHERPA authors. Diboson production was generated using SHERPA v2.2.2 with MEs computed at NLO accuracy in QCD for up to one additional parton and at LO accuracy for up to three additional partons. The NNPDF3.0 NNLO PDF set [39] was used for the $V+\text{jets}$ and diboson samples. The productions of $t\bar{t}H$ and $t\bar{t}V$ events were modelled at NLO using the PowHEG-Box v2 and MADGRAPH5_aMC@NLO v2.3.3 [53] generators, respectively, with the NNPDF3.0 NLO PDF set. PYTHIA 8.230 with the A14 tune and the NNPDF2.3 LO PDF was used to simulate the parton showers.

All simulated background samples are normalised to their cross-sections, computed to the highest order available in perturbation theory. The top-quark mass is set to $m_t = 172.5$ GeV in all simulated samples. The EvtGEN v1.6.0 program [54] was used to simulate the decay of bottom and charm hadrons for all event generators except SHERPA.

The nominal $t\bar{t}$ signal and background samples were processed through the ATLAS simulation software [55] based on GEANT4 [56]. Some of the alternative $t\bar{t}$ samples used to evaluate systematic uncertainties were processed through a fast detector simulation making use of parameterised showers in the calorimeters [57]. Corrections are applied to the simulated events so that the selection efficiencies, energy scales and resolutions of particle candidates match those determined from data control samples.

4. Object selection

The following sections describe the detector- and particle-level objects used in the inclusive and fiducial cross-section measurements.

4.1. Detector-level objects

Electron candidates are reconstructed from energy clusters in the EM calorimeter that match a reconstructed track. Electrons are identified with a likelihood method [58], and are required to meet the tight identification criterion based on shower shapes in the EM calorimeter, track quality and detection of transition radiation produced in the TRT. Electrons are required to have a calorimeter cluster satisfying $|\eta_{\text{clust}}| < 2.47$. Additionally, electrons in the transition region between barrel and endcap calorimeters with $1.37 < |\eta_{\text{clust}}| < 1.52$ are excluded. The electron candidates have to pass p_T - and η -dependent isolation requirements based on the track and calorimeter activity around them. Muons are reconstructed using information from both the inner detector and the muon spectrometer. Muon candidates are required to have $|\eta| < 2.5$, to pass medium quality requirements [59] and fulfil isolation criteria based on the calorimeter and tracking information: the calorimeter cluster energy within a cone of size of $\Delta R = 0.2$ around the muon track divided by the muon p_T must be smaller than 0.15 and the ratio of the summed transverse momenta of additional tracks within a cone of $\Delta R = 0.3$ to the muon p_T must be smaller than 0.04. Selected electrons (muons) must have a transverse impact parameter significance $|d_0/\sigma_{d_0}| < 5$ (3) and a longitudinal impact parameter $|z_0 \sin\theta| < 0.5$ mm relative to the event's primary vertex [60].

Table 1

Expected event yields including all uncertainties after the event selection compared to data in the three signal regions. The $t\bar{t}X$ category contains $t\bar{t}V$ and $t\bar{t}H$ contributions.

	SR1	SR2	SR3
$t\bar{t}$	$3\,630\,000 \pm 210\,000$	$990\,000 \pm 90\,000$	$980\,000 \pm 100\,000$
$W + \text{jets}$	$350\,000 \pm 160\,000$	$24\,000 \pm 10\,000$	$17\,000 \pm 9\,000$
Single top	$255\,000 \pm 31\,000$	$52\,000 \pm 7\,000$	$37\,000 \pm 8\,000$
$Z + \text{jets} + \text{diboson}$	$80\,000 \pm 40\,000$	$8\,000 \pm 4\,000$	$5\,800 \pm 3\,000$
$t\bar{t}X$	$15\,600 \pm 2\,100$	$2\,110 \pm 2\,90$	$7\,200 \pm 1\,000$
Multijet	$210\,000 \pm 80\,000$	$28\,000 \pm 10\,000$	$22\,000 \pm 8\,000$
Total prediction	$4\,540\,000 \pm 310\,000$	$1\,110\,000 \pm 100\,000$	$1\,070\,000 \pm 100\,000$
Data	4540886	1100558	1103317

Jets are formed from clusters of topologically connected calorimeter cells [61] using the anti- k_t jet algorithm [62] with the radius parameter $R = 0.4$ implemented in FastJet [63], and are calibrated to particle level as described in Ref. [64]. To suppress jets originating from pile-up collisions, cuts on the Jet Vertex Tagger (JVT) [65] discriminant are applied for jets with p_T below 120 GeV. Jets containing b -hadrons are identified (b -tagged) via a multivariate algorithm, MV2c10, combining observables sensitive to lifetimes, production mechanisms, and decay properties of b -hadrons [66]. A working point with an average efficiency of 60% for b -quark-initiated jets in $t\bar{t}$ events and rejection factors against light-quark/gluon-initiated jets and c -quark-initiated jets of 1200 and 55, respectively, is used [67–69].

The missing transverse momentum with magnitude, E_T^{miss} , is defined as the negative vector sum of the transverse momenta of the reconstructed and calibrated physics objects (electrons, photons, hadronically decaying τ -leptons, jets and muons) and a soft term built from all tracks that are associated with the primary vertex, but not with these objects, is included [70,71].

4.2. Particle-level objects

Particle-level objects are defined in simulated events by using only stable particles, i.e. particles with a mean lifetime greater than 30 ps. The fiducial phase space used for the $\sigma_{t\bar{t}}$ measurement is defined using a set of requirements applied to particle-level objects analogous to those used in the selection of the detector-level objects.

Leptons are defined as electrons or muons originating from W decays, including those from intermediate τ -leptons. The four-momentum of each charged lepton is summed with the four-momenta of all radiated photons within a cone of size $\Delta R = 0.1$ about its direction, excluding photons from hadron decays, to account for bremsstrahlung. Leptons are required to have $p_T > 25$ GeV and $|\eta| < 2.5$. Jets are defined using the anti- k_t algorithm with a radius parameter of $R = 0.4$. All stable particles are considered for jet clustering, except for the electrons, muons, and photons used in the lepton definitions. Jets are required to have $p_T > 25$ GeV and $|\eta| < 2.5$ and are identified as b -jets via ghost matching to weakly decaying b -hadrons [62]. The fiducial region is defined by requiring exactly one electron or muon, and at least four jets, one or exactly two of which must be identified as b -jets.

Possible double-counting of objects reconstructed at detector- or particle-levels satisfying multiple object definitions is resolved using the same algorithms as in Ref. [72].

5. Analysis strategy

5.1. Event selection

Selected events are required to have exactly one reconstructed electron or muon with $p_T > 25$ GeV for the 2015 data-taking

period, $p_T > 27$ GeV for the 2016 data-taking period and $p_T > 28$ GeV for the 2017 and 2018 data-taking periods, to account for different single-lepton trigger thresholds. Events must have at least four reconstructed jets with $p_T > 25$ GeV and $|\eta| < 2.5$ with one or exactly two of the reconstructed jets being b -tagged. To suppress the contribution of the multijet background, events in the electron+jets channel are required to have $E_T^{\text{miss}} > 30$ GeV and $m_T(W) > 30$ GeV, while in the muon+jets channel, due to a smaller contribution of this background, a looser criterion $E_T^{\text{miss}} + m_T(W) > 60$ GeV is applied.⁴ The measurement of the $t\bar{t}$ cross-section is performed by splitting the selected sample into three non-overlapping signal regions according to the number of jets and b -tagged jets. The region with the highest background fraction (SR1) is selected by requiring ≥ 4 jets and exactly 1 b -tagged jet. The SR2 (SR3) region has exactly 4 (≥ 5) jets, exactly two of which must be b -tagged. The SR1 and SR2 regions have different sensitivities to the background and b -jet modelling while the SR3 provides information about modelling of extra radiation in $t\bar{t}$ events.

The number of background events meeting the selection criteria is estimated using MC simulations for all processes with the exception of a small contribution from multijet events with a non-prompt or misidentified lepton arising from photon conversions, heavy-flavour hadrons decaying leptonically, and jets misidentified as leptons. A data-driven matrix method [72] based on the measurement of lepton selection efficiencies using different identification and isolation criteria is used to estimate this background. Expected and observed event yields are shown in Table 1 and are in excellent agreement. The expected yields include all uncertainties described in Section 6.

5.2. Observables used in the fit

The $t\bar{t}$ cross-section is extracted from a simultaneous profile-likelihood fit of data distributions to the sum of signal and background distributions in the three regions. Each region exploits a different fit variable. In SR1, the aplanarity (A) is used, as was done in previous $t\bar{t}$ cross-section measurements [73,74]. It is defined entirely with jet information as $A = \frac{3}{2}\lambda_3$, where λ_3 is the smallest eigenvalue of the sphericity tensor, $S^{\alpha\beta}$ [75,76].⁵ In SR2, the minimum lepton-jet mass, $m_{\ell j}^{\text{min}}$, calculated as the minimum invariant mass over all lepton-jet pairs, is exploited. In SR3, a system likely originating from a hadronically decaying top quark is constructed. It consists of a b -tagged jet and two other jets, corresponding to the permutation with the highest p_T for the vector

⁴ $m_T(W) = \sqrt{2p_T^\ell E_T^{\text{miss}}(1 - \cos\phi)}$, where p_T^ℓ is the transverse momentum of the charged lepton and ϕ is the opening azimuthal angle between the charged lepton and missing transverse momenta.

⁵ The $S^{\alpha\beta} = \frac{\sum_i p_i^\alpha p_i^\beta}{\sum_i |p_i|^2}$, where p_i represents the three-momentum of jet i ; $\alpha, \beta \in x, y, z$ and the sum runs over all jets.

sum of four momenta of the three constituent jets. The average angular distance between the three constituent jets, $\Delta R_{bjj}^{\text{avg}}$, is computed and used in the fit. The choice of variables is driven by their ability to separate $t\bar{t}$ signal from the backgrounds, the reduced sensitivity to jet-related experimental and $t\bar{t}$ modelling uncertainties achieved by exploiting ratios of jet momenta (A) or angular information ($\Delta R_{bjj}^{\text{avg}}$), and good agreement between the prediction and data. There is no single variable that satisfies these requirements in all three regions.

6. Systematic uncertainties

Several sources of systematic uncertainties affect the fiducial and inclusive $t\bar{t}$ cross-section measurements by changing the estimated signal and background rates and the shapes of the distributions used in the fit. All uncertainties are treated as correlated between signal regions, unless explicitly specified otherwise. They can be classified into experimental and modelling uncertainties in the $t\bar{t}$ signal and in backgrounds.

6.1. Experimental uncertainties

The uncertainty in the combined 2015–2018 integrated luminosity (\mathcal{L}_{int}) is 1.7% [77], obtained using the LUCID-2 detector [78] for the primary luminosity measurements.

Reconstruction, identification, isolation and trigger performance for electrons and muons differ between data and MC simulations. Scale factors are applied to simulated events to correct for the differences. These scale factors, as well as the lepton momentum scale and resolution, are assessed using $Z \rightarrow \ell^+\ell^-$ events in simulation and data with methods similar to those described in Refs. [58,59]. The associated systematic uncertainties are propagated to the distributions used in the fit. Their combined effects on the cross-section measurement are referred to as “Muon reconstruction” and “Electron reconstruction” in Table 3.

The jet energy scale (JES) is calibrated using a combination of test beam data, simulation and *in situ* techniques [64]. Its uncertainty is decomposed into a set of 29 uncorrelated components, with contributions from pile-up, jet flavour composition, single-particle response, and effects of jets not contained within the calorimeter. The uncertainty of the jet energy resolution (JER) is represented by eight components accounting for jet- p_T and η -dependent differences between simulation and data [79]. The uncertainty in the efficiency to pass the JVT requirement for pile-up suppression is also considered [65]. The combined effect on the cross-section measurement of jet-related uncertainties is referred to as “Jet reconstruction” in Table 3.

