# **ORIGINAL PAPERS**

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# Multimorbidity daily life activities and socio-economic classification in the Central Portugal primary health care setting: an observational study

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A – Study Design, B – Data Collection, C – Statistical Analysis, D – Data Interpretation, E – Manuscript Preparation, F – Literature Search, G - Funds Collection

Summary Background. Multimorbidity (MM) is associated with decreased quality of life, mainly due to decreased functional capacity and increased use of health care.

Objectives. Evaluate the prevalence of MM in older people of Central Portugal and understand the impact of MM on daily life activities (DLA) and how socio-economic level influences the prevalence of MM.

Material and methods. Observational study of data on age, sex, number of ICPC2 codes and Barthel (Bt) and Graffar (Gr) Indexes in clinical records of individuals aged between 65 to 99 years enrolled in the Health Centres of the Central Region of Portugal. The Bt was used to assess the dependence on DLA, and the Gr the socio-economic level.

Results. Population of 190,025, mean age of 80.16 ± 8.03 years. MM prevalence of 80%, out of which 52.9% were female, and the majority was aged between 76-85 years (39.1%). Average number of health problems: 8.7 problems for males and 9.5 for females. Bt and Gr Indexes we filled in 7.4% and 4.9% of the population. Older people without MM have higher dependency rates than those with MM (total dependence 7.4% vs 6.2% and severe dependence 20.1% vs 9.6%). MM in older people is mainly in the middle (55.3% vs 27.5%) and low (31.8% vs 19.6%) Graffar class, while the older people without MM are mainly in middle to upper (35.3%) and upper (17.6%) Graffar classes.

Conclusions. Multimorbidity is mainly associated with lower social classes. The dependence for DLA appears unrelated to MM. Individuals with multimorbidity need special attention based on socio-economic contexts.

**Key words:** aged, multimorbidity, activities of daily living, sociological factors, general practice.

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# **Background**

Multimorbidity (MM), defined as any combination of a chronic disease with at least one other disease, acute or chronic, and social or individual risk factors [1], is associated with decreased quality of life, mainly due to decreased functional capacity and increased use of health care. Its prevalence is estimated at 72.7% in adults in Portugal and is thought to increase with age. In older people, this may exceed 95% [2, 3].

MM is a challenging entity to be managed by the patient, family members, family doctor and society [4, 5]. The presentation of a variety of chronic diseases in the same patient deserves special attention, because the physician must deal or treat not only one patient with one disease, but one patient with multiple morbidities and expectations or limitations [6].

In Portugal, some studies seem to indicate a relationship between family income, social support and level of dependence on self-care and multimorbidity, but no one has yet studied this issue with a large sample in the field of General Practice/Family Medicine (GP/FM) [7].

The Barthel index (Bt) is an instrument for assessing the degree of independence of the patient to perform ten basic activities of daily living: eating, personal hygiene, use of toilet, bathing, dressing and undressing, urinary sphincter control, faecal sphincter control walking, moving from chair to bed and climbing and descending stairs.

Currently, the Barthel index is considered the most adequate instrument to evaluate the incapacity to perform daily life activities (DLA), which seems to increase with aging [8].

The Graffar index (Gr) allows classification of the socio--economic level of families into four classes: high, medium-high, medium-low and low, based on a set of five criteria: profession, level of schooling, sources of family income, comfort of housing and place of residence [9]. Being classified in a higher socio-economic level is related to greater access to financial and material resources, including health care [10]. There seems to be an association between lower family income and a greater prevalence of MM [11].

To better manage MM, the General Practice/Family Doctor (GP/FD) must have access to information about economic inad-



Family Medicine & Primary Care Review 2020; 22(1)

equacies, Gr and to the ability to perform DLAs. DLAs can be measured by two scales: the Katz index and Barthel index, the latter being one that exists in the electronic health records in the Primary Care Health Centres of the Central Region of Portugal.

# **Objectives**

This study aimed to evaluate the prevalence of MM and its association with socio-economic classification, by the Graffar index, and the performance of DLA, by the Br index, in older people, according to the geographic location of residence in Central Portugal, age and gender.

# Material and methods

### Study design

An observational cross-sectional study was carried out in 2019 using informatics data retrieved the 31st December 2018.