The uncertainties in the b -tagging calibration are determined separately for b -jets, c -jets and light-flavour-jets [66,68,69] using an 85-component breakdown (45 for b -jets, 20 for c -jets and 20 for light-flavour jets). They depend on p_T for b - and c -jets, and on p_T and η for light-flavour jets, and they account for differences between data and simulation. The impact of these uncertainties on the cross-section measurement is referred to as “Flavour tagging” in Table 3.

The uncertainty in E_T^{miss} due to a possible miscalibration of its soft-track component is derived from data–simulation comparisons of the p_T balance between the hard and the soft E_T^{miss} components [70]. To account for the difference in pile-up distributions between the simulation and data, the pile-up profile in the simulation is corrected to match the one in data. The uncertainty associated with the correction factor is applied. The combined impact of the E_T^{miss} and pile-up uncertainties is referred to as “ $E_T^{\text{miss}} + \text{pile-up}$ ” in Table 3.

6.2. Signal modelling

The uncertainty due to missing higher-order QCD corrections in the ME computation is estimated by independently varying the renormalisation (μ_R) and factorisation (μ_F) scales by factors of 2.0 and 0.5 with respect to the central value. Additionally, uncertainties in the amounts of initial- and final-state radiation (FSR) from the parton shower are assessed by, respectively varying the corresponding parameter of the A14 parton shower tune (Var3c) [42] and by varying by factors of 2.0 and 0.5 the scale μ_R^{FSR} . All four variations are taken to be uncorrelated between the signal regions but fully correlated across bins in each region. The combined impact of all scale uncertainties is referred to as “ $t\bar{t}$ scale variations” in Table 3. An uncertainty due to the choice of the h_{damp} parameter value is determined by comparing the nominal $t\bar{t}$ sample with the one produced with the same settings but with the h_{damp} parameter set to 3 m_t and is symmetrised.

The level of agreement between data and prediction for the lepton p_T and the leading jet p_T improves if the top-quark p_T distribution in the nominal $t\bar{t}$ simulation is corrected to match the top-quark p_T calculated at NNLO in QCD with NLO electroweak corrections [80]. In this analysis, the full difference between the nominal and the reweighted simulated $t\bar{t}$ sample is taken as a systematic uncertainty and symmetrised. This approach is preferable to applying a correction to the nominal simulation because for some variables the level of agreement between data and prediction deteriorates after applying the correction. To avoid double counting, modelling uncertainties, which are evaluated using alternative samples, are derived as the difference between the nominal and alternative samples, both reweighted to the top-quark p_T theory prediction.

Uncertainties due to the choice of parton shower and hadronisation model are estimated by comparing the nominal sample from PowHEG-Box interfaced to PYTHIA with an alternative sample generated with the same PowHEG-Box set-up but interfaced to HERWIG 7.0.4 [81,82] with angle-ordered parton shower model, the H7UE tune [81] and the MMHT2014LO PDF set [83]. Further details about the sample settings can be found in Ref. [84]. The difference between the two models is split into three components. The first component represents the total $t\bar{t}$ acceptance in the three regions (“Shower model incl. acceptance” in Fig. 3). The second component is sensitive to the $t\bar{t}$ yield difference in the individual signal regions (“Shower migration parameter” in Fig. 3). The last component is responsible for the shape effect on the fitted distributions. It is represented by three nuisance parameters (NPs), one per region (referred to as “Shower model shape” followed by a region name in Fig. 3), to ensure that shape effects are uncorrelated between the regions since different variables are used in the fit. All three components are symmetrised. The combined impact of all uncertainties due to the choice of parton shower and hadronisation model is referred to as “ $t\bar{t}$ shower/hadronisation” in Table 3.

The PDF4LHC15 meta-PDFs are used to estimate the systematic effects, including impact on the acceptance, due to uncertainties in the PDF, following the updated PDF4LHC15 prescription [85]. A set of 30 Hessian eigenvectors corresponding to independent PDF variations is included in the fit. The central values of the NNPDF3.0 PDF used to simulate the nominal $t\bar{t}$ sample and the PDF4LHC15 set are found to be consistent.

6.3. Background modelling

Uncertainties in the multijet background estimation include a 50% uncertainty in the normalisation to cover differences between the data and the matrix method prediction in various control regions enriched in multijet background events [72] and an uncertainty from the choice of parameterisation of the efficiencies for

real and misidentified leptons. These uncertainties are treated as uncorrelated between all regions and between electron+jets and muon+jets events due to different composition of the multijet background in these regions and different choice of efficiency parameterisation in the electron+jets and muon+jets channels. The impact of the multijet background estimation uncertainty on the measurement is referred to as “Multijet background” in Table 3.

The tW contribution is the largest among the three single-top-quark production channels. A normalisation uncertainty of 5.4% is applied to the single-top-quark background, corresponding to the theoretical uncertainty of the tW cross-section [86]. Similarly to the $t\bar{t}$ modelling uncertainties, the effects of the μ_R and μ_F variations in the ME, the variations of parameters related to initial- and final-state radiation in the parton shower and the impact of the parton shower choice are evaluated for the single-top-quark background. An additional uncertainty arising from the method used to handle interference between tW and $t\bar{t}$ production is determined by comparing the tW simulated sample that uses the diagram-subtraction method [87] with the nominal one based on the diagram-removal technique.

Several uncertainties affect the modelling of the W +jets background. Variations of μ_R and μ_F are used to derive the W +jets normalisation uncertainties in each region. They amount to about 45% and are treated as uncorrelated between the regions selected with 1- b -tag (SR1) and 2- b -tag (SR2 and SR3) requirements. The effects on the shape of the distributions arising from the μ_R and μ_F variations, from the choice of ME to parton-shower CKKW matching scale [51,88] and from the scale used for the resummation of soft-gluon emission in the nominal sample are also included.

A normalisation uncertainty of 50% is applied to the combined Z +jets and diboson background based on the studies of the μ_R and μ_F variations for the W +jets process. A normalisation uncertainty of 13.3% is applied [89] to the $t\bar{t}X$ contribution, based on the theoretical cross-section uncertainties for the $t\bar{t}V$ and $t\bar{t}H$ processes.

For the backgrounds, the systematic uncertainties due to the PDF choice are found to be negligible. The combined effect on the measured cross-section of all MC simulation background modelling uncertainties is referred to as “MC background modelling” in Table 3.

7. Extraction of the $t\bar{t}$ cross-section

Events fulfilling the criteria described in Section 5 are used to perform measurements of the fiducial and inclusive $t\bar{t}$ cross-sections from a profile-likelihood fit to data. The fit uses the distributions of variables described in Section 5.2 in three signal regions, and the systematic uncertainties (see Section 6) are included in the fit as NPs. Statistical uncertainties in each bin due to the limited size of the simulated samples are taken into account by dedicated nuisance parameters using the Barlow-Beeston technique [90] and their effect on the measurement is referred to as “Simulation stat. uncertainty” in Table 3.

The cross-section for producing $t\bar{t}$ events in the fiducial region, σ_{fid} , is defined as $\sigma_{\text{fid}} = \nu_{\text{fid}}/\mathcal{L}_{\text{int}}$, where ν_{fid} is the number of $t\bar{t}$ events in the fiducial volume determined by the fit. The inclusive cross-section, σ_{inc} , is related to the fiducial one via $\sigma_{\text{fid}} = A_{\text{fid}} \times \sigma_{\text{inc}}$, where $A_{\text{fid}} = N_{\text{fid}}/N_{\text{tot}}$ is the fiducial acceptance with N_{fid} (N_{tot}) being the number of $t\bar{t}$ events obtained from a simulated signal sample after (before) applying the particle-level selection. For the σ_{fid} measurement, all samples of simulated events used to evaluate the $t\bar{t}$ modelling uncertainties are scaled to the same fiducial acceptance, defined in Section 4.2. The fiducial acceptance is evaluated using the nominal $t\bar{t}$ sample reweighted to match the top-quark p_T theoretical calculation to be consistent with the treatment of the alternative $t\bar{t}$ samples. Such scaling

Table 2

Fiducial acceptances for different $t\bar{t}$ models, with the variations relative to the nominal model, after applying the particle-level event selection. The uncertainty in the acceptance due to each systematic variation ($A_{\text{fid}}^{\text{alt}}$) is computed with respect to the acceptance obtained from the nominal $t\bar{t}$ sample reweighted to the NNLO theory prediction of the top-quark p_T given in the second row ($A_{\text{fid}}^{\text{nom}}$). The PDF uncertainty is a sum in quadrature of uncertainties from 30 independent PDF variations in the PDF4LHC15 prescription. The last row shows the total relative uncertainty in the nominal acceptance.

Generator set-up	A_{fid} [%]	$\frac{A_{\text{fid}}^{\text{alt}} - A_{\text{fid}}^{\text{nom}}}{A_{\text{fid}}^{\text{nom}}} \text{ [%]}$
POWHEG+PYTHIA nominal	13.50	0.00
POWHEG+PYTHIA top-quark p_T reweighted	13.40	-0.75
$\mu_{\text{FSR}}^{\text{FSR}} \times 2$	13.58	1.29
$\mu_{\text{FSR}}^{\text{FSR}} \times 0.5$	13.18	-1.64
$\mu_R \times 2$	13.37	-0.25
$\mu_R \times 0.5$	13.45	0.38
$\mu_F \times 2$	13.38	-0.15
$\mu_F \times 0.5$	13.43	0.17
Var3cUp	13.46	0.41
Var3cDown	13.35	-0.38
$h_{\text{damp}} \times 2$	13.57	1.21
POWHEG+HERWIG	13.44	0.31
PDF4LHC15 variations		0.47
Total		^{+1.9} _{-2.2}

ensures that in each signal region the remaining normalisation uncertainties from $t\bar{t}$ modelling correspond to the uncertainties in the correction factor $C = N_{\text{reco}}/N_{\text{fid}}$, where N_{reco} is the number of selected events in a given region. The scaled distributions enter the fit to measure σ_{fid} , thus reducing the impact of $t\bar{t}$ modelling uncertainties by reducing the normalisation effects. For the σ_{inc} extraction, the $t\bar{t}$ modelling uncertainties include the uncertainties corresponding to the extrapolation of each systematic uncertainty component to the full phase space. The acceptance A_{fid} for different systematic variations of the $t\bar{t}$ model is shown in Table 2. The PDF uncertainty is calculated following the PDF4LHC15 prescription as a sum in quadrature of uncertainties from 30 independent PDF variations. The relative acceptance uncertainty in the propagation of the fiducial cross-section to the full phase space for the nominal $t\bar{t}$ model is $^{+1.9\%}_{-2.2\%}$.

8. Results

The $t\bar{t}$ fiducial cross-section is found to be

$$\sigma_{\text{fid}} = 110.7 \pm 0.05 \text{ (stat.)} \begin{array}{l} +4.5 \\ -4.3 \end{array} \text{ (syst.)} \pm 1.9 \text{ (lumi.) pb} \\ = 110.7 \pm 4.8 \text{ pb.}$$

Here, the luminosity uncertainty is obtained by repeating the fit, fixing the corresponding nuisance parameter, and subtracting in quadrature the resulting uncertainty from the total uncertainty of the nominal fit. The systematic uncertainty is determined by subtracting in quadrature the statistical uncertainty, obtained from a fit where all NPs are fixed to the values determined by the fit (post-fit), and the luminosity uncertainty, from the total uncertainty. Fig. 1 displays the post-fit distributions of the observables used in the fit in each region.

Fig. 2 shows pre- and post-fit distributions of one kinematic variable per region, which is not included in the fit, demonstrating that the level of agreement between the prediction and the data improves after the fit. The H_T distribution shows a difference between prediction and data, which is covered by the uncertainties both before and after the fit. This feature has no effect on the variables used in the fit or on the result. The effect of the residual disagreement in the distribution of the fourth largest jet p_T in SR2, which is not fully covered by the post-fit uncertainty

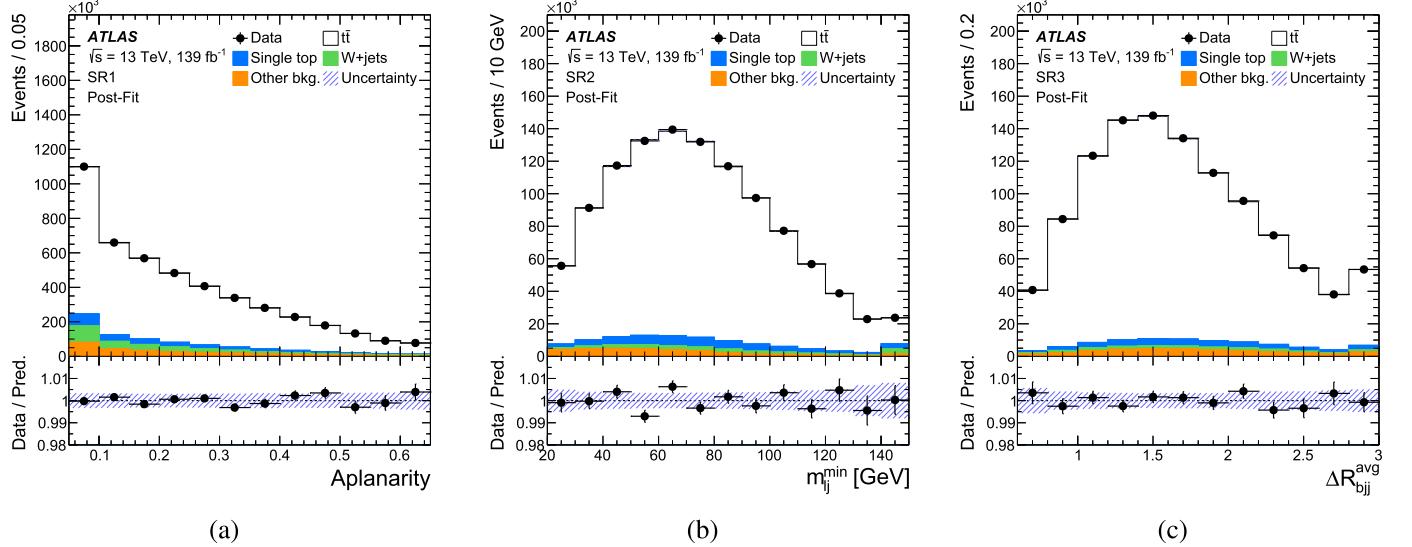


Fig. 1. Post-fit distributions of $t\bar{t}$ signal and backgrounds compared with data for the observables used in the fiducial cross-section fit. The hatched bands represent combined statistical and systematic uncertainties, after propagating the constraints and correlations obtained from the fit to data. All background categories except single top and $W+jets$ are combined in one category called Other bkg. The first and last bins contain underflow and overflow events, respectively.

band, is tested as follows. Pseudo-data are created by reweighting the detector-level prediction for events passing the selection to match the corresponding distribution in data in SR2, and the $t\bar{t}$ cross-section is extracted. No significant impact on the measured cross-section is observed.

Using the measured fiducial cross-section and the acceptance with its uncertainty from Table 2, and assuming that the uncertainties of the A_{fid} are not correlated with those obtained in the fit, the $t\bar{t}$ cross-section extrapolated to the full phase space is

$$\sigma_{inc}^{ext} = 820 \pm 0.4 \text{ (stat.)} \pm 37 \text{ (syst.)} \pm 14 \text{ (lumi.) pb} \\ = 820 \pm 40 \text{ pb.}$$

The $t\bar{t}$ cross-section in the full phase space, referred to as inclusive cross-section, measured in the dedicated fit is

$$\sigma_{inc} = 830 \pm 0.4 \text{ (stat.)} \pm 36 \text{ (syst.)} \pm 14 \text{ (lumi.) pb} \\ = 830 \pm 38 \text{ pb.}$$

The two results are compatible within the uncertainties and are in agreement with the theoretical NNLO + NNLL prediction for the top-quark mass of 172.5 GeV. The difference between the central values arises from the different assumptions related to the $t\bar{t}$ modelling uncertainties. For the inclusive measurement, the alternative models are assumed to have the same $\sigma_{t\bar{t}}$ in the full phase space, while for the fiducial measurement they are assumed to have the same cross-section after applying the fiducial selection. This results in different normalisation components of the signal modelling uncertainties, leading to different impacts of these uncertainties on the measured cross-section for the same post-fit values of the corresponding nuisance parameters.

The dependence of the measured inclusive $t\bar{t}$ cross-section on m_t is determined by repeating the fit to data after replacing the nominal input $t\bar{t}$ distributions by those from the samples generated with the same set-up as the nominal but with $m_t = 171, 172, 173$ and 174 GeV, assuming that the $t\bar{t}$ modelling uncertainties are independent of m_t . The dependence is found to be $1/\sigma_{inc} \times d\sigma_{inc}/dm_t = -1.7\%/\text{GeV}$.

Fig. 3 presents the ranking of the effects of different systematic uncertainties on the inclusive measurement. The impact of each NP, θ , is computed by comparing the nominal best-fit value of

σ_{inc} with the result of the fit when fixing the considered nuisance parameter to its best-fit value, $\hat{\theta}$, shifted by its pre-fit (post-fit) uncertainties $\pm \Delta\theta$ ($\pm \Delta\hat{\theta}$). The ranking plot shows that the uncertainty in σ_{inc} is dominated by the difference in the $t\bar{t}$ inclusive acceptance and the migration parameter between the nominal and the alternative parton shower and hadronisation model. The NP corresponding to the migration parameter is constrained, indicating that the normalisation effects of the alternative model vary significantly between the three regions. In SR1 (SR3), the alternative model predicts 1.4% (2.3%) larger yield while in SR2 it predicts 7.1% smaller yield than in the nominal $t\bar{t}$ simulation. These variations are much larger than the data uncertainty and allow the data to constrain this uncertainty. To check that this choice for the parameterisation of the parton shower systematic uncertainty does not affect the result, an alternative parameterisation is implemented with three normalisation and three shape NPs uncorrelated between three signal regions. No change in the central value or total uncertainty is observed, while the parameters show similar level of constraints and pulls as in the baseline fit. Other significant contributions to the uncertainty arise from the modelling of final-state radiation in SR1 and the top-quark p_T model. As expected, the latter is pulled towards the NNLO prediction, which is approximated here by a one-dimensional top-quark p_T reweighting. The uncertainty in the integrated luminosity is the highest-ranked experimental uncertainty.

A breakdown of the contributions from different categories of systematic uncertainties is presented in Table 3. The largest uncertainties, in both the fiducial and inclusive cross-section measurements, arise from the shower and hadronisation modelling and the scale variations. The source of the largest experimental uncertainty is the jet reconstruction category which includes uncertainties from jet identification, calibration, resolution and the JVT requirement.

Several tests were performed to check the stability of the result. To examine the disagreement between data and prediction observed in jet p_T spectra as illustrated in Fig. 2, the impact of changing the minimum jet p_T requirement was studied by repeating the analysis while selecting events with a minimum jet p_T of 30 GeV and 35 GeV instead of 25 GeV. In both cases, the measured cross-section changed by less than 2% and did not show a trend depending on the jet p_T cut.

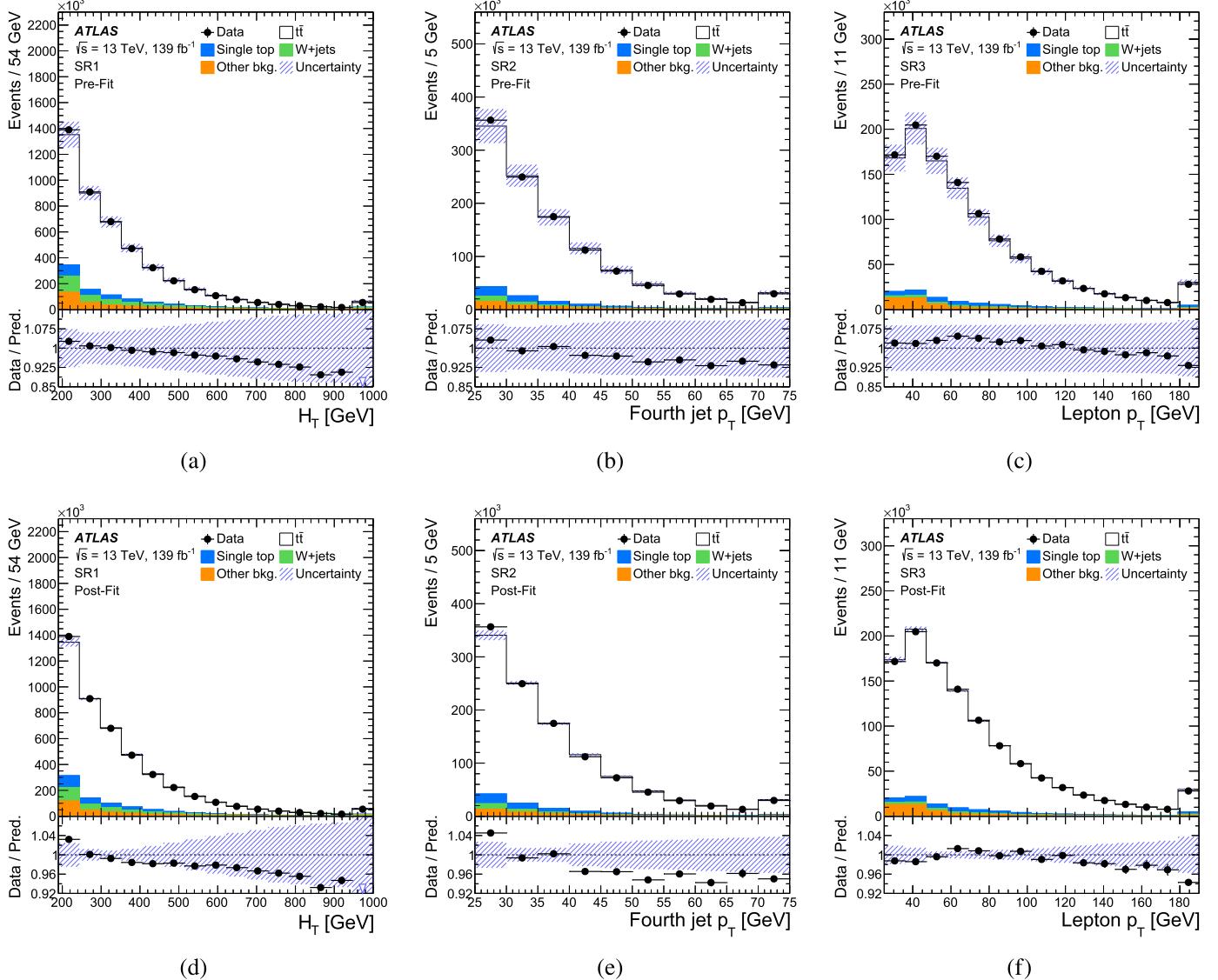


Fig. 2. Pre-fit (top) and post-fit (bottom) distributions of the scalar sum of jet transverse momenta in the event (H_T) in SR1 (left), the fourth largest jet p_T in SR2 (middle) and the lepton p_T in SR3 (right) for the fiducial cross-section measurement. The hatched bands represent combined statistical and systematic uncertainties. The first and last bins contain underflow and overflow events, respectively.