# **Setting/participants**

The study population corresponded to all individuals aged 65 to 99 years enrolled in the units of General and Family Medicine, Primary Health Care in the geographical area of the ARS-Centro, namely in the Health Centre Groupings (ACeS) of Baixo Mondego, Baixo Vouga, Cova da Beira, Dão Lafões, Pinhal Interior Norte and Pinhal Litoral, in the two ACeS of the Local Health Unit (ULS) of Castelo Branco (ACeS Beira Interior Sul and ACeS Pinhal Interior Sul) and in the ULS of Guarda.

# Variables/data sources

The study data was collected anonymously from the computerised clinical health records (SClinico) of all individuals regarding age, sex, number of active health problems, ICPC2 codes, Barthel and Graffar indices.

The individuals were divided into three age groups: 65–75 years, 76–85 years and 86–99 years.

The Graffar and Barthel indices were collected according to the ordinal classification for both indices and the SClinico constant.

Multimorbidity was defined as having more than two chronic active International Classification for Primary Care (ICPC2) codes.

The study was approved by the Ethics Committee and the Board of the Regional Health Administration of the Centre of Portugal (ARS-Centro).

#### Measurements

Data analysis was performed with the SPSS-IBM program, version 24. Descriptive statistics analysis was performed, as well as a non-parametric inferential statistical study, with the Mann—Whitney U test and Wilcoxon test, as the study variables were ordinal variables, and a value of p < 0.01 was defined as statistically significant.

#### **Bias**

We anticipated systematic bias of under fill-in of Barthel and Graffar indices and also of ICPC2 classification.

### Study size

This was a study of all the persons attended in Central Portugal National Health Service Primary Health Care Units within the 65 to 99 year age range.

### Results

A population of 190,025 individuals, with a mean age of  $80.16 \pm 8.03$  years and a median of 81 years, of which 89,565 (47.1%) were male, was studied (Table 1).

The proportion of patients with known the Barthel index was of 6.3% in males and 9.0% in females, 7.4% in total. The Graffar index was known in 4.9% of males and 5.5% of females, 5.2% in total. The mean number of ICPC2 chronic active problems was  $9.1 \pm 2.0$  per person, with a median of 9, being  $8.7 \pm 1.8$  in men and  $9.5 \pm 2.0$  in women (p < 0.001).

Table 2 shows the distribution by ACeS, age groups, Barthel and Graffar index full-filled, as well as those suffering from multimorbidity (MM). The ACeS of the interior of the Central Region revealed a higher elderly population, the most numerous age group being 76–85 years.

Table 1. Frequency of the variables according to gender							
			Gender		Total		
			Male*				
ACeS	BM	n (%)	7,831 (8.7%)	8,963 (8.9%)	16,794 (8.8%)		
	BV	n (%)	8,514 (9.5%)	8,928 (8.9%)	17,442 (9.2%)		
	BIS	n (%)	8,926 (10%)	12,181 (12.1%)	21,107 (11.1%)		
	Cova da Beira	n (%)	9,301 (10.4%)	13,158 (13.1%)	22,459 (11.8%)		
	Dão Lafões	n (%)	9,026 (10.1%)	7,802 (7.8%)	16,828 (8.9%)		
	ULS Guarda	n (%)	17,772 (19.8%)	14,454 (14.4%)	32,226 (17%)		
	PIN	n (%)	14,456 (16.1%)	20,115 (20%)	34,571 (18.2%)		
	PIS	n (%)	3,911 (4.4%)	5,789 (5.8%)	9,700 (5.1%)		
	PL	n (%)	9,828 (11%)	9,070 (9%)	18,898 (9.9%)		
Age Group	65-75 years	n (%)	31,278 (34.9%)	28,326 (28.2%)	59,604 (31.4%)		
	76-85 years	n (%)	39,238 (43.8%)	35,070 (34.9%)	74,308 (39.1%)		
	86-99 years	n (%)	19,049 (21.3%)	37,064 (36.9%)	56,113 (29.5%)		
Barthel completed	yes	n (%)	5,651 (6.3%)	9,001 (9%)	14,652 (7.7%)		
Graffar completed	yes	n (%)	4,432 (4.9%)	5,506 (5.5%)	9,938 (5.2%)		
MM	yes	n (%)	76,105 (47.8%)	83,024 (52.2%)	159,129 (80%)		

<sup>\*</sup>p < 0.001, BM – Baixo Mondego, BV – Baixo Vouga, BIS – Beira Interior Sul, PIN – Pinhal Interior Norte, PIS – Pinhal Interior Sul, PL – Pinhal Litoral.