The approach to performing ME to parton shower matching differs between NLO generators and, in general, can be a source of uncertainty. However, it is not straightforward to separate the effect of the algorithmic difference in the implementation of such matching from other effects when replacing one ME generator by an alternative one, matched to the same parton shower. This may involve changes in the parameters of the parton shower that can lead to a much larger effect than the targeted one. For this reason, the effect of the generator choice is not included in the fit model. However, its impact on the result is checked by comparing two alternative $t\bar{t}$ samples generated with POWHEG-Box v2 and MADGRAPH5_aMC@NLO, both interfaced to HERWIG 7.1.3 [91]. A symmetrised difference between these two samples is applied as an additional systematic uncertainty, correlated between regions. No significant impact on the central value or the uncertainty is observed for either the inclusive or the fiducial measurements.

The stability of the result with respect to the choice of correlation scheme for the initial- and final-state radiation uncertainties, and for the μ_R and μ_F scale variations, was studied. In the alternative scheme, the uncertainties were treated as fully correlated

across the signal regions. No effect on either the measured cross-sections or the uncertainties was observed.

9. Conclusion

Measurements of the inclusive and fiducial $t\bar{t}$ production cross-sections are performed in the lepton+jets channel using proton-proton collision data at $\sqrt{s} = 13$ TeV recorded by the ATLAS detector at the LHC during 2015–2018, corresponding to an integrated luminosity of 139 fb^{-1} . The analysis is performed in three regions requiring different jet multiplicities and different numbers of b -tagged jets. The $t\bar{t}$ production cross-section and its uncertainty are extracted from a profile-likelihood fit to data of the distributions of discriminating variables in these three regions, assuming $m_t = 172.5 \text{ GeV}$. The fiducial cross-section is measured with a precision of 4.3% to be $\sigma_{\text{fid}} = 110.7 \pm 4.8 \text{ pb} = 110.7 \pm 0.05 \text{ (stat.)}^{+4.5}_{-4.3} \text{ (syst.)} \pm 1.9 \text{ (lumi.) pb}$, and the inclusive cross-section is measured with a precision of 4.6% to be $\sigma_{\text{inc}} = 830 \pm 38 \text{ pb} = 830 \pm 0.4 \text{ (stat.)} \pm 36 \text{ (syst.)} \pm 14 \text{ (lumi.) pb}$. The inclusive result is in agreement with the theoretical NNLO + NNLL

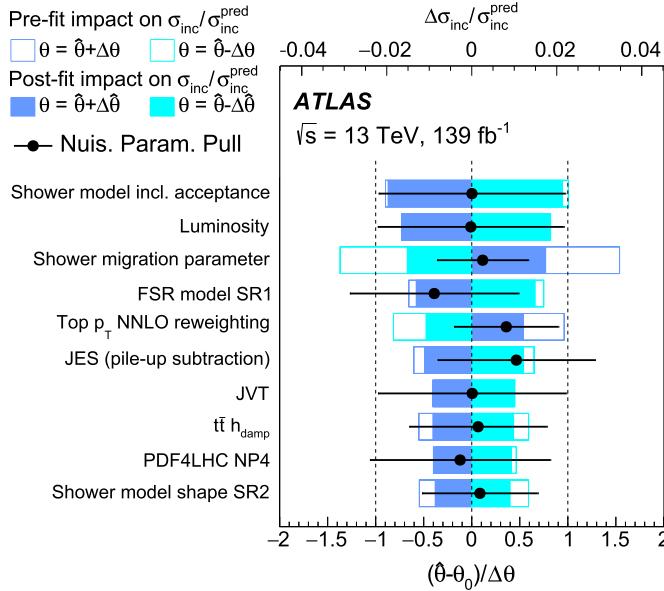


Fig. 3. Ranking plot showing the effect of the ten most important systematic uncertainties on the measured cross-section, normalised to the predicted value, in the inclusive fit to data. The impact of each NP, $\Delta\sigma_{\text{inc}}/\sigma_{\text{inc}}^{\text{pred}}$, is computed by comparing the nominal best-fit value of $\sigma_{\text{inc}}/\sigma_{\text{inc}}^{\text{pred}}$ with the result of the fit when fixing the considered nuisance parameter to its best-fit value, $\hat{\theta}$, shifted by its pre-fit and post-fit uncertainties $\pm\Delta\theta$ ($\pm\Delta\hat{\theta}$). The empty boxes show the pre-fit impact while the filled boxes show the post-fit impact of each nuisance parameter on the result. The black dots represent the post-fit value (pull) of each NP where the pre-fit value is subtracted, while the black line represents the post-fit uncertainty normalised to the pre-fit uncertainty. The “JES (pile-up subtraction)” is one of the 29 components of the JES uncertainty, the “FSR model SR1” is the FSR scale uncertainty in SR1 and the “PDF4LHC NP4” is one of the 30 independent PDF variations. Other components are described in Section 6.

Table 3

Impact of different categories of systematic uncertainties and data statistics on the fiducial and inclusive measurements. The quoted values are obtained by repeating the fit, fixing a set of nuisance parameters of the sources corresponding to the considered category, and subtracting in quadrature the resulting uncertainty from the total uncertainty of the nominal fit presented in the last line. The total uncertainty is different from the sum in quadrature of the different components due to correlations between nuisance parameters built by the fit. The categories are defined in Section 6.

Category	$\frac{\Delta\sigma_{\text{fid}}}{\sigma_{\text{fid}}} [\%]$	$\frac{\Delta\sigma_{\text{inc}}}{\sigma_{\text{inc}}} [\%]$
Signal modelling		
$t\bar{t}$ shower/hadronisation	± 2.8	± 2.9
$t\bar{t}$ scale variations	± 1.4	± 2.0
Top p_T NNLO reweighting	± 0.4	± 1.1
$t\bar{t} h_{\text{damp}}$	± 1.5	± 1.4
$t\bar{t}$ PDF	± 1.4	± 1.5
Background modelling		
MC background modelling	± 1.8	± 2.0
Multijet background	± 0.8	± 0.6
Detector modelling		
Jet reconstruction	± 2.5	± 2.6
Luminosity	± 1.7	± 1.7
Flavour tagging	± 1.2	± 1.3
E_T^{miss} + pile-up	± 0.3	± 0.3
Muon reconstruction	± 0.6	± 0.5
Electron reconstruction	± 0.7	± 0.6
Simulation stat. uncertainty	± 0.6	± 0.7
Total systematic uncertainty		
Data statistical uncertainty	± 0.05	± 0.05
Total uncertainty		
	± 4.3	± 4.6

QCD calculation as well as with the ATLAS measurement in the electron–muon channel and with CMS measurements.

Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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 L.R. Flores Castillo ^{63a}, F.M. Follega ^{76a,76b}, N. Fomin ¹⁷, J.H. Foo ¹⁶⁶, G.T. Forcolin ^{76a,76b}, B.C. Forland ⁶⁶,
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 L. Franconi ²⁰, M. Franklin ⁵⁹, G. Frattari ^{73a,73b}, A.N. Fray ⁹³, P.M. Freeman ²¹, B. Freund ¹¹⁰,
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Johnson ⁶⁶, E. Jones ¹⁷⁷, R.W.L. Jones ⁹⁰, S.D. Jones ¹⁵⁵, T.J. Jones ⁹¹, J. Jongmanns ^{61a}, J. Jovicevic ³⁶, X. Ju ¹⁸, J.J. Junggeburth ¹¹⁵, A. Juste Rozas ^{14,w}, A. Kaczmarska ⁸⁵, M. Kado ^{73a,73b}, H. Kagan ¹²⁷, M. Kagan ¹⁵², A. Kahn ³⁹, C. Kahra ¹⁰⁰, T. Kaji ¹⁷⁸, E. Kajomovitz ¹⁵⁹, C.W. Kalderon ²⁹, A. Kaluza ¹⁰⁰, A. Kamenshchikov ¹²³, M. Kaneda ¹⁶², N.J. Kang ¹⁴⁵, S. Kang ⁷⁹, Y. Kano ¹¹⁷, J. Kanzaki ⁸², L.S. Kaplan ¹⁸⁰, D. Kar ^{33e}, K. Karava ¹³⁴, M.J. Kareem ^{167b}, I. Karkanias ¹⁶¹, S.N. Karpov ⁸⁰, Z.M. Karpova ⁸⁰, V. Kartvelishvili ⁹⁰, A.N. Karyukhin ¹²³, E. Kasimi ¹⁶¹, A. Kastanas ^{45a,45b}, C. Kato ^{60d}, J. Katzy ⁴⁶, K. Kawade ¹⁴⁹, K. Kawagoe ⁸⁸, T. Kawaguchi ¹¹⁷, T. Kawamoto ¹⁴⁴, G. Kawamura ⁵³, E.F. Kay ¹⁷⁵, S. Kazakos ¹⁴, V.F. Kazanin ^{122b,122a}, J.M. Keaveney ^{33a}, R. Keeler ¹⁷⁵, J.S. Keller ³⁴, E. Kellermann ⁹⁷, D. Kelsey ¹⁵⁵, J.J. Kempster ²¹, J. Kendrick ²¹, K.E. Kennedy ³⁹, O. Kepka ¹⁴⁰, S. Kersten ¹⁸¹, B.P. Kerševan ⁹², S. Katabchi Haghigat ¹⁶⁶, M. Khader ¹⁷², F. Khalil-Zada ¹³, M. Khandoga ¹⁴⁴, A. Khanov ¹²⁹, A.G. Kharlamov ^{122b,122a}, T. Kharlamova ^{122b,122a}, E.E. Khoda ¹⁷⁴, A. Khodinov ¹⁶⁵, T.J. Khoo ⁵⁴, G. Khoriauli ¹⁷⁶, E. Khramov ⁸⁰, J. Khubua ^{158b}, S. Kido ⁸³, M. Kiehn ³⁶, E. Kim ¹⁶⁴, Y.K. Kim ³⁷, N. Kimura ⁹⁵, A. Kirchhoff ⁵³, D. Kirchmeier ⁴⁸, J. Kirk ¹⁴³, A.E. Kiryunin ¹¹⁵, T. Kishimoto ¹⁶², D.P. Kisliuk ¹⁶⁶, V. Kitali ⁴⁶, C. Kitsaki ¹⁰, O. Kivernyk ²⁴, T. Klapdor-Kleingrothaus ⁵², M. Klassen ^{61a}, C. Klein ³⁴, M.H. Klein ¹⁰⁶, M. Klein ⁹¹, U. Klein ⁹¹, K. Kleinknecht ¹⁰⁰, P. Klimek ¹²¹, A. Klimentov ²⁹, T. Klingl ²⁴, T. Klioutchnikova ³⁶, F.F. Klitzner ¹¹⁴, P. Kluit ¹²⁰, S. Kluth ¹¹⁵, E. Knerner ⁷⁷, E.B.F.G. Knoops ¹⁰², A. Knue ⁵², D. Kobayashi ⁸⁸, M. Kobel ⁴⁸, M. Kocian ¹⁵², T. Kodama ¹⁶², P. Kodys ¹⁴², D.M. Koeck ¹⁵⁵, P.T. Koenig ²⁴, T. Koffas ³⁴, N.M. Köhler ³⁶, M. Kolb ¹⁴⁴, I. Koletsou ⁵, T. Komarek ¹³⁰, T. Kondo ⁸², K. Köneke ⁵², A.X.Y. Kong ¹, A.C. König ¹¹⁹, T. Kono ¹²⁶, V. Konstantinides ⁹⁵, N. Konstantinidis ⁹⁵, B. Konya ⁹⁷, R. Kopeliansky ⁶⁶, S. Koperny ^{84a}, K. Korcyl ⁸⁵, K. Kordas ¹⁶¹, G. Koren ¹⁶⁰, A. Korn ⁹⁵, I. Korolkov ¹⁴, E.V. Korolkova ¹⁴⁸, N. Korotkova ¹¹³, O. Kortner ¹¹⁵, S. Kortner ¹¹⁵, V.V. Kostyukhin ^{148,165}, A. Kotsokechagia ⁶⁵, A. Kotwal ⁴⁹, A. Koulouris ¹⁰, A. Kourkoumeli-Charalampidi ^{71a,71b}, C. Kourkoumelis ⁹, E. Kourlitis ⁶, V. Kouskoura ²⁹, R. Kowalewski ¹⁷⁵, W. Kozanecki ¹⁰¹, A.S. Kozhin ¹²³, V.A. Kramarenko ¹¹³, G. Kramberger ⁹², D. Krasnopevtsev ^{60a}, M.W. Krasny ¹³⁵, A. Krasznahorkay ³⁶, D. Krauss ¹¹⁵, J.A. Kremer ¹⁰⁰, J. Kretzschmar ⁹¹, P. Krieger ¹⁶⁶, F. Krieter ¹¹⁴, A. Krishnan ^{61b}, M. Krivos ¹⁴², K. Krizka ¹⁸, K. Kroeninger ⁴⁷, H. Kroha ¹¹⁵, J. Kroll ¹⁴⁰, J. Kroll ¹³⁶, K.S. Krowpman ¹⁰⁷, U. Kruchonak ⁸⁰, H. Krüger ²⁴, N. Krumnack ⁷⁹, M.C. Kruse ⁴⁹, J.A. Krzysiak ⁸⁵, A. Kubota ¹⁶⁴, O. Kuchinskaia ¹⁶⁵, S. Kuday ^{4b}, J.T. Kuechler ⁴⁶, S. Kuehn ³⁶, T. Kuhl ⁴⁶, V. Kukhtin ⁸⁰, Y. Kulchitsky ^{108,ae}, S. Kuleshov ^{146b}, Y.P. Kulinich ¹⁷², M. Kuna ⁵⁸, A. Kupco ¹⁴⁰, T. Kupfer ⁴⁷, O. Kuprash ⁵², H. Kurashige ⁸³, L.L. Kurchaninov ^{167a}, Y.A. Kurochkin ¹⁰⁸, A. Kurova ¹¹², M.G. Kurth ^{15a,15d}, E.S. Kuwertz ³⁶, M. Kuze ¹⁶⁴, A.K. Kvam ¹⁴⁷, J. Kvita ¹³⁰, T. Kwan ¹⁰⁴, F. La Ruffa ^{41b,41a}, C. Lacasta ¹⁷³, F. Lacava ^{73a,73b}, D.P.J. Lack ¹⁰¹, H. Lacker ¹⁹, D. Lacour ¹³⁵, E. Ladygin ⁸⁰, R. Lafaye ⁵, B. Laforge ¹³⁵, T. Lagouri ^{146c}, S. Lai ⁵³, I.K. Lakomiec ^{84a}, J.E. Lambert ¹²⁸, S. Lammers ⁶⁶, W. Lampl ⁷, C. Lampoudis ¹⁶¹, E. Lançon ²⁹, U. Landgraf ⁵², M.P.J. Landon ⁹³, M.C. Lanfermann ⁵⁴, V.S. Lang ⁵², J.C. Lange ⁵³, R.J. Langenberg ¹⁰³, A.J. Lankford ¹⁷⁰, F. Lanni ²⁹, K. Lantzsch ²⁴, A. Lanza ^{71a}, A. Lapertosa ^{55b,55a}, J.F. Laporte ¹⁴⁴, T. Lari ^{69a}, F. Lasagni Manghi ^{23b,23a}, M. Lassnig ³⁶, T.S. Lau ^{63a}, A. Laudrain ¹⁰⁰, A. Laurier ³⁴, M. Lavorgna ^{70a,70b}, S.D. Lawlor ⁹⁴, M. Lazzaroni ^{69a,69b}, B. Le ¹⁰¹, E. Le Guirriec ¹⁰², A. Lebedev ⁷⁹, M. LeBlanc ⁷, T. LeCompte ⁶, F. Ledroit-Guillon ⁵⁸, A.C.A. Lee ⁹⁵, C.A. Lee ²⁹, G.R. Lee ¹⁷, L. Lee ⁵⁹, S.C. Lee ¹⁵⁷, S. Lee ⁷⁹, B. Lefebvre ^{167a}, H.P. Lefebvre ⁹⁴, M. Lefebvre ¹⁷⁵, C. Leggett ¹⁸, K. Lehmann ¹⁵¹, N. Lehmann ²⁰, G. Lehmann Miotto ³⁶, W.A. Leight ⁴⁶, A. Leisos ^{161,u}, M.A.L. Leite ^{81d}, C.E. Leitgeb ¹¹⁴, R. Leitner ¹⁴², D. Lellouch ^{179,*}, K.J.C. Leney ⁴², T. Lenz ²⁴, S. Leone ^{72a}, C. Leonidopoulos ⁵⁰, A. Leopold ¹³⁵, C. Leroy ¹¹⁰, R. Les ¹⁰⁷, C.G. Lester ³², M. Levchenko ¹³⁷, J. Levêque ⁵, D. Levin ¹⁰⁶, L.J. Levinson ¹⁷⁹, D.J. Lewis ²¹, B. Li ^{15b}, B. Li ¹⁰⁶, C.-Q. Li ^{60a}, F. Li ^{60c}, H. Li ^{60a}, H. Li ^{60b}, J. Li ^{60c}, K. Li ¹⁴⁷, L. Li ^{60c}, M. Li ^{15a,15d}, Q. Li ^{15a,15d}, Q.Y. Li ^{60a}, S. Li ^{60d,60c}, X. Li ⁴⁶, Y. Li ⁴⁶, Z. Li ^{60b}, Z. Li ¹³⁴, Z. Li ¹⁰⁴, Z. Liang ^{15a}, M. Liberatore ⁴⁶, B. Liberti ^{74a}, A. Liblong ¹⁶⁶, K. Lie ^{63c}, S. Lim ²⁹, C.Y. Lin ³², K. Lin ¹⁰⁷, R.A. Linck ⁶⁶, R.E. Lindley ⁷, J.H. Lindon ²¹, A. Linss ⁴⁶, A.L. Lioni ⁵⁴, E. Lipeles ¹³⁶,

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Lysak ¹⁴⁰, E. Lytken ⁹⁷, F. Lyu ^{15a}, V. Lyubushkin ⁸⁰, T. Lyubushkina ⁸⁰, H. Ma ²⁹, L.L. Ma ^{60b}, Y. Ma ⁹⁵, D.M. Mac Donell ¹⁷⁵, G. Maccarrone ⁵¹, A. Macchiolo ¹¹⁵, C.M. Macdonald ¹⁴⁸, J.C. MacDonald ¹⁴⁸, J. Machado Miguens ¹³⁶, D. Madaffari ¹⁷³, R. Madar ³⁸, W.F. Mader ⁴⁸, M. Madugoda Ralalage Don ¹²⁹, N. Madysa ⁴⁸, J. Maeda ⁸³, T. Maeno ²⁹, M. Maerker ⁴⁸, V. Magerl ⁵², N. Magini ⁷⁹, J. Magro ^{67a,67c,q}, D.J. Mahon ³⁹, C. Maidantchik ^{81b}, T. Maier ¹¹⁴, A. Maio ^{139a,139b,139d}, K. Maj ^{84a}, O. Majersky ^{28a}, S. Majewski ¹³¹, Y. Makida ⁸², N. Makovec ⁶⁵, B. Malaescu ¹³⁵, Pa. Malecki ⁸⁵, V.P. Maleev ¹³⁷, F. Malek ⁵⁸, D. Malito ^{41b,41a}, U. Mallik ⁷⁸, D. Malon ⁶, C. Malone ³², S. Maltezos ¹⁰, S. Malyukov ⁸⁰, J. Mamuzic ¹⁷³, G. Mancini ^{70a,70b}, I. Mandić ⁹², L. Manhaes de Andrade Filho ^{81a}, I.M. Maniatis ¹⁶¹, J. Manjarres Ramos ⁴⁸, K.H. Mankinen ⁹⁷, A. Mann ¹¹⁴, A. Manousos ⁷⁷, B. Mansoulie ¹⁴⁴, I. Manthos ¹⁶¹, S. Manzoni ¹²⁰, A. Marantis ¹⁶¹, G. Marceca ³⁰, L. Marchese ¹³⁴, G. Marchiori ¹³⁵, M. Marcisovsky ¹⁴⁰, L. Marcoccia ^{74a,74b}, C. Marcon ⁹⁷, M. Marjanovic ¹²⁸, Z. Marshall ¹⁸, M.U.F. Martensson ¹⁷¹, S. Marti-Garcia ¹⁷³, C.B. Martin ¹²⁷, T.A. Martin ¹⁷⁷, V.J. Martin ⁵⁰, B. Martin dit Latour ¹⁷, L. Martinelli ^{75a,75b}, M. Martinez ^{14,w}, P. Martinez Agullo ¹⁷³, V.I. Martinez Outschoorn ¹⁰³, S. Martin-Haugh ¹⁴³, V.S. Martoiu ^{27b}, A.C. Martyniuk ⁹⁵, A. Marzin ³⁶, S.R. Maschek ¹¹⁵, L. Masetti ¹⁰⁰, T. Mashimo ¹⁶², R. Mashinistov ¹¹¹, J. Masik ¹⁰¹, A.L. Maslennikov ^{122b,122a}, L. Massa ^{23b,23a}, P. Massarotti ^{70a,70b}, P. Mastrandrea ^{72a,72b}, A. Mastroberardino ^{41b,41a}, T. Masubuchi ¹⁶², D. Matakias ²⁹, A. Matic ¹¹⁴, N. Matsuzawa ¹⁶², P. Mättig ²⁴, J. Maurer ^{27b}, B. Maček ⁹², D.A. Maximov ^{122b,122a}, R. Mazini ¹⁵⁷, I. Maznas ¹⁶¹, S.M. Mazza ¹⁴⁵, J.P. Mc Gowan ¹⁰⁴, S.P. Mc Kee ¹⁰⁶, T.G. McCarthy ¹¹⁵, W.P. McCormack ¹⁸, E.F. McDonald ¹⁰⁵, A.E. McDougall ¹²⁰, J.A. McFayden ¹⁸, G. Mchedlidze ^{158b}, M.A. McKay ⁴², K.D. McLean ¹⁷⁵, S.J. McMahon ¹⁴³, P.C. McNamara ¹⁰⁵, C.J. McNicol ¹⁷⁷, R.A. McPherson ^{175,ab}, J.E. Mdhluli ^{33e}, Z.A. Meadows ¹⁰³, S. Meehan ³⁶, T. Megy ³⁸, S. Mehlhase ¹¹⁴, A. Mehta ⁹¹, B. Meirose ⁴³, D. Melini ¹⁵⁹, B.R. Mellado Garcia ^{33e}, J.D. Mellenthin ⁵³, M. Melo ^{28a}, F. Meloni ⁴⁶, A. Melzer ²⁴, E.D. Mendes Gouveia ^{139a,139e}, A.M. Mendes Jacques Da Costa ²¹, L. Meng ³⁶, X.T. Meng ¹⁰⁶, S. Menke ¹¹⁵, E. Meoni ^{41b,41a}, S. Mergelmeyer ¹⁹, S.A.M. Merkt ¹³⁸, C. Merlassino ¹³⁴, P. Mermod ⁵⁴, L. Merola ^{70a,70b}, C. Meroni ^{69a}, G. Merz ¹⁰⁶, O. Meshkov ^{113,111}, J.K.R. Meshreki ¹⁵⁰, J. Metcalfe ⁶, A.S. Mete ⁶, C. Meyer ⁶⁶, J.-P. Meyer ¹⁴⁴, M. Michetti ¹⁹, R.P. Middleton ¹⁴³, L. Mijović ⁵⁰, G. Mikenberg ¹⁷⁹, M. Mikestikova ¹⁴⁰, M. Mikuž ⁹², H. Mildner ¹⁴⁸, A. Milic ¹⁶⁶, C.D. Milke ⁴², D.W. Miller ³⁷, A. Milov ¹⁷⁹, D.A. Milstead ^{45a,45b}, R.A. Mina ¹⁵², A.A. Minaenko ¹²³, I.A. Minashvili ^{158b}, A.I. Mincer ¹²⁵, B. Mindur ^{84a}, M. Mineev ⁸⁰, Y. Minegishi ¹⁶², Y. Mino ⁸⁶, L.M. Mir ¹⁴, M. Mironova ¹³⁴, A. Mirto ^{68a,68b}, K.P. Mistry ¹³⁶, T. Mitani ¹⁷⁸, J. Mitrevski ¹¹⁴, V.A. Mitsou ¹⁷³, M. Mittal ^{60c}, O. Miu ¹⁶⁶, A. Miucci ²⁰, P.S. Miyagawa ⁹³, A. Mizukami ⁸², J.U. Mjörnmark ⁹⁷, T. Mkrtchyan ^{61a}, M. Mlynarikova ¹⁴², T. Moa ^{45a,45b}, S. Mobiüs ⁵³, K. Mochizuki ¹¹⁰, P. Mogg ¹¹⁴, S. Mohapatra ³⁹, R. Moles-Valls ²⁴, K. Mönig ⁴⁶, E. Monnier ¹⁰², A. Montalbano ¹⁵¹, J. Montejo Berlingen ³⁶, M. Montella ⁹⁵, F. Monticelli ⁸⁹, S. Monzani ^{69a}, K. Moor ⁵³, N. Morange ⁶⁵, A.L. Moreira De Carvalho ^{139a}, D. Moreno ^{22a}, M. Moreno Llácer ¹⁷³, C. Moreno Martinez ¹⁴, P. Morettini ^{55b}, M. Morgenstern ¹⁵⁹, S. Morgenstern ⁴⁸, D. Mori ¹⁵¹, M. Morii ⁵⁹, M. Morinaga ¹⁷⁸, V. Morisbak ¹³³, A.K. Morley ³⁶, G. Mornacchi ³⁶, A.P. Morris ⁹⁵, L. Morvaj ¹⁵⁴, P. Moschovakos ³⁶, B. Moser ¹²⁰, M. Mosidze ^{158b}, T. Moskalets ¹⁴⁴, J. Moss ^{31,m}, E.J.W. Moyse ¹⁰³, S. Muanza ¹⁰², J. Mueller ¹³⁸, R.S.P. Mueller ¹¹⁴, D. Muenstermann ⁹⁰, G.A. Mullier ⁹⁷, D.P. Mungo ^{69a,69b}, J.L. Munoz Martinez ¹⁴, F.J. Munoz Sanchez ¹⁰¹, P. Murin ^{28b}, W.J. Murray ^{177,143}, A. Murrone ^{69a,69b}, J.M. Muse ¹²⁸, M. Muškinja ¹⁸, C. Mwewa ^{33a}, A.G. Myagkov ^{123,ag}, A.A. Myers ¹³⁸, G. Myers ⁶⁶, J. Myers ¹³¹, M. Myska ¹⁴¹, B.P. Nachman ¹⁸, O. Nackenhorst ⁴⁷, A. Nag Nag ⁴⁸, K. Nagai ¹³⁴, K. Nagano ⁸², Y. Nagasaka ⁶², J.L. Nagle ²⁹, E. Nagy ¹⁰², A.M. Nairz ³⁶, Y. Nakahama ¹¹⁷, K. Nakamura ⁸², T. Nakamura ¹⁶², H. Nanjo ¹³², F. Napolitano ^{61a}, R.F. Naranjo Garcia ⁴⁶, R. Narayan ⁴², I. Naryshkin ¹³⁷, M. Naseri ³⁴, T. Naumann ⁴⁶, G. Navarro ^{22a}, P.Y. Nechaeva ¹¹¹, F. Nechansky ⁴⁶, T.J. Neep ²¹, A. Negri ^{71a,71b}, M. Negrini ^{23b},