Table 2. Distribution of variables according to whether multimorbidity (MM) exists						
			ММ			
			Yes* n (%)	No* n (%)	Total	
ACeS	ВМ	n (%)	9,054 (5.7%)	7,740 (25.1%)	16,794 (8.8%)	
	BV	n (%)	11,299 (7.1%)	6,143 (19.9%)	17,442 (9.2%)	
	BIS	n (%)	12,181 (12.2%)	1,749 (5.7%)	21,107 (11.1%)	
	Cova da Beira	n (%)	20,831 (13.1%)	1,628 (10.4%)	22,459 (11.8%)	
	Dão Lafões	n (%)	12,219 (7.6%)	4,699 (15.2%)	16,828 (8.9%)	
	ULS Guarda	n (%)	30,371 (19.1%)	1,855 (6%)	32,226 (17%)	
	PIN	n (%)	33,074 (20.8%)	1,497 (4.8%)	34,571 (18.2%)	
	PIS	n (%)	8,535 (5.4%)	1,165 (3.8%)	9,700 (5.1%)	
	PL	n (%)	14,478 (9.1%)	4,420 (14.3%)	18,898 (9.9%)	
Age Group	65–75 years	n (%)	44,881 (28.2%)	8,600 (27.8%)	53,481 (28.1%)	
	76–85 years	n (%)	62,433 (39.2%)	9,885 (32%)	72,318 (38.1%)	
	86–99 years	n (%)	51,815 (32.6%)	12,411 (40.2%)	64,226 (33.8%)	
Barthel Completion	yes	n (%)	14,445 (46.8%)	207 (0.1%)	14,652 (7.7%)	
Graffar completion	yes	n (%)	9,887 (5.2%)	51 (27.1%)	9,938 (5.2%)	

<sup>\*</sup> p < 0.001, BM - Baixo Mondego, BV - Baixo Vouga, BIS - Beira Interior Sul, PIN - Pinhal Interior Norte, PIS - Pinhal Interior Sul, PL - Pinhal Litoral.

Table 3. Dependency frequency in activities of daily living (Barthel index) and socio-economic level (Graffar index), depending on whether there is multimorbidity (MM)								
			ММ					
			Yes* n (%)	No* n (%)	Total n (%)			
Barthel – level of dependence	independent	n (%)	7,484 (51.8%)	88 (42.5%)	7,572 (51.7%)			
	mild	n (%)	2,855 (19.8%)	34 (16.4%)	2,889 (19.7%)			
	moderate	n (%)	1,819 (12.6%)	28 (13.5%)	1,847 (12.6%)			
	severe	n (%)	1,393 (9.6%)	42 (20.3%)	1,435 (9.8%)			
	total dependence	n (%)	894 (6.2%)	15 (7.2%)	909 (6.2%)			
Graffar – socio-eco- nomic level	lower	n (%)	3,146 (31.8%)	10 (19.6%)	3,156 (31.8%)			
	middle	n (%)	5,466 (55.3%)	14 (27.5%)	5,480 (55.1%)			
	higher middle	n (%)	1,171 (11.8%)	18 (35.3%)	1,189 (12%)			
	higher	n (%)	104 (1.1%)	9 (17.6%)	113 (1.1%)			

<sup>\*</sup> p < 0.001.

The proportion of MM in this population was found to be 80%, multimorbidity (Table 2) being more common in CSAs in the Central Region and in the 76–85 age group. Individuals with MM amounted to 52.2% female and 85% of male. For females 82.6% had MM. We also found that the Barthel and Graffar indices have a significantly more prevalent completion prevalence in people with MM, with an overall completion of 7.7% and 5.2%.

The distribution of levels of dependence for daily life activities (DLA), as measured by the Barthel index, and socio-economic levels, as measured by the Graffar index, were found to be significantly different between whether an individual had MM (Table 3). It has been verified that, in the elderly with MM and DLA, 51.8% have independence, 19.8% have mild dependence, 12.6% moderate dependence, 9.6% severe dependence and 6.2% total dependence. For economy class 31.8% were in Lower Class, 55.3% in Middle Class, 11.8% in Higher Middle Class and 1.1% in Higher Class.

# Discussion

In this study, data was collected from the electronic health clinic records made by GP/FM physicians concerning the entire elderly population enrolled in primary and general health care units of primary health care in the geographical area of the ARS—

–Centro, Portugal. An 80% prevalence of multimorbidity (MM) was found, and a low knowledge about socio-economic situation and daily life activities was perceived.

The frequency of MM varies according to the studies due to different methods of data collection, different definitions of MM and the type of problems studied. The definition of MM which we considered for this study was that which was defined in two studies in Portugal, one defining MM and the other studying MM prevalence as 80% [1, 2].