- C. Nellist 119, C. Nelson 104, M.E. Nelson 45a,45b, S. Nemecek 140, M. Nessi 36,e, M.S. Neubauer 172,
 F. Neuhaus 100, M. Neumann 181, R. Newhouse 174, P.R. Newman 21, C.W. Ng 138, Y.S. Ng 19, Y.W.Y. Ng 170,
 B. Ngair 35e, H.D.N. Nguyen 102, T. Nguyen Manh 110, E. Nibigira 38, R.B. Nickerson 134, R. Nicolaidou 144,
 D.S. Nielsen 40, J. Nielsen 145, M. Niemeyer 53, N. Nikiforou 11, V. Nikolaenko 123,ag, I. Nikolic-Audit 135,
 K. Nikolopoulos 21, P. Nilsson 29, H.R. Nindhito 54, A. Nisati 73a, N. Nishu 60c, R. Nisius 115, I. Nitsche 47,
 T. Nitta 178, T. Nobe 162, D.L. Noel 32, Y. Noguchi 86, I. Nomidis 135, M.A. Nomura 29, M. Nordberg 36,
 J. Novak 92, T. Novak 92, O. Novgorodova 48, R. Novotny 141, L. Nozka 130, K. Ntekas 170, E. Nurse 95,
 F.G. Oakham 34,al, H. Oberlack 115, J. Ocariz 135, A. Ochi 83, I. Ochoa 39, J.P. Ochoa-Ricoux 146a,
 K. O'Connor 26, S. Oda 88, S. Odaka 82, S. Oerdekk 53, A. Ogrodnik 84a, A. Oh 101, C.C. Ohm 153, H. Oide 164,
 M.L. Ojeda 166, H. Okawa 168, Y. Okazaki 86, M.W. O'Keefe 91, Y. Okumura 162, A. Olariu 27b,
 L.F. Oleiro Seabra 139a, S.A. Olivares Pino 146a, D. Oliveira Damazio 29, J.L. Oliver 1, M.J.R. Olsson 170,
 A. Olszewski 85, J. Olszowska 85, Ö.O. Öncel 24, D.C. O'Neil 151, A.P. O'Neill 134, A. Onofre 139a,139e,
 P.U.E. Onyisi 11, H. Oppen 133, R.G. Oreamuno Madriz 121, M.J. Oreglia 37, G.E. Orellana 89,
 D. Orestano 75a,75b, N. Orlando 14, R.S. Orr 166, V. O'Shea 57, R. Osipov 60a, G. Otero y Garzon 30,
 H. Otono 88, P.S. Ott 61a, G.J. Ottino 18, M. Ouchrif 35d, J. Ouellette 29, F. Ould-Saada 133, A. Ourau 144,
 Q. Ouyang 15a, M. Owen 57, R.E. Owen 143, V.E. Ozcan 12c, N. Ozturk 8, J. Pacalt 130, H.A. Pacey 32,
 K. Pachal 49, A. Pacheco Pages 14, C. Padilla Aranda 14, S. Pagan Griso 18, G. Palacino 66, S. Palazzo 50,
 S. Palestini 36, M. Palka 84b, P. Palni 84a, C.E. Pandini 54, J.G. Panduro Vazquez 94, P. Pani 46,
 G. Panizzo 67a,67c, L. Paolozzi 54, C. Papadatos 110, K. Papageorgiou 9,g, S. Parajuli 42, A. Paramonov 6,
 C. Paraskevopoulos 10, D. Paredes Hernandez 63b, S.R. Paredes Saenz 134, B. Parida 179, T.H. Park 166,
 A.J. Parker 31, M.A. Parker 32, F. Parodi 55b,55a, E.W. Parrish 121, J.A. Parsons 39, U. Parzefall 52,
 L. Pascual Dominguez 135, V.R. Pascuzzi 18, J.M.P. Pasner 145, F. Pasquali 120, E. Pasqualucci 73a,
 S. Passaggio 55b, F. Pastore 94, P. Pasuwan 45a,45b, S. Pataraia 100, J.R. Pater 101, A. Pathak 180,i, J. Patton 91,
 T. Pauly 36, J. Pearkes 152, B. Pearson 115, M. Pedersen 133, L. Pedraza Diaz 119, R. Pedro 139a, T. Peiffer 53,
 S.V. Peleganchuk 122b,122a, O. Penc 140, H. Peng 60a, B.S. Peralva 81a, M.M. Perego 65,
 A.P. Pereira Peixoto 139a, L. Pereira Sanchez 45a,45b, D.V. Perepelitsa 29, E. Perez Codina 167a, F. Peri 19,
 L. Perini 69a,69b, H. Pernegger 36, S. Perrella 36, A. Perrevoort 120, K. Peters 46, R.F.Y. Peters 101,
 B.A. Petersen 36, T.C. Petersen 40, E. Petit 102, V. Petousis 141, A. Petridis 1, C. Petridou 161, P. Petroff 65,
 F. Petrucci 75a,75b, M. Pettee 182, N.E. Pettersson 103, K. Petukhova 142, A. Peyaud 144, R. Pezoa 146d,
 L. Pezzotti 71a,71b, T. Pham 105, P.W. Phillips 143, M.W. Phipps 172, G. Piacquadio 154, E. Pianori 18,
 A. Picazio 103, R.H. Pickles 101, R. Piegaia 30, D. Pietreanu 27b, J.E. Pilcher 37, A.D. Pilkington 101,
 M. Pinamonti 67a,67c, J.L. Pinfold 3, C. Pitman Donaldson 95, M. Pitt 160, L. Pizzimento 74a,74b, A. Pizzini 120,
 M.-A. Pleier 29, V. Plesanovs 52, V. Pleskot 142, E. Plotnikova 80, P. Podberezko 122b,122a, R. Poettgen 97,
 R. Poggi 54, L. Poggioli 135, I. Pogrebnyak 107, D. Pohl 24, I. Pokharel 53, G. Polesello 71a, A. Poley 151,167a,
 A. Policicchio 73a,73b, R. Polifka 142, A. Polini 23b, C.S. Pollard 46, V. Polychronakos 29, D. Ponomarenko 112,
 L. Pontecorvo 36, S. Popa 27a, G.A. Popeneciu 27d, L. Portales 5, D.M. Portillo Quintero 58, S. Pospisil 141,
 K. Potamianos 46, I.N. Potrap 80, C.J. Potter 32, H. Potti 11, T. Poulsen 97, J. Poveda 173, T.D. Powell 148,
 G. Pownall 46, M.E. Pozo Astigarraga 36, A. Prades Ibanez 173, P. Pralavorio 102, S. Prell 79, D. Price 101,
 M. Primavera 68a, M.L. Proffitt 147, N. Proklova 112, K. Prokofiev 63c, F. Prokoshin 80, S. Protopopescu 29,
 J. Proudfoot 6, M. Przybycien 84a, D. Pudzha 137, A. Puri 172, P. Puzo 65, D. Pyatiizbyantseva 112, J. Qian 106,
 Y. Qin 101, A. Quadt 53, M. Queitsch-Maitland 36, M. Racko 28a, F. Ragusa 69a,69b, G. Rahal 98, J.A. Raine 54,
 S. Rajagopalan 29, A. Ramirez Morales 93, K. Ran 15a,15d, D.M. Rauch 46, F. Rauscher 114, S. Rave 100,
 B. Ravina 57, I. Ravinovich 179, J.H. Rawling 101, M. Raymond 36, A.L. Read 133, N.P. Readioff 148,
 M. Reale 68a,68b, D.M. Rebuzzi 71a,71b, G. Redlinger 29, K. Reeves 43, J. Reichert 136, D. Reikher 160,
 A. Reiss 100, A. Rej 150, C. Rembser 36, A. Renardi 46, M. Renda 27b, M.B. Rendel 115, A.G. Rennie 57,
 S. Resconi 69a, E.D. Ressegueie 18, S. Rettie 95, B. Reynolds 127, E. Reynolds 21, O.L. Rezanova 122b,122a,
 P. Reznicek 142, E. Ricci 76a,76b, R. Richter 115, S. Richter 46, E. Richter-Was 84b, M. Ridel 135, P. Rieck 115,
 O. Rifki 46, M. Rijssenbeek 154, A. Rimoldi 71a,71b, M. Rimoldi 46, L. Rinaldi 23b, T.T. Rinn 172,
 G. Ripellino 153, I. Riu 14, P. Rivadeneira 46, J.C. Rivera Vergara 175, F. Rizatdinova 129, E. Rizvi 93, C. Rizzi 36,
 S.H. Robertson 104,ab, M. Robin 46, D. Robinson 32, C.M. Robles Gajardo 146d, M. Robles Manzano 100,
 A. Robson 57, A. Rocchi 74a,74b, E. Rocco 100, C. Roda 72a,72b, S. Rodriguez Bosca 173,
 A.M. Rodriguez Vera 167b, S. Roe 36, J. Roggel 181, O. Røhne 133, R. Röhrg 115, R.A. Rojas 146d, B. Roland 52,
 C.P.A. Roland 66, J. Roloff 29, A. Romanouk 112, M. Romano 23b,23a, N. Rompotis 91, M. Ronzani 125,

- L. Roos ¹³⁵, S. Rosati ^{73a}, G. Rosin ¹⁰³, B.J. Rosser ¹³⁶, E. Rossi ⁴⁶, E. Rossi ^{75a,75b}, E. Rossi ^{70a,70b},
 L.P. Rossi ^{55b}, L. Rossini ⁴⁶, R. Rosten ¹⁴, M. Rotaru ^{27b}, B. Rottler ⁵², D. Rousseau ⁶⁵, G. Rovelli ^{71a,71b},
 A. Roy ¹¹, D. Roy ^{33e}, A. Rozanov ¹⁰², Y. Rozen ¹⁵⁹, X. Ruan ^{33e}, T.A. Ruggeri ¹, F. Rühr ⁵²,
 A. Ruiz-Martinez ¹⁷³, A. Rummler ³⁶, Z. Rurikova ⁵², N.A. Rusakovich ⁸⁰, H.L. Russell ¹⁰⁴, L. Rustige ^{38,47},
 J.P. Rutherford ⁷, E.M. Rüttinger ¹⁴⁸, M. Rybar ¹⁴², G. Rybkin ⁶⁵, E.B. Rye ¹³³, A. Ryzhov ¹²³,
 J.A. Sabater Iglesias ⁴⁶, P. Sabatini ⁵³, L. Sabetta ^{73a,73b}, S. Sacerdoti ⁶⁵, H.F-W. Sadrozinski ¹⁴⁵,
 R. Sadykov ⁸⁰, F. Safai Tehrani ^{73a}, B. Safarzadeh Samani ¹⁵⁵, M. Safdari ¹⁵², P. Saha ¹²¹, S. Saha ¹⁰⁴,
 M. Sahinsoy ¹¹⁵, A. Sahu ¹⁸¹, M. Saimpert ³⁶, M. Saito ¹⁶², T. Saito ¹⁶², H. Sakamoto ¹⁶², D. Salamani ⁵⁴,
 G. Salamanna ^{75a,75b}, A. Salnikov ¹⁵², J. Salt ¹⁷³, A. Salvador Salas ¹⁴, D. Salvatore ^{41b,41a}, F. Salvatore ¹⁵⁵,
 A. Salvucci ^{63a,63b,63c}, A. Salzburger ³⁶, J. Samarati ³⁶, D. Sammel ⁵², D. Sampsonidis ¹⁶¹,
 D. Sampsonidou ¹⁶¹, J. Sánchez ¹⁷³, A. Sanchez Pineda ^{67a,36,67c}, H. Sandaker ¹³³, C.O. Sander ⁴⁶,
 I.G. Sanderswood ⁹⁰, M. Sandhoff ¹⁸¹, C. Sandoval ^{22b}, D.P.C. Sankey ¹⁴³, M. Sannino ^{55b,55a}, Y. Sano ¹¹⁷,
 A. Sansoni ⁵¹, C. Santoni ³⁸, H. Santos ^{139a,139b}, S.N. Santpur ¹⁸, A. Santra ¹⁷³, K.A. Saoucha ¹⁴⁸,
 A. Sapronov ⁸⁰, J.G. Saraiva ^{139a,139d}, O. Sasaki ⁸², K. Sato ¹⁶⁸, F. Sauerburger ⁵², E. Sauvan ⁵, P. Savard ^{166,al},
 R. Sawada ¹⁶², C. Sawyer ¹⁴³, L. Sawyer ^{96,af}, I. Sayago Galvan ¹⁷³, C. Sbarra ^{23b}, A. Sbrizzi ^{67a,67c},
 T. Scanlon ⁹⁵, J. Schaarschmidt ¹⁴⁷, P. Schacht ¹¹⁵, D. Schaefer ³⁷, L. Schaefer ¹³⁶, S. Schaepe ³⁶,
 U. Schäfer ¹⁰⁰, A.C. Schaffer ⁶⁵, D. Schaire ¹¹⁴, R.D. Schamberger ¹⁵⁴, E. Schanet ¹¹⁴, C. Scharf ¹⁹,
 N. Scharnberg ¹⁰¹, V.A. Schegelsky ¹³⁷, D. Scheirich ¹⁴², F. Schenck ¹⁹, M. Schernau ¹⁷⁰, C. Schiavi ^{55b,55a},
 L.K. Schildgen ²⁴, Z.M. Schillaci ²⁶, E.J. Schioppa ^{68a,68b}, M. Schioppa ^{41b,41a}, K.E. Schleicher ⁵²,
 S. Schlenker ³⁶, K.R. Schmidt-Sommerfeld ¹¹⁵, K. Schmieden ³⁶, C. Schmitt ¹⁰⁰, S. Schmitt ⁴⁶,
 L. Schoeffel ¹⁴⁴, A. Schoening ^{61b}, P.G. Scholer ⁵², E. Schopf ¹³⁴, M. Schott ¹⁰⁰, J.F.P. Schouwenberg ¹¹⁹,
 J. Schovancova ³⁶, S. Schramm ⁵⁴, F. Schroeder ¹⁸¹, A. Schulte ¹⁰⁰, H-C. Schultz-Coulon ^{61a},
 M. Schumacher ⁵², B.A. Schumm ¹⁴⁵, Ph. Schune ¹⁴⁴, A. Schwartzman ¹⁵², T.A. Schwarz ¹⁰⁶,
 Ph. Schwemling ¹⁴⁴, R. Schwienhorst ¹⁰⁷, A. Sciandra ¹⁴⁵, G. Sciolla ²⁶, M. Scornajenghi ^{41b,41a}, F. Scuri ^{72a},
 F. Scutti ¹⁰⁵, L.M. Scyboz ¹¹⁵, C.D. Sebastiani ⁹¹, P. Seema ¹⁹, S.C. Seidel ¹¹⁸, A. Seiden ¹⁴⁵, B.D. Seidlitz ²⁹,
 T. Seiss ³⁷, C. Seitz ⁴⁶, J.M. Seixas ^{81b}, G. Sekhniaidze ^{70a}, S.J. Sekula ⁴², N. Semprini-Cesari ^{23b,23a}, S. Sen ⁴⁹,
 C. Serfon ²⁹, L. Serin ⁶⁵, L. Serkin ^{67a,67b}, M. Sessa ^{60a}, H. Severini ¹²⁸, S. Sevova ¹⁵², F. Sforza ^{55b,55a},
 A. Sfyrla ⁵⁴, E. Shabalina ⁵³, J.D. Shahinian ¹⁴⁵, N.W. Shaikh ^{45a,45b}, D. Shaked Renous ¹⁷⁹, L.Y. Shan ^{15a},
 M. Shapiro ¹⁸, A. Sharma ¹³⁴, A.S. Sharma ¹, P.B. Shatalov ¹²⁴, K. Shaw ¹⁵⁵, S.M. Shaw ¹⁰¹, M. Shehade ¹⁷⁹,
 Y. Shen ¹²⁸, A.D. Sherman ²⁵, P. Sherwood ⁹⁵, L. Shi ⁹⁵, C.O. Shimmin ¹⁸², Y. Shimogama ¹⁷⁸,
 M. Shimojima ¹¹⁶, I.P.J. Shipsey ¹³⁴, S. Shirabe ¹⁶⁴, M. Shiyakova ^{80,z}, J. Shlomi ¹⁷⁹, A. Shmeleva ¹¹¹,
 M.J. Shochet ³⁷, J. Shojaii ¹⁰⁵, D.R. Shope ¹⁵³, S. Shrestha ¹²⁷, E.M. Shrif ^{33e}, M.J. Shroff ¹⁷⁵, E. Shulga ¹⁷⁹,
 P. Sicho ¹⁴⁰, A.M. Sickles ¹⁷², E. Sideras Haddad ^{33e}, O. Sidiropoulou ³⁶, A. Sidoti ^{23b,23a}, F. Siegert ⁴⁸,
 Dj. Sijacki ¹⁶, M.Jr. Silva ¹⁸⁰, M.V. Silva Oliveira ³⁶, S.B. Silverstein ^{45a}, S. Simion ⁶⁵, R. Simonello ¹⁰⁰,
 C.J. Simpson-allsop ²¹, S. Simsek ^{12b}, P. Sinervo ¹⁶⁶, V. Sinetckii ¹¹³, S. Singh ¹⁵¹, M. Sioli ^{23b,23a}, I. Siral ¹³¹,
 S.Yu. Sivoklokov ¹⁷³, J. Sjölin ^{45a,45b}, A. Skaf ⁵³, E. Skorda ⁹⁷, P. Skubic ¹²⁸, M. Slawinska ⁸⁵, K. Sliwa ¹⁶⁹,
 R. Slovak ¹⁴², V. Smakhtin ¹⁷⁹, B.H. Smart ¹⁴³, J. Smiesko ^{28b}, N. Smirnov ¹¹², S.Yu. Smirnov ¹¹²,
 Y. Smirnov ¹¹², L.N. Smirnova ^{113,r}, O. Smirnova ⁹⁷, E.A. Smith ³⁷, H.A. Smith ¹³⁴, M. Smizanska ⁹⁰,
 K. Smolek ¹⁴¹, A. Smykiewicz ⁸⁵, A.A. Snesarev ¹¹¹, H.L. Snoek ¹²⁰, I.M. Snyder ¹³¹, S. Snyder ²⁹,
 R. Sobie ^{175,ab}, A. Soffer ¹⁶⁰, A. Søgaard ⁵⁰, F. Sohns ⁵³, C.A. Solans Sanchez ³⁶, E.Yu. Soldatov ¹¹²,
 U. Soldevila ¹⁷³, A.A. Solodkov ¹²³, A. Soloshenko ⁸⁰, O.V. Solovyev ¹²³, V. Solovyev ¹³⁷, P. Sommer ¹⁴⁸,
 H. Son ¹⁶⁹, A. Sonay ¹⁴, W. Song ¹⁴³, W.Y. Song ^{167b}, A. Sopczak ¹⁴¹, A.L. Sopio ⁹⁵, F. Sopkova ^{28b},
 S. Sottocornola ^{71a,71b}, R. Soualah ^{67a,67c}, A.M. Soukharev ^{122b,122a}, D. South ⁴⁶, S. Spagnolo ^{68a,68b},
 M. Spalla ¹¹⁵, M. Spangenberg ¹⁷⁷, F. Spanò ⁹⁴, D. Sperlich ⁵², T.M. Spieker ^{61a}, G. Spigo ³⁶, M. Spina ¹⁵⁵,
 D.P. Spiteri ⁵⁷, M. Spousta ¹⁴², A. Stabile ^{69a,69b}, B.L. Stamas ¹²¹, R. Stamen ^{61a}, M. Stamenkovic ¹²⁰,
 A. Stampekitis ²¹, E. Stanecka ⁸⁵, B. Stanislaus ¹³⁴, M.M. Stanitzki ⁴⁶, M. Stankaityte ¹³⁴, B. Stapf ¹²⁰,
 E.A. Starchenko ¹²³, G.H. Stark ¹⁴⁵, J. Stark ⁵⁸, P. Staroba ¹⁴⁰, P. Starovoitov ^{61a}, S. Stärz ¹⁰⁴, R. Staszewski ⁸⁵,
 G. Stavropoulos ⁴⁴, M. Stegler ⁴⁶, P. Steinberg ²⁹, A.L. Steinhebel ¹³¹, B. Stelzer ^{151,167a}, H.J. Stelzer ¹³⁸,
 O. Stelzer-Chilton ^{167a}, H. Stenzel ⁵⁶, T.J. Stevenson ¹⁵⁵, G.A. Stewart ³⁶, M.C. Stockton ³⁶, G. Stoicea ^{27b},
 M. Stolarski ^{139a}, S. Stonjek ¹¹⁵, A. Straessner ⁴⁸, J. Strandberg ¹⁵³, S. Strandberg ^{45a,45b}, M. Strauss ¹²⁸,
 T. Strebler ¹⁰², P. Strizenec ^{28b}, R. Ströhmer ¹⁷⁶, D.M. Strom ¹³¹, R. Stroynowski ⁴², A. Strubig ⁵⁰,
 S.A. Stucci ²⁹, B. Stugu ¹⁷, J. Stupak ¹²⁸, N.A. Styles ⁴⁶, D. Su ¹⁵², W. Su ^{60c,147}, X. Su ^{60a}, V.V. Sulin ¹¹¹,
 M.J. Sullivan ⁹¹, D.M.S. Sultan ⁵⁴, S. Sultansoy ^{4c}, T. Sumida ⁸⁶, S. Sun ¹⁰⁶, X. Sun ¹⁰¹, C.J.E. Suster ¹⁵⁶,