One of the limitations of this study is whether all classifications recorded are in line with the accepted MM definition [1]. It is possible that some ICPC2 Classifications are missing and that others should have been removed.

The study population was of 190,025 elderly people. To the authors' knowledge, this is the first study addressing this issue in such a large population.

A population over 65 years of age is most prevalent in ACeS Pinhal Interior Norte (18.2%), ULS Guarda (17%), Beira Interior Sul (11.1%) and Pinhal Interior Sul (5.1%), which are located in the interior of Portugal away from the seaside, and as we move towards the interior of the Central Region of Portugal, we find more elderly patients. This implies the need for greater attention to the quality and effectiveness of health care, as the elderly population has a greater prevalence of multimorbidity and a greater need for care, [12].

Family Medicine & Primary Care Review 2020; 22(1)

In males, the lowest age group prevalence was of those 86–99 years, the oldest ones and in females, the prevalence increased with age meaning that there were more women in the oldest age group.

The rates of Barthel (7.7%) and Graffar (5.2%) indices presented very low completion frequencies in the SClinico. These indexes are more often filled-in in females, especially the Barthel Index. One explanation may be that the Barthel index in SClinico is part of the patient's individual clinical process, while the Graffar index is part of the family assessment. Family doctors should be made aware of the importance of completing these scales.

The proportion of MM in the population over 65 years of age was 80%, which confirms that the consultation of elderly people in General and Family Medicine is the one of MM. The elderly population does not only suffer from one chronic disease, but several, for which there may be several guidelines. These patients have polypharmacotherapy, with carries a risk of adverse effects due to drug interactions. Therefore, it is not only a problem of the management of the diagnosis and expectations about diseases, but also of the management of therapy

Females presents with, on average, one more health problem than males (9.51 vs 8.67 problems) [14]. Despite this, the highest proportion of elderly without MM is female (65.4%).

MM occurs in a high proportion of elderly people, and the frequency of the group aged between 76 and 85 years, representing 39.1% of the individuals, is highlighted. This interval includes not only the mean and median ages of this sample, but also the average life expectancy in Portugal of women and men. Therefore, sites with a higher proportion of elderly present higher MMs [15].

Of elderly people without MM, the age group between 86–99 years is the one with the highest population frequency (49.5%). Thus, the question: do patients without MM live longer [16, 17]?

From Barthel's index it is noticed that there is greater dependence when there is MM [18]. It seems that the use of this scale as a discriminator of future activities with elderly people should be encouraged.

Aging should no longer be seen as a problem of society, and thusly social reintegration of the elderly should be attempted, within reason, in order to increase their participation in ac-

tivities that boost their physical and mental capacities, both at home, in day care centres, nursing homes or in Integrated Continued Care [19, 20].

After analysing the variation of the MM rate as a function of the Graffar index, it is possible to deduce that there is a greater number of older individuals with MM at the average socio-economic level (55.3%), and then at the lower socio-economic level with 31.8%. Worse socio-economic conditions and low social support are a matter for the family doctor to think about when managing the MM patient.

Lower socio-economic levels present higher MM, perhaps due to worse living conditions, risky behaviour or adverse experiences in childhood, and so a therapeutic bewilderment might not be helpful in this MM management. Failure to pay attention to this determinant factor in the health of populations will never achieve the expected results [21].

Higher socio-economic levels, namely the high and higher middle, have the lowest rates of MM, 11.8% and 1.1%, respectively. Therefore, it can also be inferred that the life conditions may be influence the amount of diseases, hence MM [22].

The patient must be inserted in its social context so that the family physician can know how best to help him to control his MM. And before the onset of the chronic disease, one must work on its prevention [23, 24].

Future works must correlate Lawton and Brody scale [25] with Prism 7 [26] and Katz scales [27].

These results imply, consequently, that doctors in GP/FM need to be more aware of this issue. No other study, with this or any other methodology, has been published in Portugal.

# **Conclusions**

- This study evidenced high frequencies of multimorbidity, especially in the interior of the centre of Portugal and in the people between 76 and 85 years of age.
- Multimorbidity in the elderly is associated with lower social classes.
- Dependence for daily life activities appears unrelated to multimorbidity.
- Individuals with multimorbidity need special attention, based on socio-economic contexts.
- More attention is needed for Barthel and Graffar indexes filling-in by doctors.

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Family Medicine & Primary Care Review 2020; 22(1)

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Tables: 3 Figures: 0 References: 27

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