- M.R. Sutton 155, S. Suzuki 82, M. Svatos 140, M. Swiatlowski 167a, S.P. Swift 2, T. Swirski 176,
 A. Sydorenko 100, I. Sykora 28a, M. Sykora 142, T. Sykora 142, D. Ta 100, K. Tackmann 46,x, J. Taenzer 160,
 A. Taffard 170, R. Tafifout 167a, E. Tagiev 123, R. Takashima 87, K. Takeda 83, T. Takeshita 149, E.P. Takeva 50,
 Y. Takubo 82, M. Talby 102, A.A. Talyshov 122b,122a, K.C. Tam 63b, N.M. Tamir 160, J. Tanaka 162, R. Tanaka 65,
 S. Tapia Araya 172, S. Tapprogge 100, A. Tarek Abouelfadl Mohamed 107, S. Tarem 159, K. Tariq 60b,
 G. Tarna 27b,d, G.F. Tartarelli 69a, P. Tas 142, M. Tasevsky 140, E. Tassi 41b,41a, A. Tavares Delgado 139a,
 Y. Tayalati 35e, A.J. Taylor 50, G.N. Taylor 105, W. Taylor 167b, H. Teagle 91, A.S. Tee 90,
 R. Teixeira De Lima 152, P. Teixeira-Dias 94, H. Ten Kate 36, J.J. Teoh 120, K. Terashi 162, J. Terron 99,
 S. Terzo 14, M. Testa 51, R.J. Teuscher 166,ab, S.J. Thais 182, N. Themistokleous 50, T. Theveneaux-Pelzer 46,
 F. Thiele 40, D.W. Thomas 94, J.O. Thomas 42, J.P. Thomas 21, E.A. Thompson 46, P.D. Thompson 21,
 E. Thomson 136, E.J. Thorpe 93, R.E. Ticse Torres 53, V.O. Tikhomirov 111,ah, Yu.A. Tikhonov 122b,122a,
 S. Timoshenko 112, P. Tipton 182, S. Tisserant 102, K. Todome 23b,23a, S. Todorova-Nova 142, S. Todt 48,
 J. Tojo 88, S. Tokár 28a, K. Tokushuku 82, E. Tolley 127, R. Tombs 32, K.G. Tomiwa 33e, M. Tomoto 117,
 L. Tompkins 152, P. Tornambe 103, E. Torrence 131, H. Torres 48, E. Torró Pastor 173, C. Tosciri 134,
 J. Toth 102,aa, D.R. Tovey 148, A. Traeet 17, C.J. Treado 125, T. Trefzger 176, F. Tresoldi 155, A. Tricoli 29,
 I.M. Trigger 167a, S. Trincaz-Duvold 135, D.A. Trischuk 174, W. Trischuk 166, B. Trocmé 58, A. Trofymov 65,
 C. Troncon 69a, F. Trovato 155, L. Truong 33c, M. Trzebinski 85, A. Trzupek 85, F. Tsai 46, J.C-L. Tseng 134,
 P.V. Tsiareshka 108,ae, A. Tsirigotis 161,u, V. Tsiskaridze 154, E.G. Tskhadadze 158a, M. Tsopoulou 161,
 I.I. Tsukerman 124, V. Tsulaia 18, S. Tsuno 82, D. Tsybychev 154, Y. Tu 63b, A. Tudorache 27b, V. Tudorache 27b,
 T.T. Tulbure 27a, A.N. Tuna 59, S. Turchikhin 80, D. Turgeman 179, I. Turk Cakir 4b,s, R.J. Turner 21,
 R. Turra 69a, P.M. Tuts 39, S. Tzamarias 161, E. Tzovara 100, K. Uchida 162, F. Ukegawa 168, G. Unal 36,
 M. Unal 11, A. Undrus 29, G. Unel 170, F.C. Ungaro 105, Y. Unno 82, K. Uno 162, J. Urban 28b, P. Urquijo 105,
 G. Usai 8, Z. Uysal 12d, V. Vacek 141, B. Vachon 104, K.O.H. Vadla 133, T. Vafeiadis 36, A. Vaidya 95,
 C. Valderanis 114, E. Valdes Santurio 45a,45b, M. Valente 54, S. Valentini 23b,23a, A. Valero 173, L. Valéry 46,
 R.A. Vallance 21, A. Vallier 36, J.A. Valls Ferrer 173, T.R. Van Daalen 14, P. Van Gemmeren 6, S. Van Stroud 95,
 I. Van Vulpen 120, M. Vanadia 74a,74b, W. Vandelli 36, M. Vandenbroucke 144, E.R. Vandewall 129,
 A. Vaniachine 165, D. Vannicola 73a,73b, R. Vari 73a, E.W. Varnes 7, C. Varni 55b,55a, T. Varol 157,
 D. Varouchas 65, K.E. Varvell 156, M.E. Vasile 27b, G.A. Vasquez 175, F. Vazeille 38, D. Vazquez Furelos 14,
 T. Vazquez Schroeder 36, J. Veatch 53, V. Vecchio 101, M.J. Veen 120, L.M. Veloce 166, F. Veloso 139a,139c,
 S. Veneziano 73a, A. Ventura 68a,68b, A. Verbytskyi 115, V. Vercesi 71a, M. Verducci 72a,72b,
 C.M. Vergel Infante 79, C. Vergis 24, W. Verkerke 120, A.T. Vermeulen 120, J.C. Vermeulen 120, C. Vernieri 152,
 P.J. Verschueren 94, M.C. Vetterli 151,al, N. Viaux Maira 146d, T. Vickey 148, O.E. Vickey Boeriu 148,
 G.H.A. Viehhauser 134, L. Vigani 61b, M. Villa 23b,23a, M. Villaplana Perez 3, E.M. Villhauer 50, E. Vilucchi 51,
 M.G. Vinchter 34, G.S. Virdee 21, A. Vishwakarma 50, C. Vittori 23b,23a, I. Vivarelli 155, M. Vogel 181,
 P. Vokac 141, S.E. von Buddenbrock 33e, E. Von Toerne 24, V. Vorobel 142, K. Vorobev 112, M. Vos 173,
 J.H. Vossebeld 91, M. Vozak 101, N. Vranjes 16, M. Vranjes Milosavljevic 16, V. Vrba 141, M. Vreeswijk 120,
 N.K. Vu 102, R. Vuillermet 36, I. Vukotic 37, S. Wada 168, P. Wagner 24, W. Wagner 181, J. Wagner-Kuhr 114,
 S. Wahdan 181, H. Wahlberg 89, R. Wakasa 168, V.M. Walbrecht 115, J. Walder 143, R. Walker 114,
 S.D. Walker 94, W. Walkowiak 150, V. Wallangen 45a,45b, A.M. Wang 59, A.Z. Wang 180, C. Wang 60a,
 C. Wang 60c, F. Wang 180, H. Wang 18, H. Wang 3, J. Wang 63a, P. Wang 42, Q. Wang 128, R.-J. Wang 100,
 R. Wang 60a, R. Wang 6, S.M. Wang 157, W.T. Wang 60a, W. Wang 15c, W.X. Wang 60a, Y. Wang 60a,
 Z. Wang 106, C. Wanotayaroj 46, A. Warburton 104, C.P. Ward 32, R.J. Ward 21, N. Warrack 57, A.T. Watson 21,
 M.F. Watson 21, G. Watts 147, B.M. Waugh 95, A.F. Webb 11, C. Weber 29, M.S. Weber 20, S.A. Weber 34,
 S.M. Weber 61a, A.R. Weidberg 134, J. Weingarten 47, M. Weirich 100, C. Weiser 52, P.S. Wells 36,
 T. Wenaus 29, B. Wendland 47, T. Wengler 36, S. Wenig 36, N. Wermes 24, M. Wessels 61a, T.D. Weston 20,
 K. Whalen 131, A.M. Wharton 90, A.S. White 106, A. White 8, M.J. White 1, D. Whiteson 170,
 B.W. Whitmore 90, W. Wiedenmann 180, C. Wiel 48, M. Wielers 143, N. Wieseotte 100, C. Wiglesworth 40,
 L.A.M. Wiik-Fuchs 52, H.G. Wilkens 36, L.J. Wilkins 94, H.H. Williams 136, S. Williams 32, S. Willocq 103,
 P.J. Windischhofer 134, I. Wingerter-Seez 5, E. Winkels 155, F. Winklmeier 131, B.T. Winter 52,
 M. Wittgen 152, M. Wobisch 96, A. Wolf 100, R. Wölker 134, J. Wollrath 52, M.W. Wolter 85,
 H. Wolters 139a,139c, V.W.S. Wong 174, N.L. Woods 145, S.D. Worm 46, B.K. Wosiek 85, K.W. Woźniak 85,
 K. Wright 57, S.L. Wu 180, X. Wu 54, Y. Wu 60a, J. Wuerzinger 134, T.R. Wyatt 101, B.M. Wynne 50, S. Xella 40,
 L. Xia 177, J. Xiang 63c, X. Xiao 106, X. Xie 60a, I. Xiotidis 155, D. Xu 15a, H. Xu 60a, H. Xu 60a, L. Xu 29,

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